

B-Spline Curves and Surfaces (2)

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Uniform B-Spline Definition: Convolution Form

- Overview
- Definition of the Blending Functions Utilizing Convolution
- The First Order Blending Function
- The Second Order Blending Function
- The Third Order Blending Function



Overview

- The uniform B-splines are based upon a knot sequence that has uniform spacing
 - ◆ Translation property

$$N_{i,k}(t) = N_{0,k}(t-i)$$

- The single blending function can be defined by convolution of blending functions of lower degree



Definition of the Blending Functions Utilizing Convolution

The uniform k th order B-spline blending function N_k is defined as:

$$N_1(t) = \begin{cases} 1 & \text{if } 0 \leq t \leq 1 \\ 0 & \text{otherwise} \end{cases}$$

and

$$N_k(t) = (N_{k-1} * N_1)(t)$$

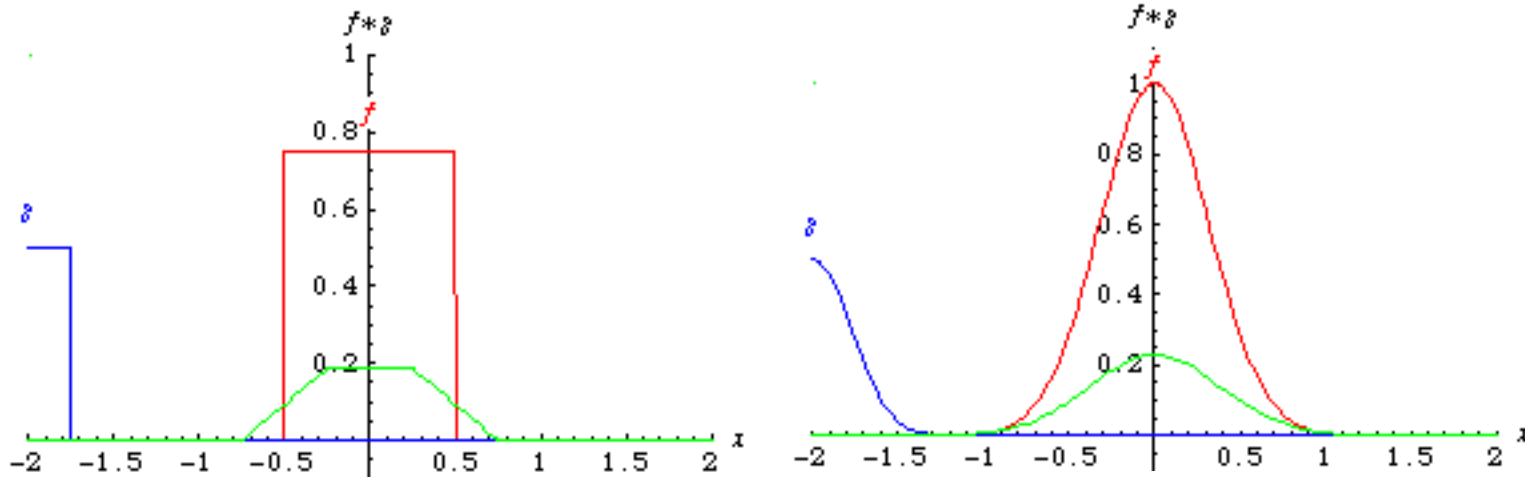
The k th order blending function is defined by convolving the $k-1$ st order blending function with the *first* order blending function.

Definition of the Blending Functions Utilizing Convolution

By expanding above equation, the convolution can be formulated as:

$$\begin{aligned} N_k(t) &= (N_{k-1} * N_1)(t) \\ &= \int_{-\infty}^{\infty} N_{k-1}(x)N_1(t-x)dx \\ &= \int_{t-1}^t N_{k-1}(x)dx \end{aligned}$$

Definition of the Blending Functions Utilizing Convolution



The animations above graphically illustrate the convolution of **two rectangle functions** (left) and **two Gaussians** (right). In the plots, the **green curve** shows the convolution of the **blue** and **red** curves as a function of t , the position indicated by the vertical **green** line. The gray region indicates the product $g(s) * f(t-s)$ as a function of t , so its area as a function of t is precisely the convolution.

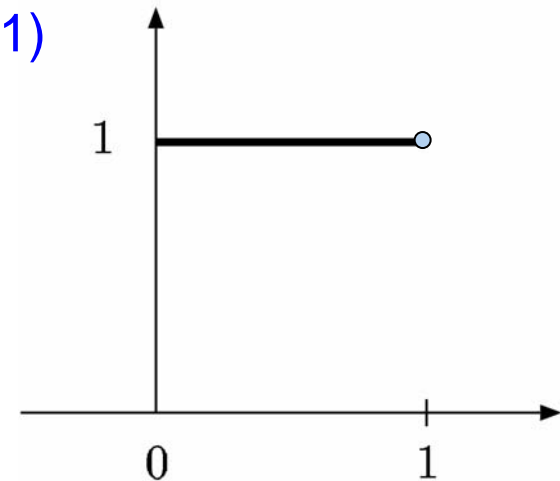


The First Order Blending Function

The first order blending function is the Haar scaling function

$$N_1(t) = \begin{cases} 1 & \text{if } 0 \leq t < 1 \\ 0 & \text{else} \end{cases}$$

The support of this function is the interval $[0, 1)$



The Second Order Blending Function

The second order blending function

$$N_2(t) = \int_{t-1}^t N_1(x) dx$$

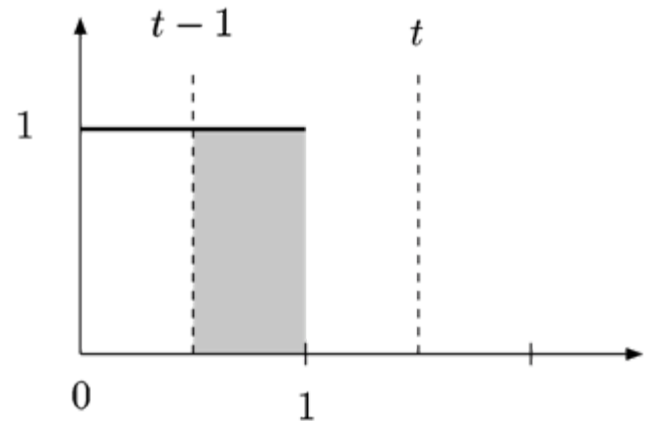
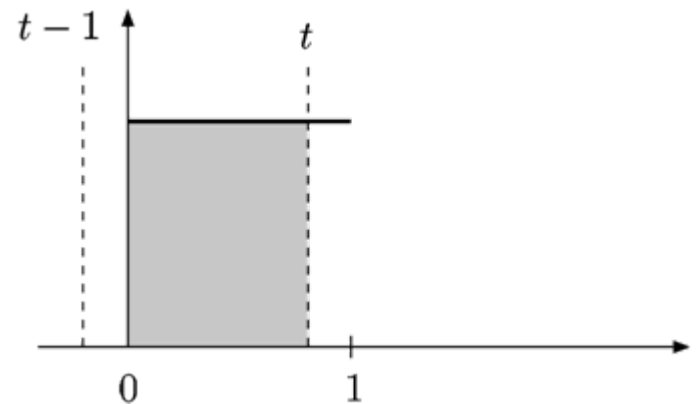
- The function $N_1(x)$ is nonzero when $0 \leq x < 1$
- The integral interval is $[t-1, t]$, where $0 \leq t < 2$
- The integral splits naturally into the two cases
 - $0 \leq t < 1$
 - $1 \leq t < 2$

The Second Order Blending Function

$$N_2(t) = \int_{t-1}^t N_1(x) dx$$

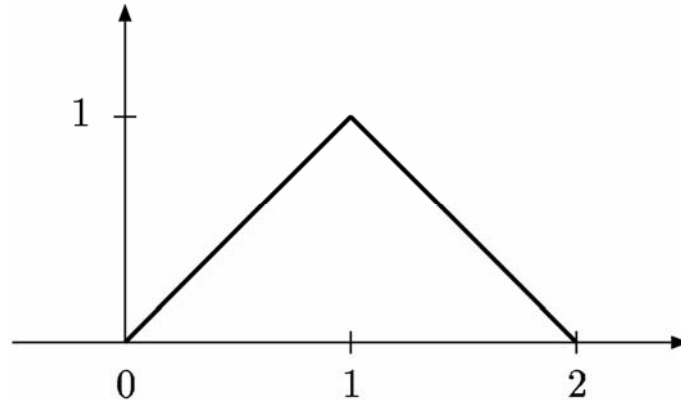
$$= \begin{cases} \int_0^t dx & \text{if } 0 \leq t < 1 \\ \int_{t-1}^1 dx & \text{if } 1 \leq t < 2 \end{cases}$$

$$= \begin{cases} t & \text{if } 0 \leq t < 1 \\ 2-t & \text{if } 1 \leq t < 2 \end{cases}$$



The Second Order Blending Function

The second order B-spline blending function can be illustrated as



The support of $N_2(t)$ is $[0,2)$



The Third Order Blending Function

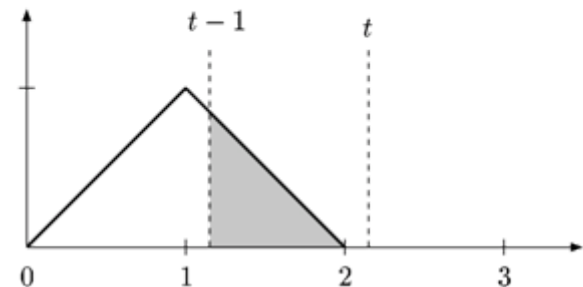
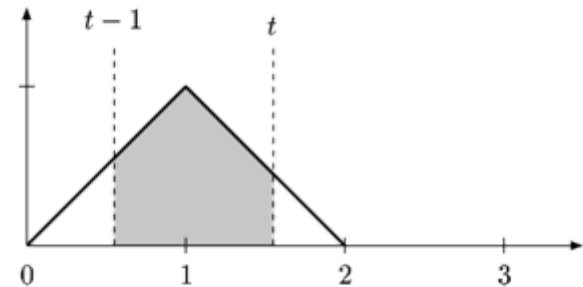
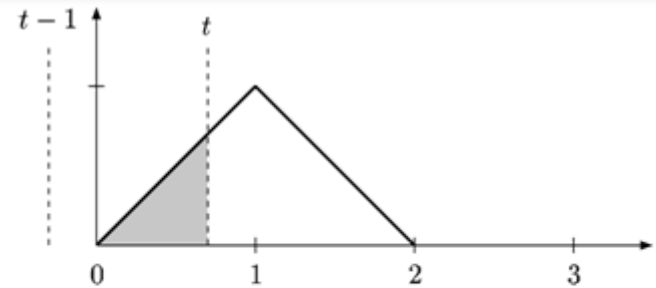
The third order blending function is

$$N_3(t) = \int_{t-1}^t N_2(x) dx$$

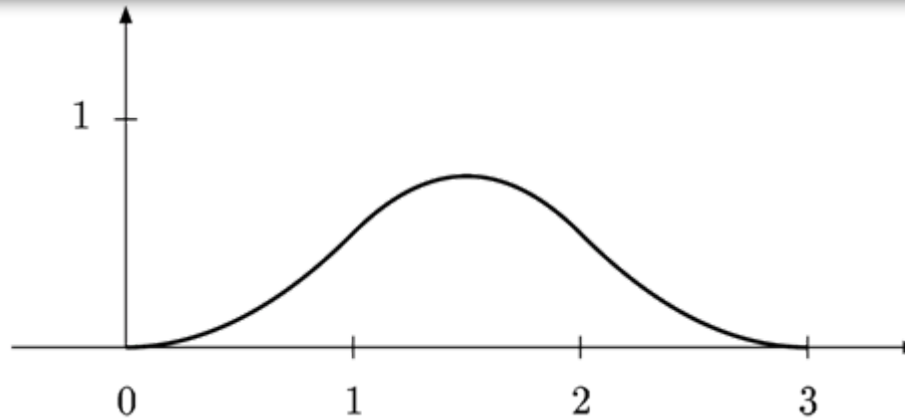
- The function $N_2(x)$ is nonzero only when $0 \leq x < 2$
- The nonzero values in the integrand for any t are in $0 \leq t < 3$.
- The integral can be decomposed as three parts illustrated below.

The Third Order Blending Function

$$\begin{aligned}
 N_3(t) &= \int_{t-1}^t N_2(x) dx \\
 &= \begin{cases} \int_0^t N_2(x) dx & \text{if } 0 \leq t < 1 \\ \int_{t-1}^1 N_2(x) dx + \int_1^t N_2(x) dx & \text{if } 1 \leq t < 2 \\ \int_{t-2}^1 N_2(x) dx & \text{if } 2 \leq t < 3 \end{cases} \\
 &= \begin{cases} \int_0^t x dx & \text{if } 0 \leq t < 1 \\ \int_{t-1}^1 (2-x) dx + \int_1^t x dx & \text{if } 1 \leq t < 2 \\ \int_{t-2}^1 (2-x) dx & \text{if } 2 \leq t < 3 \end{cases} \\
 &= \begin{cases} \frac{1}{2} t^2 & \text{if } 0 \leq t < 1 \\ \frac{1}{2} (-2t^2 + 6t - 3) & \text{if } 1 \leq t < 2 \\ \frac{1}{2} (t^2 - 6t + 9) & \text{if } 2 \leq t < 3 \end{cases}
 \end{aligned}$$



The Third Order Blending Function



- The curve (of the third order B-spline blending function) is a *piecewise quadratic* - i.e. it has quadratic pieces that are smoothly joined together
- The function $N_3(x)$ is nonzero only when $0 \leq x < 3$



The Two-Scale Relation for Uniform B-Splines

- Overview
- Translating and Scaling the Blending Function
- The Two-Scale Relation for Uniform B-Splines
- The Two-Scale Relation for Uniform Linear B-Splines
- The Two-Scale Relation for Uniform Quadratic B-Splines



Overview

- Translation property

$$N_{i,k}(t) = N_{0,k}(t-i)$$

- Two-scale relation

- ◆ The single blending function can be written as a **sum** of **scaled** and **translated** copies of itself.
- ◆ It is essential to defining **wavelets** on spaces of functions and **subdivision surfaces**

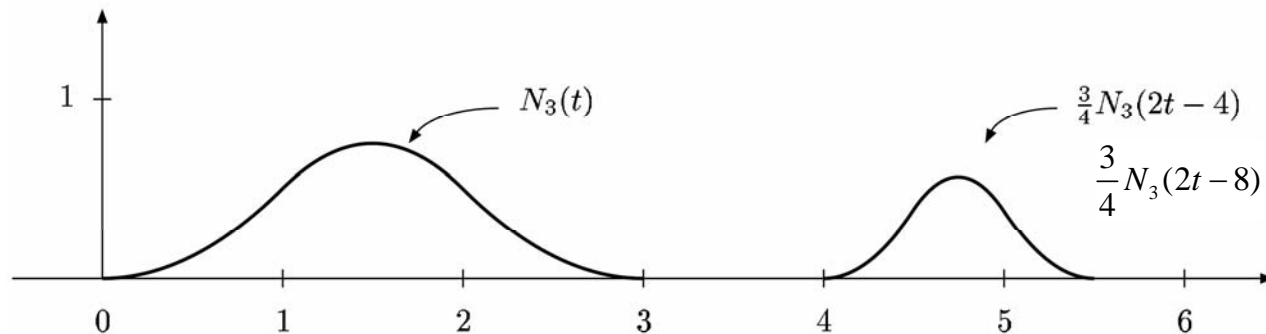


Translating and Scaling the Blending Function

- The uniform B-spline blending function $N_k(t)$ can be **scaled** and **translated** simply by redefining the parameterization of the function

- Example $\frac{3}{4}N_3(2t - 8)$

- ◆ The support of above function is $[4,5.5]$
- ◆ The height of function is 0.75



The Two-Scale Relation for Uniform B-Splines

For the given order k , the two-scale relation is written as

$$N_k(t) = \sum_{i=0}^k p_i N_k(2t - i)$$

where

$$p_i = \frac{1}{2^{k-1}} \binom{k}{i}$$

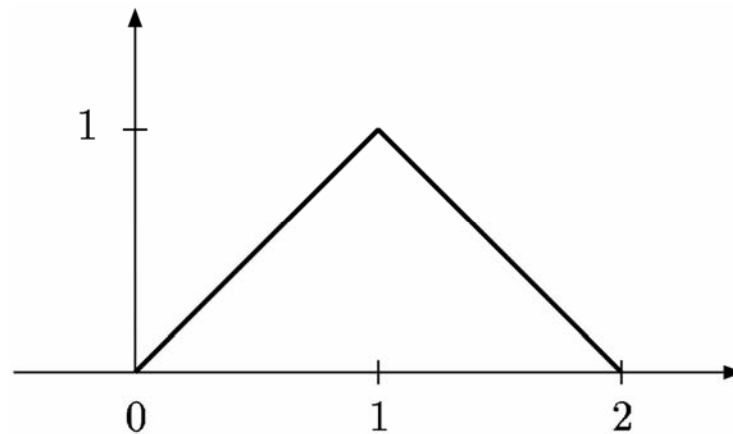
- The basic function can be written as linear combination of the translated and scaled copies of the basic function
- The coefficients can be developed by the fact that the uniform B-spline blending function can be defined by convolution



The Two-Scale Relation for Uniform Linear B-Splines

- The uniform 2nd order B-spline blending function $N_2(t)$ is defined by

$$N_2(t) = \begin{cases} t & \text{if } 0 \leq t < 1 \\ 2 - t & \text{if } 1 \leq t < 2 \end{cases}$$

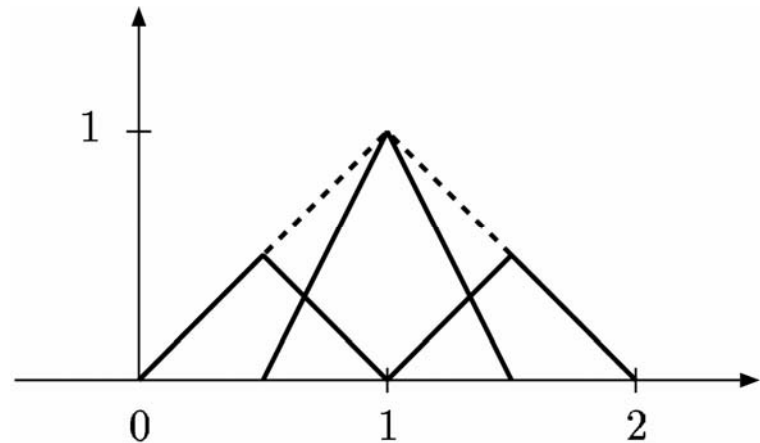


The Two-Scale Relation for Uniform Linear B-Splines

- The two-scale relation for $N_2(t)$ is given by

$$N_2(t) = \frac{1}{2}N_2(2t) + N_2(2t - 1) + \frac{1}{2}N_2(2t - 2)$$

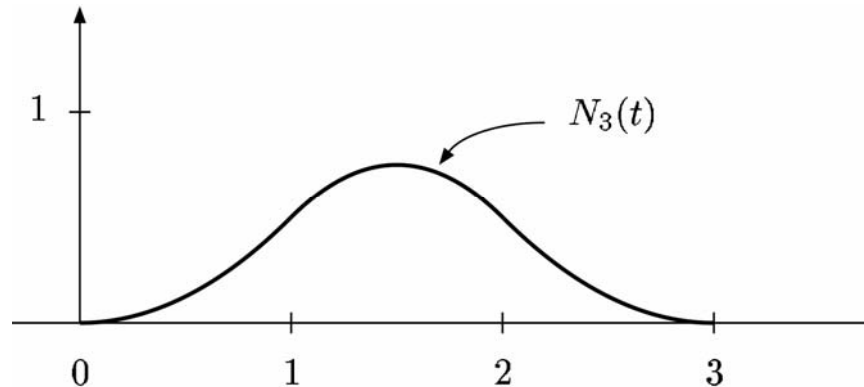
- The original blending function is shown with **dashed** lines
- The three scaled and translated functions are shown using solid lines.



The Two-Scale Relation for Uniform Quadratic B-Splines

- The uniform 3rd order B-spline blending function $N_3(t)$ is defined by

$$N_3(t) = \begin{cases} \frac{1}{2}t^2 & \text{if } 0 \leq t < 1 \\ \frac{1}{2}(-2t^2 + 6t - 3) & \text{if } 1 \leq t < 2 \\ \frac{1}{2}(t^2 - 6t + 9) & \text{if } 2 \leq t < 3 \end{cases}$$

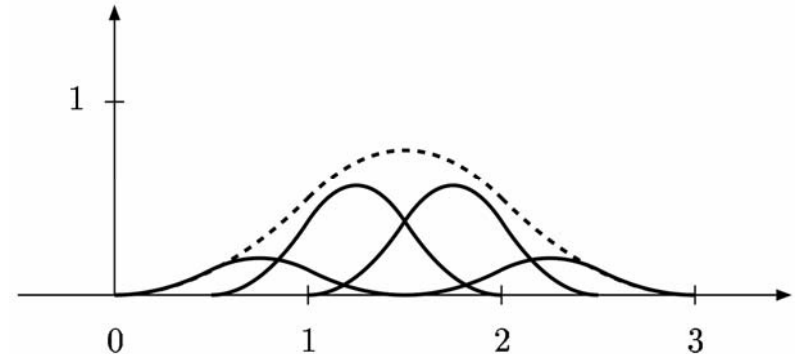


The Two-Scale Relation for Uniform Quadratic B-Splines

- The two-scale relation for $N_3(t)$ is given by

$$N_3(t) = \frac{1}{4}N_3(2t) + \frac{3}{4}N_3(2t - 1) + \frac{3}{4}N_3(2t - 2) + \frac{1}{4}N_3(2t - 3)$$

- The original blending function is shown with **dashed** lines
- The three scaled and translated functions are shown using solid lines.



A Proof of the Two-Scale Relation for Uniform Splines

- The Two-Scale Relation for Uniform B-Splines
- The Fourier Transform
- Proof of the Two-Scale Relation



The Two-Scale Relation for Uniform B-Splines

For the given order k , the two-scale relation is written as

$$N_k(t) = \sum_{i=0}^k p_i N_k(2t - i)$$

where

$$p_i = \frac{1}{2^{k-1}} \binom{k}{i}$$

- The basic function can be written as linear combination of the translated and scaled copies of the basic function
- The coefficients can be developed by the fact that the uniform B-spline blending function can be defined by convolution



The Fourier Transform

- Let $\hat{N}_k(\omega)$ be the Fourier Transformation of $N_k(t)$

$$\hat{N}_k(\omega) = \int_{-\infty}^{\infty} e^{-i\omega x} N_k(x) dx$$

- Properties

- ♦ For any k , $N_k(t) = (N_{k-1} * N_1)(t)$, then

$$\hat{N}_k(\omega) = \widehat{(N_{k-1} * N_1)}(\omega) = \hat{N}_{k-1}(\omega) \hat{N}_1(\omega)$$

$$\hat{N}_1(\omega) = \frac{1 - e^{-i\omega}}{i\omega}$$

$$\hat{N}_k(\omega) = \left(\frac{1 - e^{-i\omega}}{i\omega} \right)^k$$



Proof of the Two-Scale Relation

The two-scale relation is
$$N_k(t) = \sum_{j=-\infty}^{\infty} p_j N_k(2t - j)$$

Taking the Fourier Transform of both sides of the equation

$$\hat{N}_k(\omega) = \frac{1}{2} \left(\sum_{j=-\infty}^{\infty} p_j e^{-\frac{ij\omega}{2}} \right) \hat{N}_k\left(\frac{\omega}{2}\right)$$

Thus

$$\left(\frac{1 - e^{-i\omega}}{i\omega} \right)^k = \frac{1}{2} \left(\sum_{j=-\infty}^{\infty} p_j e^{-\frac{ij\omega}{2}} \right) \left(\frac{1 - e^{-i\frac{\omega}{2}}}{i\frac{\omega}{2}} \right)^k$$

Proof of the Two-Scale Relation

By using binomial theorem

$$\begin{aligned}\frac{1}{2} \left(\sum_{j=-\infty}^{\infty} p_j e^{-\frac{vj\omega}{2}} \right) &= \left(\frac{1 - e^{-i\omega}}{i\omega} \right)^k \left(\frac{i\frac{\omega}{2}}{1 - e^{-i\frac{\omega}{2}}} \right)^k \\ &= \left(\frac{1 - e^{-i\frac{\omega}{2}}}{2} \right)^k \\ &= 2^{-k} \sum_{j=0}^k \binom{k}{j} e^{-\frac{vj\omega}{2}}\end{aligned}$$

So



$$p_j = \begin{cases} \frac{1}{2^{k-1}} \binom{k}{j} & \text{for } 0 \leq j \leq k \\ 0 & \text{otherwise} \end{cases}$$

B-Spline Curves

- Definition
- Properties
- Knot vectors
- Controls
- Other Topics



B-Spline Curves – Definition

$$P(t) = \sum_{i=1}^{n+1} B_i N_{i,k}(t) \quad t_{\min} \leq t < t_{\max}, \quad 2 \leq k \leq n + 1$$

B_i s are the polygon control vertices

$N_{i,k}(t)$ are the normalized B-spline basis functions of order k

$n + 1$ is the number of control vertices

B-Spline Curves – Basis functions

$$N_{i,1}(t) = \begin{cases} 1 & \text{if } x_i \leq t < x_{i+1} \\ 0 & \text{otherwise} \end{cases}$$

$$N_{i,k}(t) = \frac{(t - x_i)N_{i,k-1}(t)}{x_{i+k-1} - x_i} + \frac{(x_{i+k} - t)N_{i+1,k-1}(t)}{x_{i+k} - x_{i+1}}$$

x_i s are the elements of a knot vector

Note $0/0 \equiv 0$

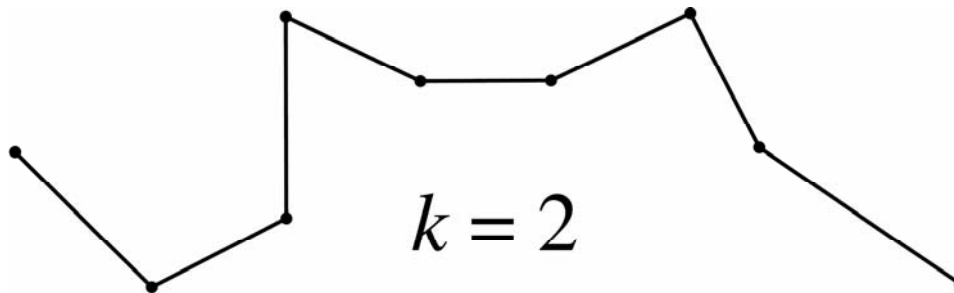


B-Spline Curves – Properties

- $\sum_i N_{i,k}(t) \equiv 1$ for all t
- $N_{i,k}(t) \geq 0$ for all t
- Maximum order $k_{max} = n + 1$
- Maximum degree, n , is one less than the order
- Exhibits the variation diminishing property
- Follows shape of the control polygon
- Transform curve \leftrightarrow transform control polygon
- Everywhere C^{k-2} continuous
- Convex hull

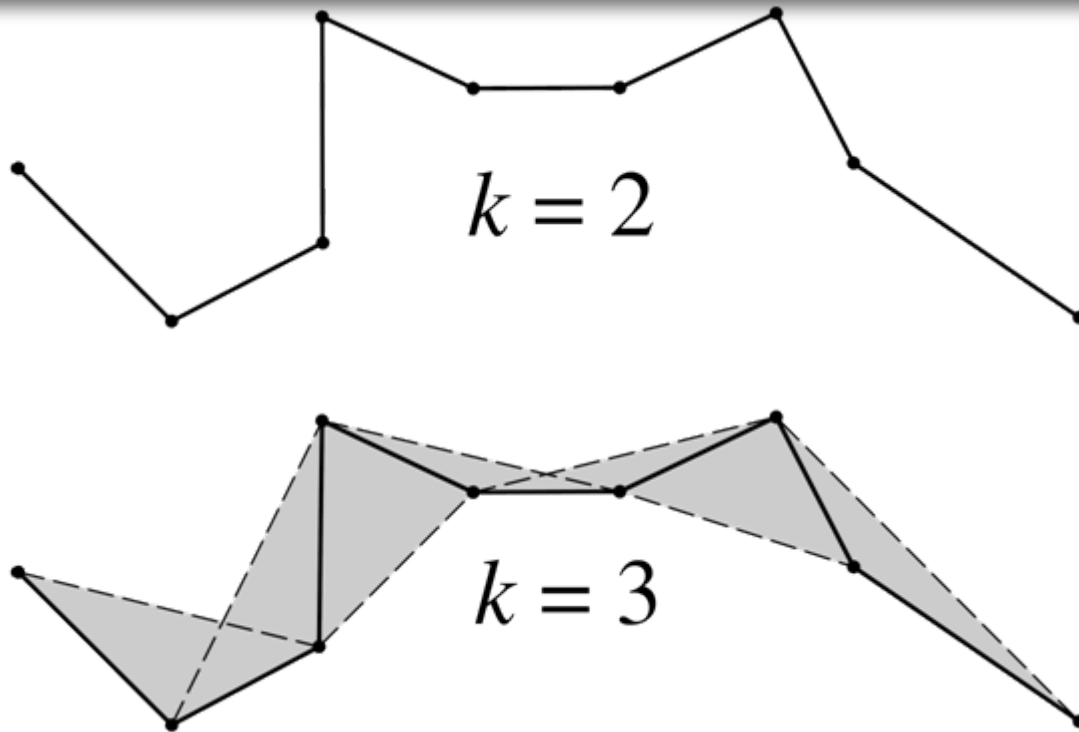
B-Spline Curves – Convex Hulls

- Stronger than for Bézier curves
- A point on the curve $\mathbf{P}(t)$ lies within the convex hull of k neighboring control vertices



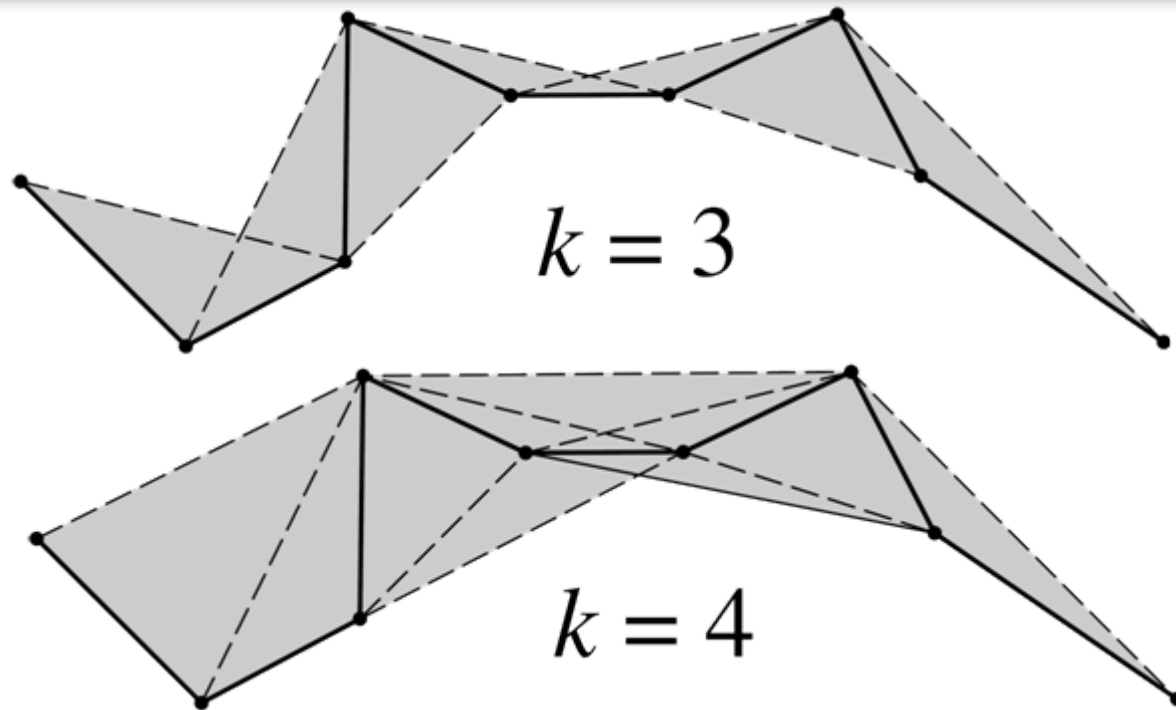
- Notice for order, $k=2$ the degree is one – a straight line
 - ♦ The B-Spline curve is the control polygon

B-Spline Curves – Convex Hulls



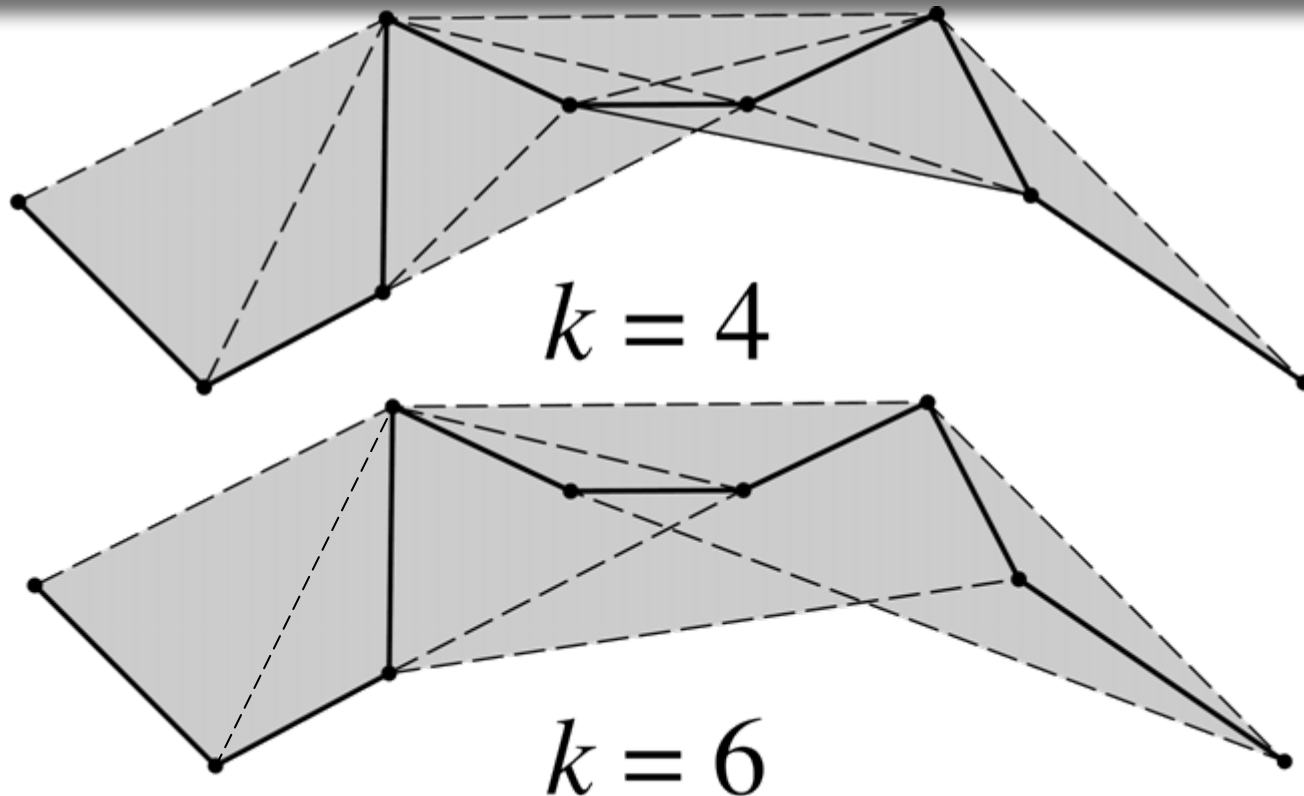
- For $k = 3$ a larger region may contain the curve
- The B-spline curve will not exactly follow polygon

B-Spline Curves – Convex Hulls



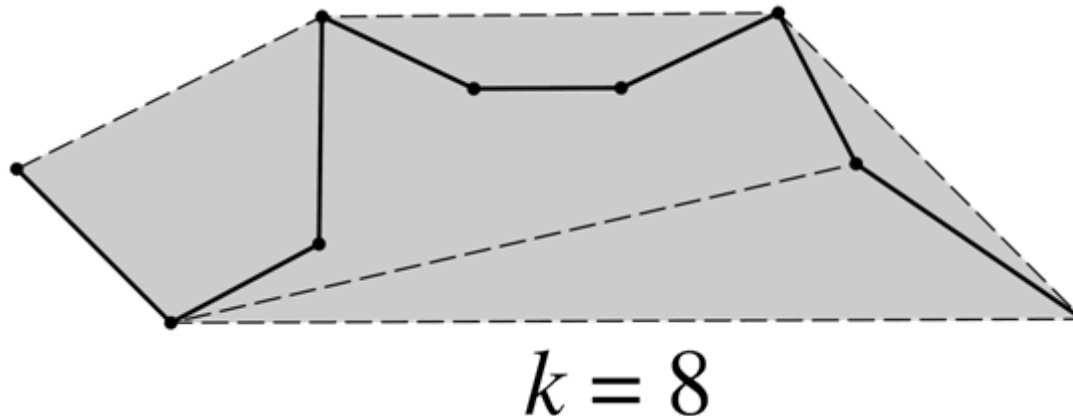
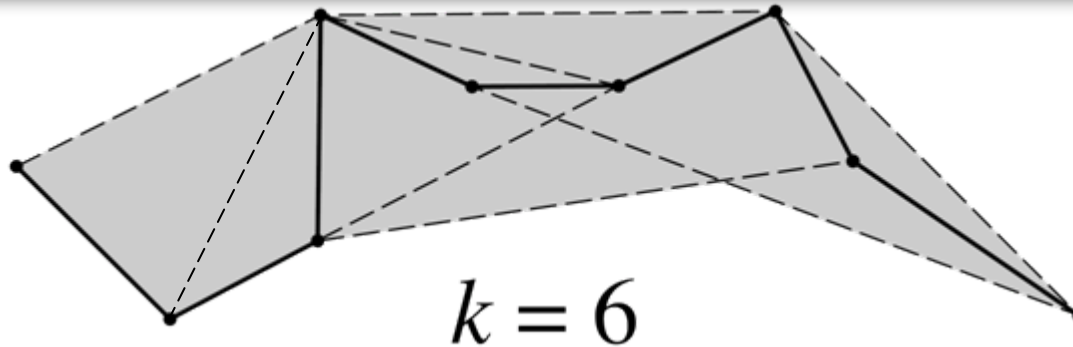
The higher the order the less closely the B-spline curve follows the control polygon

B-Spline Curves – Convex Hulls



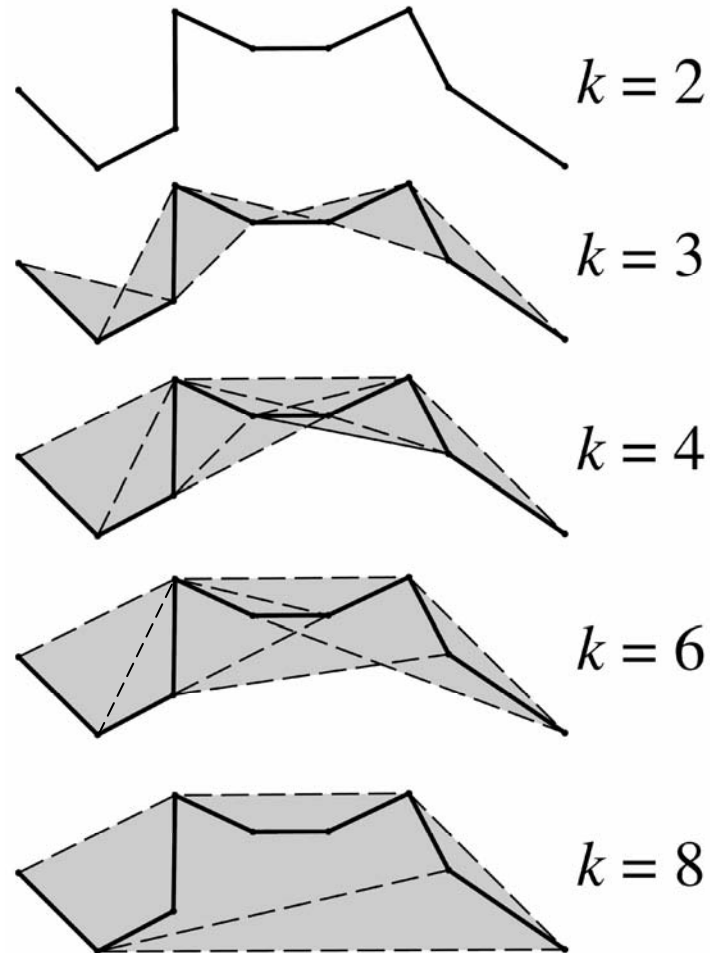
The higher the order the less closely the B-spline curve follows the control polygon

B-Spline Curves – Convex Hulls



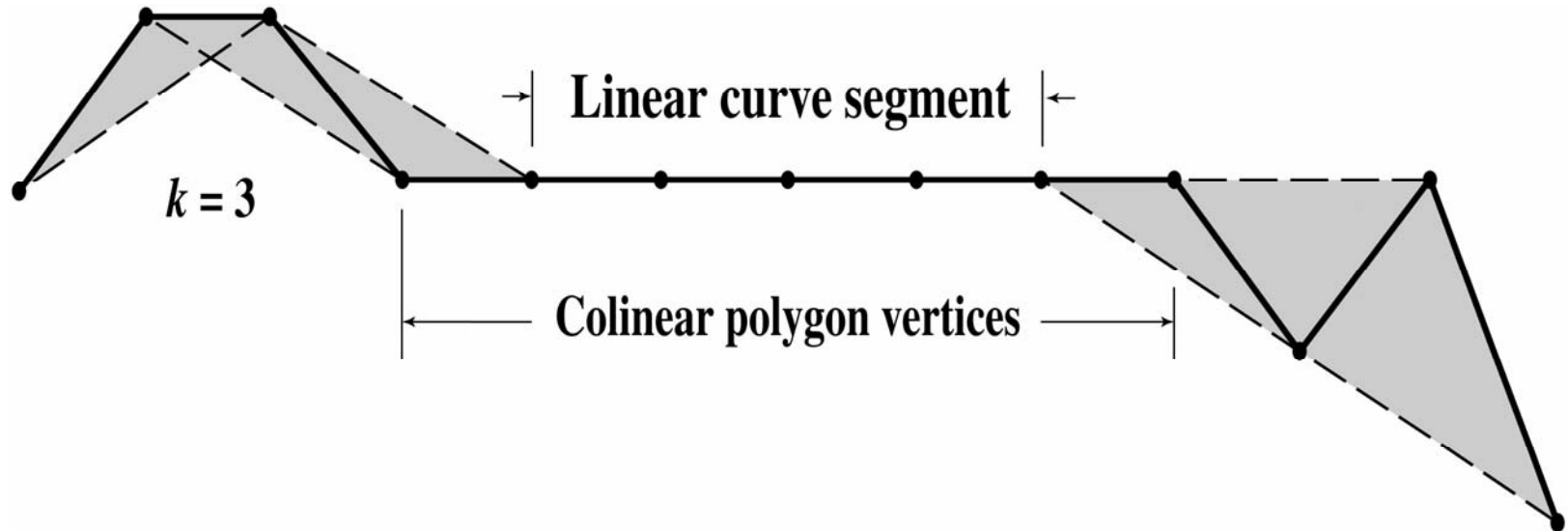
The higher the order the less closely the B-spline curve follows the control polygon

B-Spline Curves – Convex Hulls



B-Spline Curves – Convex Hulls

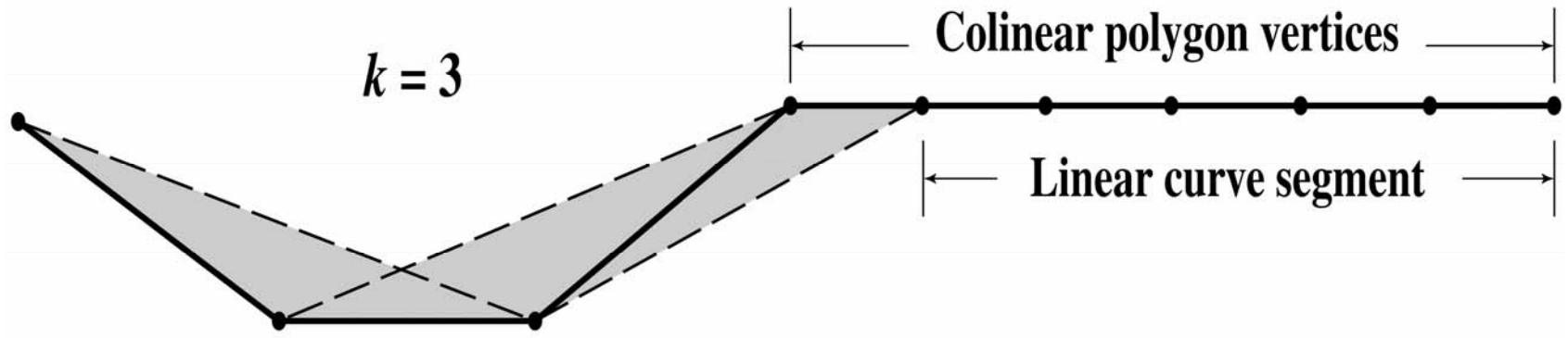
Straight segments



Straight line results start and stop $k-2$ spans from the ends of the co-linear segments

B-Spline Curves – Convex Hulls

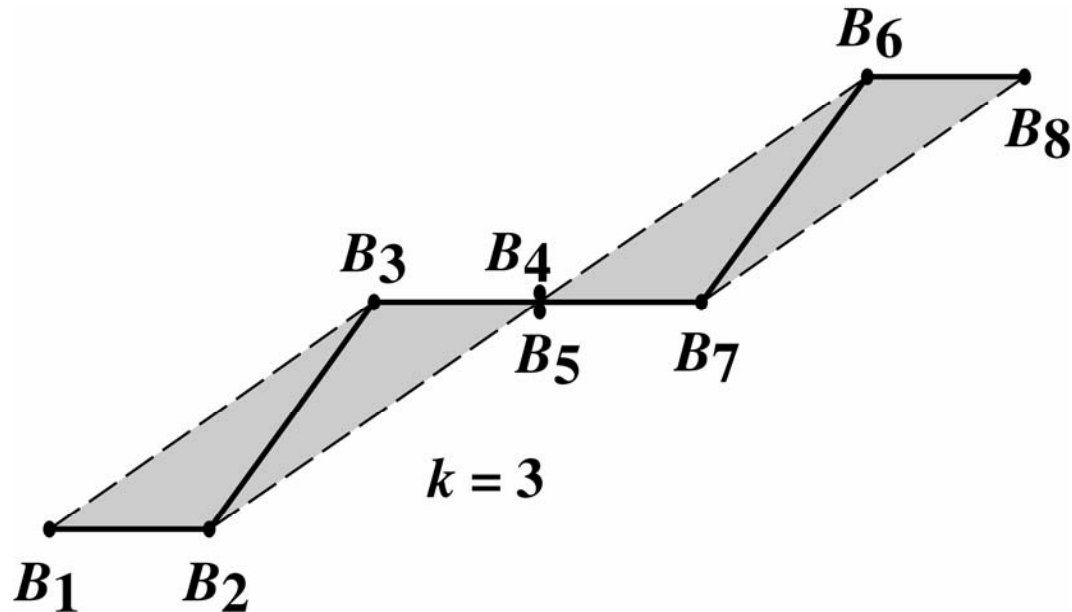
Straight segments at ends



For l colinear vertices then the number of linear segments at the end is at least $l - k + 1$

B-Spline Curves – Convex Hulls

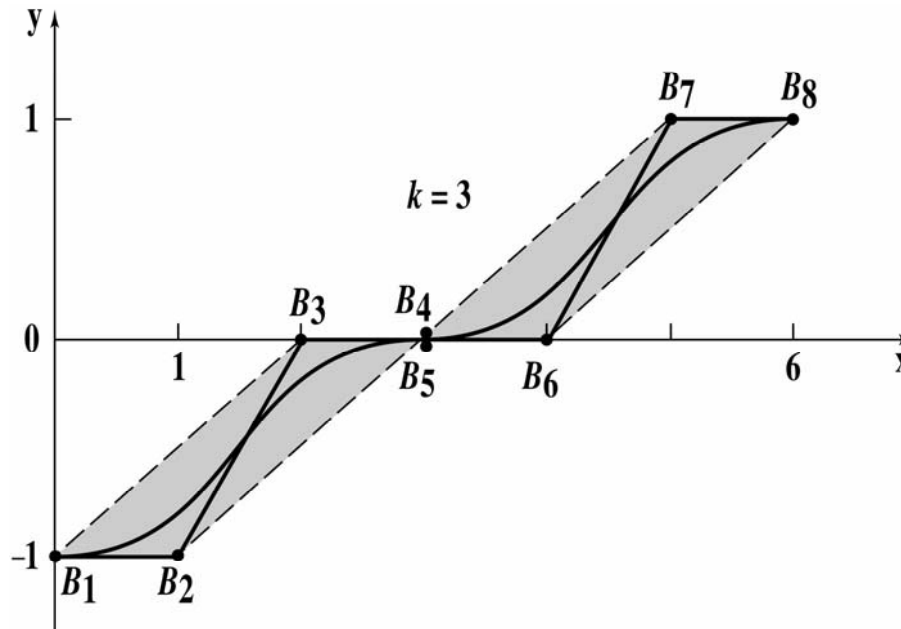
Coincident vertices



$k-1$ coincident vertices are required for the curve to pass through the vertices

B-Spline Curves – Convex Hulls

Coincident vertices



The curve smoothly transitions through the coincident vertices with C^{k-2} continuity



B-Spline Curves – Knot Vectors

- Only requirement

$$x_i \leq x_{i+1}$$

- Uniform – evenly spaced

$$[0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5]$$

$$[-0.2 \quad -0.1 \quad 0 \quad 0.1 \quad 0.2 \quad 0.3]$$

- Typically begin at zero, may normalize to

$$0 \leq x_i \leq 1.0$$

$$[0 \quad 0.2 \quad 0.4 \quad 0.6 \quad 0.8 \quad 1.0]$$

Knot Vectors – Open Uniform

- Multiplicity equal to k at the ends

$$k=2 \quad [0 \quad 0 \quad 1 \quad 2 \quad 3 \quad 3]$$

$$k=3 \quad [0 \quad 0 \quad 0 \quad 1 \quad 2 \quad 3 \quad 3 \quad 3]$$

$$k=4 \quad [0 \quad 0 \quad 0 \quad 0 \quad 1 \quad 2 \quad 3 \quad 3 \quad 3 \quad 3]$$

- Normalized

$$k=4 \quad [0 \quad 0 \quad 0 \quad 0 \quad 1/3 \quad 2/3 \quad 1 \quad 1 \quad 1 \quad 1]$$

Knot Vectors – Open Uniform

Formal definition

$$x_i = 0 \quad 1 \leq i \leq k$$

$$x_i = i - k \quad k + 1 \leq i \leq n + 1$$

$$x_i = \underbrace{n - k + 2}_{\text{max knot value}} \quad n + 2 \leq i \leq \underbrace{n + k + 1}_{\text{max no. of knots}}$$

Curves behave most nearly like Bézier curves

Knot vectors – Open nonuniform

[0 0 0 1 3/2 2 2 2]

[0 0 0 1 1 2 2 2]

Repeating knot value

Basis functions

$$N_{i,1}(t) = \begin{cases} 1 & \text{if } x_i \leq t < x_{i+1} \\ 0 & \text{otherwise} \end{cases}$$

$$N_{i,k}(t) = \frac{(t - x_i)N_{i,k-1}(t)}{x_{i+k-1} - x_i} + \frac{(x_{i+k} - t)N_{i+1,k-1}(t)}{x_{i+k} - x_{i+1}}$$

- x_i s are the elements of a knot vector
- Note: $0/0 \equiv 0$
- Recursion relation: dependent on knot vector

Basis functions – Dependencies

Form triangular pattern

$$\begin{array}{cccccc} N_{i,k} & & & & & \\ N_{i,k-1} & N_{i+1,k-1} & & & & \\ N_{i,k-2} & N_{i+1,k-2} & N_{i+2,k-2} & & & \\ \cdot & & & \cdot & & \\ \cdot & & & \cdot & \cdot & \\ N_{i,1} & N_{i+1,1} & N_{i+2,1} & N_{i+3,1} & \cdot & N_{i+k-1,1} \end{array}$$

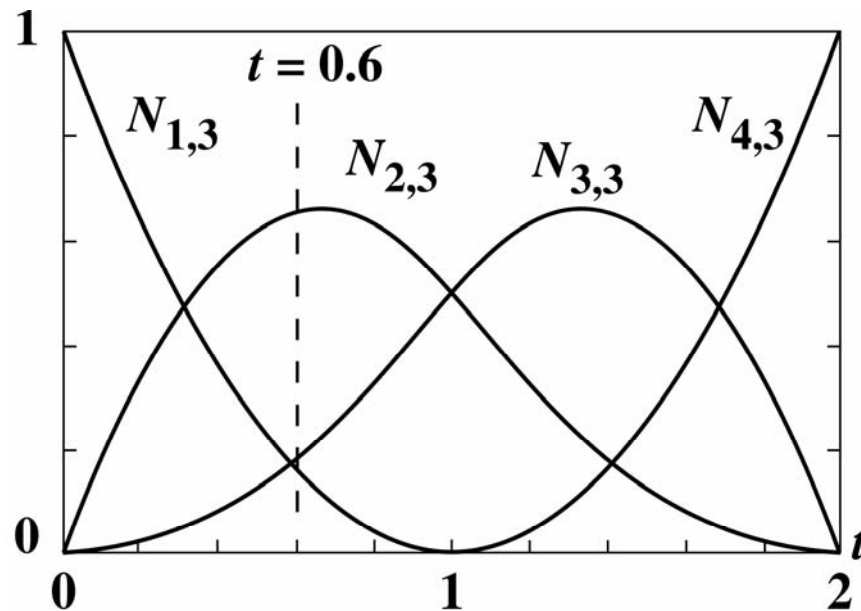
The single basis function in the first row depends on all those in the last row

Basis Functions – Sum Equals One at Any t

Example: $n+1=4, k=3, t=0.6$

$$[X]=[0 \ 0 \ 0 \ 1 \ 2 \ 2 \ 2]$$

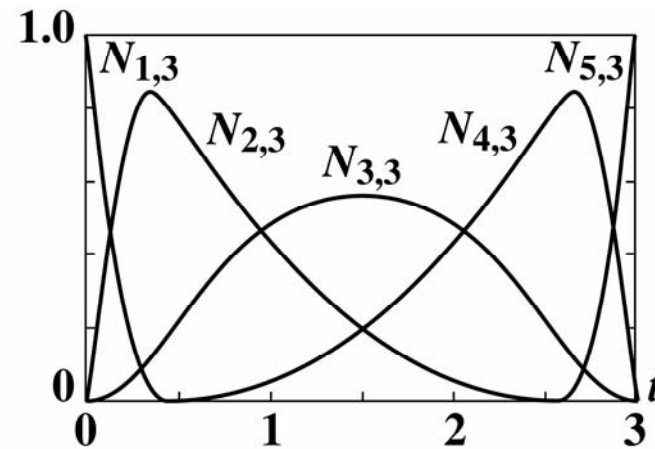
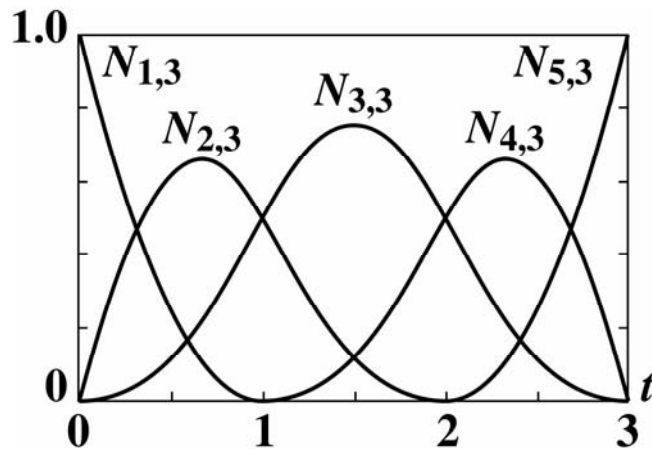
$$N_{1,3}+N_{2,3}+N_{3,3}+N_{4,3}=0.16+0.66+0.18+0.0=1.0$$



Basis Functions – Comparisons

Uniform and nonuniform knot vectors: $k=3, n+1=5$

$$[X] = [0 \ 0 \ 0 \ 1 \ 2 \ 3 \ 3 \ 3] \quad [X] = [0 \ 0 \ 0 \ 0.4 \ 2.6 \ 3 \ 3 \ 3]$$



Notice: $N_{2,3}$ and $N_{4,3}$ pulled left and right.

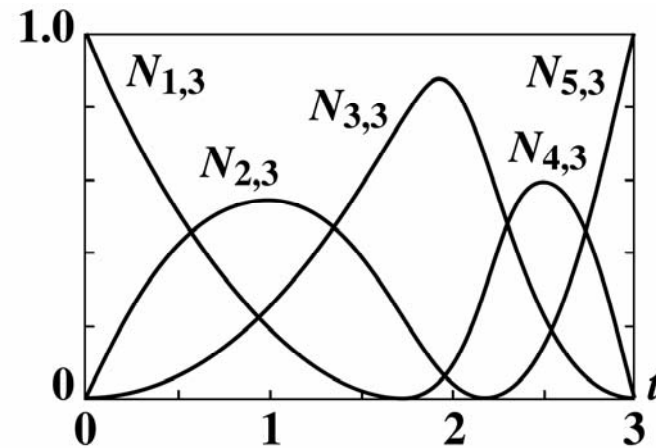
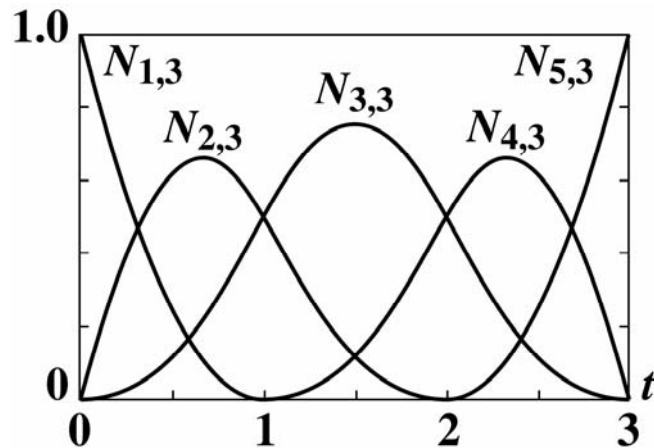
More influence for $B_{2,3}$ and $B_{4,3}$ control vertices

Less for others

Basis Functions – Comparisons

Uniform and nonuniform knot vectors: $k=3, n+1=5$

$$[X] = [0 \ 0 \ 0 \ 1 \ 2 \ 3 \ 3 \ 3] \quad [X] = [0 \ 0 \ 0 \ 1.8 \ 2.2 \ 3 \ 3 \ 3]$$



Notice: $N_{3,3}$ pulled right and magnitude increased.

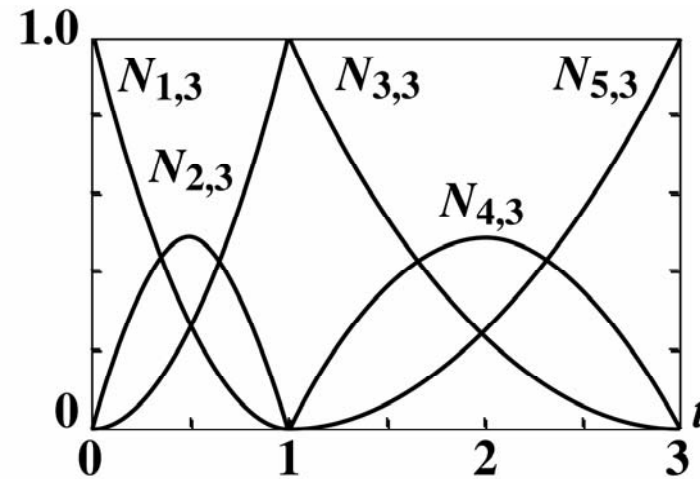
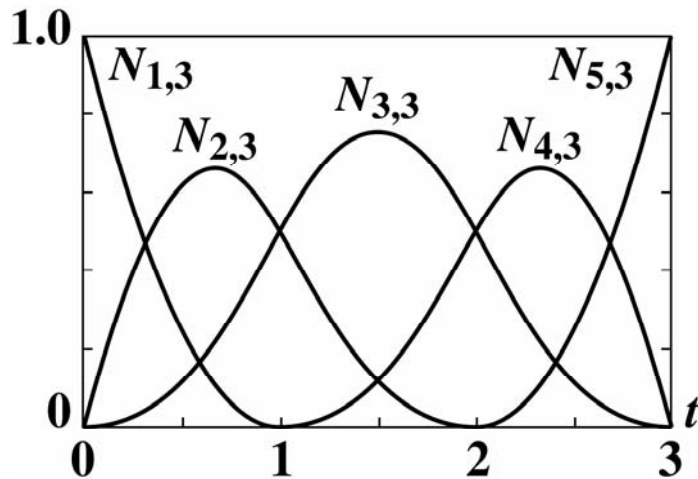
More influence for $B_{3,3}$ control vertices

Less for others

Basis Functions – Comparisons

Multiple duplicate knot values: $k=3, n+1=5$

$$[X] = [0 \ 0 \ 0 \ 1 \ 2 \ 3 \ 3 \ 3] \quad [X] = [0 \ 0 \ 0 \ 1 \ 1 \ 3 \ 3 \ 3]$$



Notice: $N_{3,3}=1$ at $t=1$ while all others zero

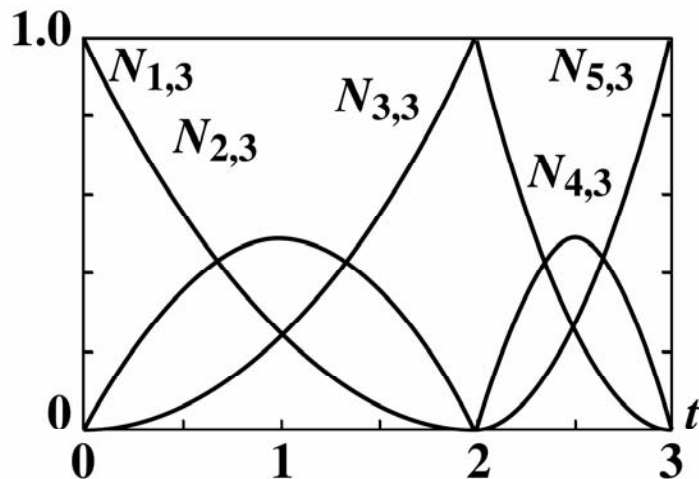
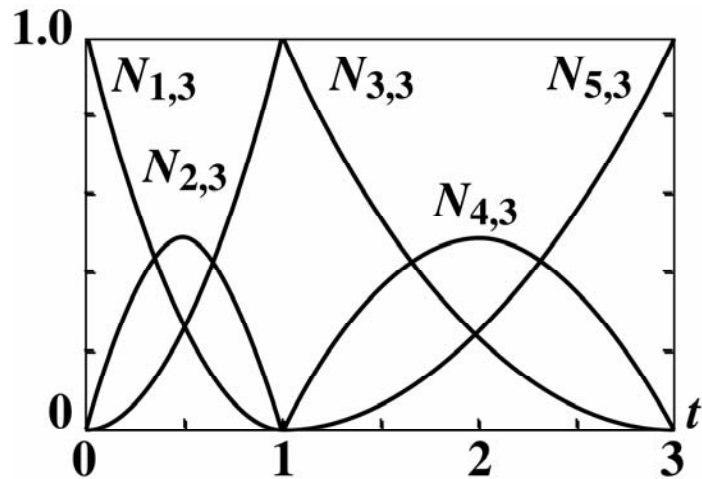
Curve passes through $B_{3,3}$

Continuity reduced

Basis Functions – Comparisons

Multiple duplicate knot values: $k=3, n+1=5$

$$[X] = [0 \ 0 \ 0 \ 1 \ 1 \ 3 \ 3 \ 3] \quad [X] = [0 \ 0 \ 0 \ 2 \ 2 \ 3 \ 3 \ 3]$$



Notice: $N_{3,3}=1$ at $t=2$ while all others zero

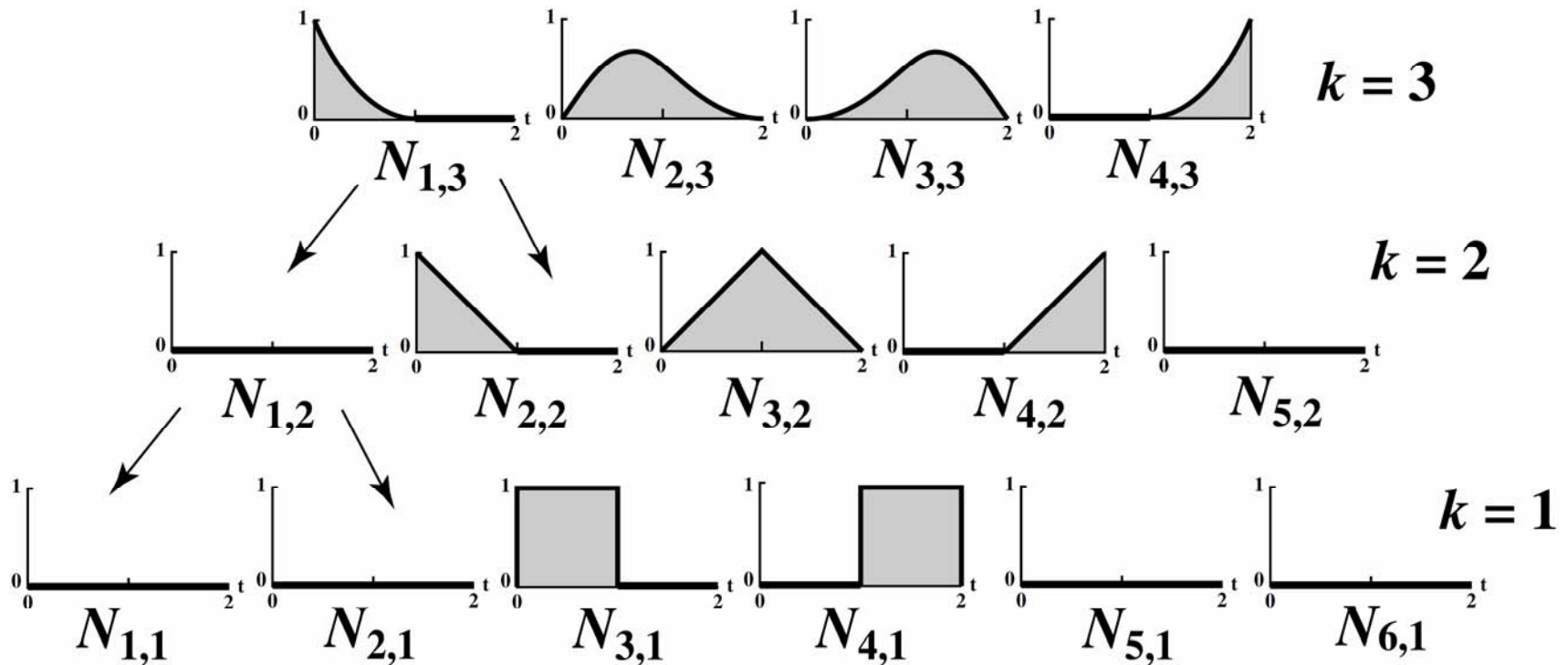
Curve passes through $B_{3,3}$

Continuity reduced

Basis Functions – Buildup

Example: $n+1=4, k=3$

$$[X] = [0 \quad 0 \quad \underbrace{0 \quad 1 \quad 2 \quad 2 \quad 2}_{\text{two spans}}]$$



Basis Functions – Calculation

Example: $n+1=4, k=3$ $[X] = [0 \quad 0 \quad \underbrace{0 \quad 1 \quad 2}_{\text{two spans}} \quad 2 \quad 2]$

$$0 \leq t < 1$$

$$N_{3,1}(t) = 1; N_{i,1}(t) = 0, \quad i \neq 3$$

$$N_{2,2}(t) = 1 - t; N_{3,2}(t) = t; N_{i,2}(t) = 0, \quad i \neq 2, 3$$

$$N_{1,3}(t) = (1 - t)^2; N_{2,3}(t) = t(1 - t) + \frac{(2 - t)}{2}t$$

$$N_{3,3}(t) = \frac{t^2}{2}; N_{i,3}(t) = 0, \quad i \neq 1, 2, 3$$

Basis Functions – Calculation

Example: $n+1=4, k=3$ $[X] = [0 \quad 0 \quad \underbrace{0 \quad 1 \quad 2}_{\text{two spans}} \quad 2 \quad 2]$

$$1 \leq t < 2$$

$$N_{4,1}(t) = 1; \quad N_{i,1}(t) = 0, \quad i \neq 4$$

$$N_{3,2}(t) = (2 - t); \quad N_{4,2}(t) = (t - 1); \quad N_{i,2}(t) = 0, \\ i \neq 3, 4$$

$$N_{2,3}(t) = \frac{(2 - t)^2}{2};$$

$$N_{3,3}(t) = \frac{t(2 - t)}{2} + (2 - t)(t - 1);$$

$$N_{4,3}(t) = (t - 1)^2; \quad N_{i,3}(t) = 0, \quad i \neq 2, 3, 4$$

B-Spline & Bézier Curves

If $k = n + 1$

and

an open knot vector is used

then

the B-spline curve is a Bézier curve

The knot vector is:

k zeros followed by k ones

$k=4$, $[X]=[0 \ 0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1]$



B-Spline Curves – Controls

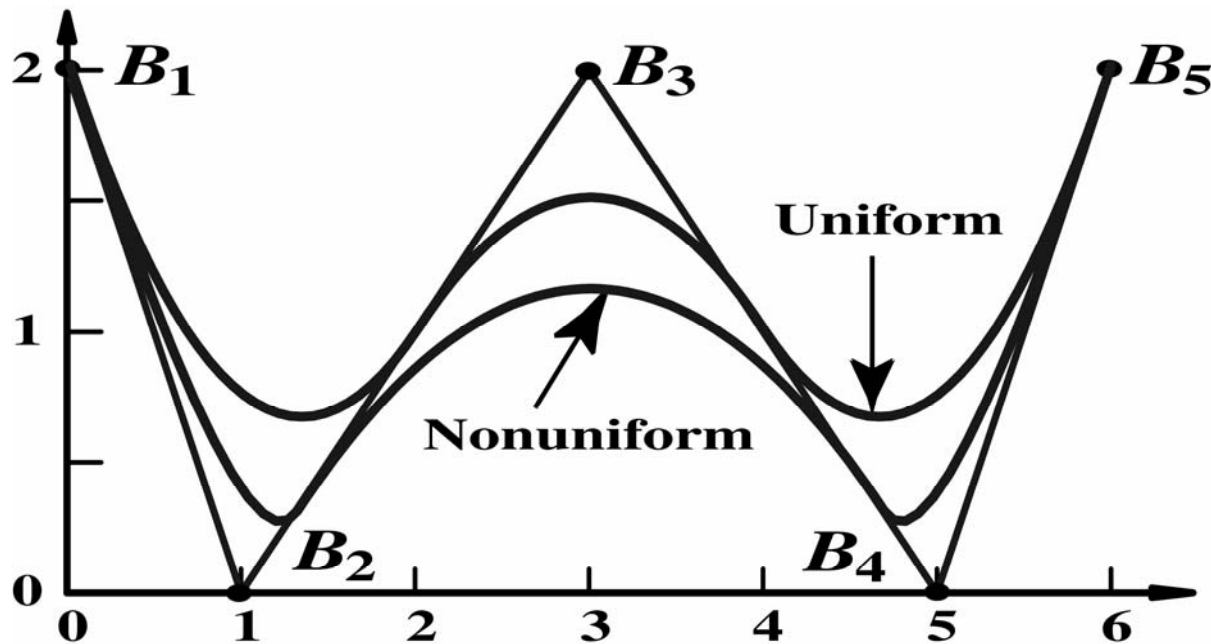
- Change type of knot vector
 - ◆ open uniform
 - ◆ open nonuniform
- Change order k
- Change number/position of control vertices
- Use multiple coincident control vertices
- Use multiple equal internal knot values

B-spline Curves – Controls

Type of knot vector $n+1=5, k=3$

$[X]=[0 \ 0 \ 0 \ 1 \ 2 \ 3 \ 3 \ 3]$ Open uniform

$[X]=[0 \ 0 \ 0 \ 0.4 \ 2.6 \ 3 \ 3 \ 3]$ Open nonuniform



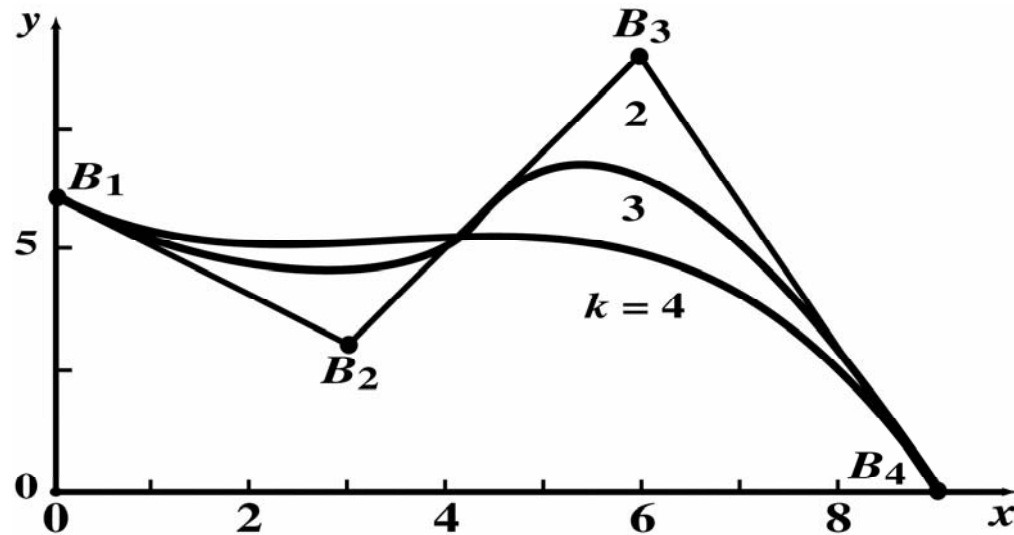
B-spline Curves – Controls

Type of knot vector $n+1=4, k=2,3,4$

$k=2$ $[X]=[0 \ 0 \ 1 \ 2 \ 3 \ 3]$

$k=3$ $[X]=[0 \ 0 \ 0 \ 1 \ 2 \ 2 \ 2]$

$k=4$ $[X]=[0 \ 0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1]$



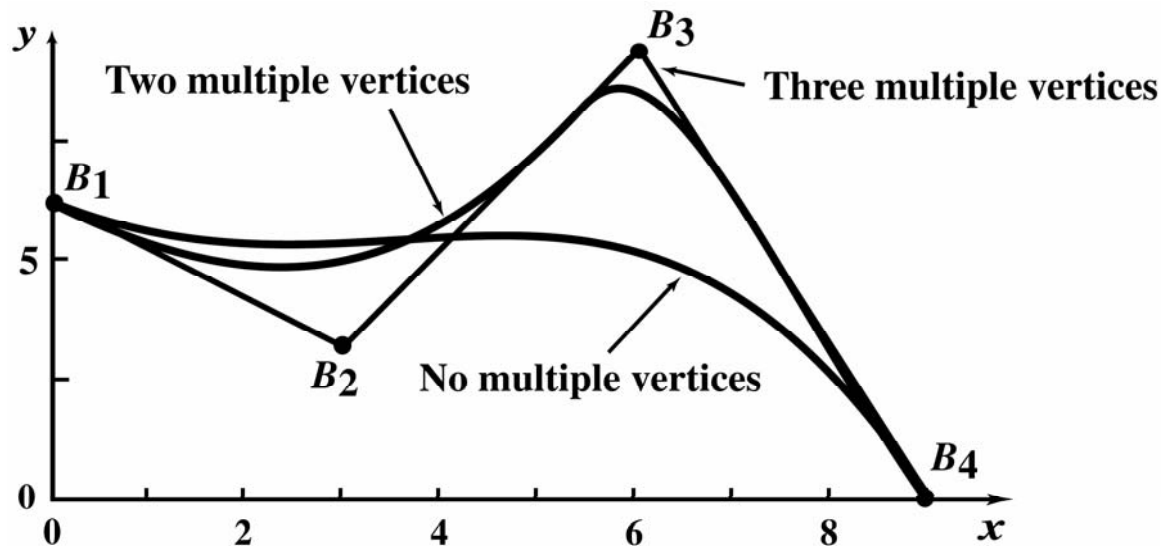
B-spline Curves – Controls

Type of knot vector $k=3, n+1=4,5,6$

$[X]=[0 \ 0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1]$ Single Vertex

$[X]=[0 \ 0 \ 0 \ 0 \ 1 \ 2 \ 2 \ 2 \ 2]$ Double Vertex

$[X]=[0 \ 0 \ 0 \ 0 \ 1 \ 2 \ 3 \ 3 \ 3 \ 3]$ Triple Vertex

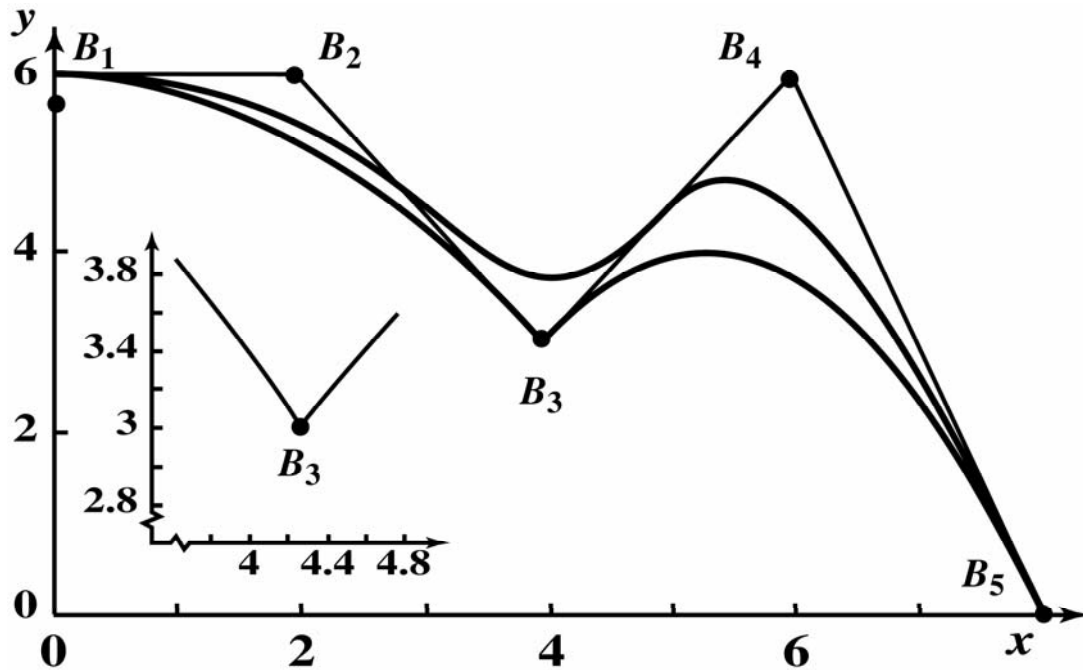


B-spline Curves – Controls

Multiple internal knot values $n+1=5,6$ $k=3$

$$[X]=[0 \ 0 \ 0 \ 1 \ 2 \ 3 \ 3 \ 3]$$

$$[X]=[0 \ 0 \ 0 \ 0 \ 1 \ 1 \ 3 \ 3 \ 3]$$



Other Topics

- Nonrational B-spline curves cannot precisely represent the conic sections
 - Circles
 - Ellipses
 - Parabolas
 - Hyperbolas
- B-spline Curves - Additional Topics
 - Degree elevation
 - Degree reduction
 - Knot insertion
 - Subdivision
 - Reparameterization



Course Download

Course can be downloaded from:

<http://www.cad.zju.edu.cn/home/jqfeng/GM/GM04.zip>

Interactive Spline Notes:

<http://www.people.nnov.ru/fractal/Splines/Intro.htm>