Preliminary Mathematics of Geometric Modeling (4)

Hongxin Zhang and Jieqing Feng

2006-11-30

State Key Lab of CAD&CG Zhejiang University

Differential Geometry of Surfaces

- Tangent plane and surface normal
- First fundamental form / (metric)
- Second fundamental form // (curvature)
- Principal curvatures
- Gaussian and mean curvatures
 - Explicit surfaces
 - Implicit surfaces
- Euler's theorem

Principal curvature: the extrema of normal curvature



Definition of normal curvature

The normal curvature is determined by
$$\lambda = \frac{dv}{du}$$

 $\kappa_n = \frac{II}{I} = \frac{L + 2M\lambda + N\lambda^2}{E + 2F\lambda + G\lambda^2}$

The extrema of the κ_n is arrived at $\frac{d\kappa_n}{d\lambda} = 0$

 $(E + 2F\lambda + G\lambda^2)(N\lambda + M) - (L + 2M\lambda + N\lambda^2)(G\lambda + F) = 0$

Hence
$$\kappa_n = \frac{L+2M\lambda+N\lambda^2}{E+2F\lambda+G\lambda^2} = \frac{M+N\lambda}{F+G\lambda}$$
 Extreme case

Since

$$E + 2F\lambda + G\lambda^2 = (E + F\lambda) + \lambda(F + G\lambda)$$
$$L + 2M\lambda + N\lambda^2 = (L + M\lambda) + \lambda(M + N\lambda)$$

$$(E + 2F\lambda + G\lambda^{2})(N\lambda + M) - (L + 2M\lambda + N\lambda^{2})(G\lambda + F) = 0$$

$$(E + F\lambda)(M + N\lambda) = (L + M\lambda)(F + G\lambda)$$

Hence

$$\kappa_{n} = \frac{L + 2M\lambda + N\lambda^{2}}{E + 2F\lambda + G\lambda^{2}} = \frac{M + N\lambda}{F + G\lambda} = \frac{L + M\lambda}{E + F\lambda}$$
The extreme values of κ_{n} satisfy:

$$(L - \kappa_{n}E)du + (M - \kappa_{n}F)dv = 0$$

$$(M - \kappa_{n}F)du + (N - \kappa_{n}G)dv = 0$$

The homogeneous linear system of equations for du, dv have a nontrivial solution iff

$$\begin{vmatrix} L - \kappa_n E & M - \kappa_n F \\ M - \kappa_n F & N - \kappa_n G \end{vmatrix} = 0$$
expanding

 $(EG - F^2)\kappa_n^2 - (EN + GL - 2FM)\kappa_n + (LN - M^2) = 0$

Discriminant D of above quadratic equation in κ_n

$$D = 4\left(\frac{EG - F^2}{E^2}\right)(EM - FL)^2 + \left(EN - GL - \frac{2F}{E}(EM - FL)\right)^2 \ge \mathbf{0}$$

- D > 0 : two extreme normal curvatures (max/min) iff
- $D = 0 \Leftrightarrow EM FL = 0$ and EN GL = 0 $\Leftrightarrow \exists \text{ const. } k, \text{ s.t. } L = kE, M = kF, N = kG$
 - Umbilic point: the normal curvature is the same in all directions.

Let
$$K = \frac{LN - M^2}{EG - F^2}$$
 \leftarrow Gaussian (Gauss) curvature
 $H = \frac{EN + GL - 2FM}{2(EG - F^2)}$ \leftarrow Mean curvature

Then

$$(EG - F^2)\kappa_n^2 - (EN + GL - 2FM)\kappa_n + (LN - M^2) = 0$$

$$\mathbf{k}_n^2 - 2H\kappa_n + K = 0$$

Solving

$$\kappa_n^2 - 2H\kappa_n + K = 0$$

We have $\kappa_{max} = H + \sqrt{H^2 - K}$ $\kappa_{min} = H - \sqrt{H^2 - K}$

- κ_{max} and κ_{min} are maximum and minimum principle curvature

Computation of principal directions

$$\kappa_n = \frac{L + 2M\lambda + N\lambda^2}{E + 2F\lambda + G\lambda^2} = \frac{M + N\lambda}{F + G\lambda} = \frac{L + M\lambda}{E + F\lambda}$$

$$\lambda = -\frac{M - \kappa_n F}{N - \kappa_n G} \qquad \lambda = -\frac{L - \kappa_n E}{M - \kappa_n F}$$

• κ_n takes either κ_{max} or κ_{min}

$$\kappa_{max} = H + \sqrt{H^2 - K}$$
$$\kappa_{min} = H - \sqrt{H^2 - K}$$

• When D=0 or $H^2=K$, κ_n is a double root

$$\kappa_{max} = \kappa_{min} = H$$

- The point is an umbilical point, which is locally a part of sphere with radius of curvature
- When K=H=0, the point is an flat/planar point

Principal directions

$$(E + 2F\lambda + G\lambda^{2})(N\lambda + M) - (L + 2M\lambda + N\lambda^{2})(G\lambda + F) = 0$$

removing cubic term about λ
 $(FN - GM)\lambda^{2} + (EN - GL)\lambda + (EM - FL) = 0$

 The discriminant is same with that of the principal curvature as follows

$$D = 4\left(\frac{EG - F^2}{E^2}\right)(EM - FL)^2 + \left(EN - GL - \frac{2F}{E}(EM - FL)\right)^2 \ge \mathbf{0}$$

$$D=0 \rightarrow L = kE, M = kF, N = kG$$

 $FN=GM EN=GL \text{ and } EM=FL \longrightarrow$

Umbilical point: the principal directions are not defined!

Principal directions

When discriminant D>0, the principal directions λ_{max} and λ_{min} satisfy $(FN - GM)\lambda_{max}^2 + (EN - GL)\lambda_{max} + (EM - FL) = 0$ $(FN - GM)\lambda_{min}^2 + (EN - GL)\lambda_{min} + (EM - FL) = 0$ $\lambda_{max} + \lambda_{min} = -\frac{EN - GL}{FN - GM}$ $\lambda_{max}\lambda_{min} = \frac{EM - FL}{FN - GM} \; ,$

Principal directions

Recall the orthogonal condition for two tangent vectors $Edu_1du_2 + F(du_1dv_2 + dv_1du_2) + Gdv_1dv_2 = 0$

Substitute above principal directions into it $E + F(\lambda_{max} + \lambda_{min}) + G\lambda_{max}\lambda_{min}$ $= \frac{1}{FN - GM}[E(FN - GM) - F(EN - GL) + G(EM - FL)]$ = 0

The two principal directions are orthogonal !

Principal curvatures and directions



Directions at one points on the surface

An example of line of curvature

• Line of curvature: a curve on a surface whose tangent at each point is in a principal direction



Example of lines of curvature: Solid lines: maximum principal direction Dashed lines: minimum principal direction

Lines of curvature

- Surface parametrization: orthogonal net of lines
 - Lines of curvature
 - The sufficient and necessary condition for the parametric lines to be lines of curvature

F = M = 0

Recall that the arc length parameter for curve

Example: principal curvature

Revolution surface

- Meridian curve
 - x=f(t), z=g(t)rotate along *z*-axis
- Rotation angle: θ
- Parallels curve: circles
- Surface equation: $\mathbf{r} = (f(t)\cos\theta, f(t)\sin\theta, g(t))^T$



Revolution surface

Example: principal curvature

$$\mathbf{r} = (f(t)\cos\theta, f(t)\sin\theta, g(t))^{T}$$

$$\mathbf{r}_{t} = (\dot{f}(t)\cos\theta, \dot{f}(t)\sin\theta, \dot{g}(t))^{T} \quad \mathbf{r}_{\theta} = (-f(t)\sin\theta, f(t)\cos\theta, 0)^{T}$$
Thus $E = \dot{f}^{2}(t) + \dot{g}^{2}(t), \quad F = 0, \quad G = f^{2}(t)$
The meridians and parallels are orthogonal
Finally $L = \frac{-\ddot{f}\dot{g} + \dot{f}\ddot{g}}{\sqrt{\dot{f}^{2}(t) + \dot{g}^{2}(t)}}, \quad M = 0, \quad N = \frac{f\dot{g}}{\sqrt{\dot{f}^{2}(t) + \dot{g}^{2}(t)}}$

The meridians and parallels of a surface of revolution are the lines of curvature.

Gaussian and mean curvatures

 Gaussian curvature: the product of the two principal curvatures

$$K = \kappa_{max} \kappa_{min}$$

Mean curvature: the average of the two principal curvatures

$$H = \frac{\kappa_{max} + \kappa_{min}}{2}$$

Gaussian and mean curvatures

• Sign of *K* is same as $LN-M^2$: $EG-F^2>0$

$$K = \frac{LN - M^2}{EG - F^2}$$

- K > 0 : elliptic, κ_{max} and κ_{min} same sign
- K < 0: hyperbolic, κ_{max} and κ_{min} different sign
- K=0 and $H\neq 0$: parabolic, one of κ_{max} and κ_{min} is zero
- K = H = 0 : flat / planar, $\kappa_{max} = \kappa_{min} = 0$

Computation of Gaussian and mean Curvatures

• **Explicit surfaces**: z=h(x,y)

• Implicit surfaces: f(x,y,z)=0

Explicit surfaces

• Explicit surface

z=h(x,y)

can be converted into a parametric form $\mathbf{r} = (u, v, h(u, v))$

where u=x, v=y

 Monge form: the parametric form of explicit surface

Explicit surfaces

Normal $\mathbf{N} = \frac{(-h_x, -h_y, 1)^T}{\sqrt{1 + h_x^2 + h_y^2}}$

The first fundamental form coefficients $E = 1 + h_x^2$, $F = h_x h_y$, $G = 1 + h_y^2$

The second fundamental form coefficients $L = \frac{h_{xx}}{\sqrt{1 + h_x^2 + h_y^2}} \quad M = \frac{h_{xy}}{\sqrt{1 + h_x^2 + h_y^2}} \quad N = \frac{h_{yy}}{\sqrt{1 + h_x^2 + h_y^2}}$

Explicit surfaces

Finally

$$K = \frac{LN - M^2}{EG - F^2} = \frac{h_{xx}h_{yy} - h_{xy}^2}{(1 + h_x^2 + h_y^2)^2}$$

$$H = \frac{EN + GL - 2FM}{2(EG - F^2)}$$

$$= \frac{(1 + h_x^2)h_{yy} - 2h_xh_yh_{xy} + (1 + h_y^2)h_{xx}}{2(1 + h_x^2 + h_y^2)^{3/2}}$$



Derivatives:

$$h_x = y, \quad h_y = x, \quad h_{xx} = 0, \quad h_{xy} = 1, \quad h_{yy} = 0$$

Normal:

$$\mathbf{N} = \frac{(-y, -x, 1)^T}{\sqrt{x^2 + y^2 + 1}}$$

First fundamental form coefficients: $E = 1 + y^2$, F = xy, $G = 1 + x^2$

The second fundamental coefficients:

$$L = 0, \quad M = \frac{1}{\sqrt{x^2 + y^2 + 1}}, \quad N = 0$$

Gaussian and mean curvatures: *K*<0, hyperbolic point

$$K = -\frac{1}{(x^2 + y^2 + 1)^2}, \qquad H = -\frac{xy}{(x^2 + y^2 + 1)^{\frac{3}{2}}}$$

L=N=0 and $M\neq 0$:

The surface intersects its tangent plane at the iso-parametric lines

Principal curvatures:

$$\kappa_{max} = \frac{-xy + \sqrt{(x^2 + 1)(y^2 + 1)}}{(x^2 + y^2 + 1)^{\frac{3}{2}}} > 0$$

$$\kappa_{min} = \frac{-xy - \sqrt{(x^2 + 1)(y^2 + 1)}}{(x^2 + y^2 + 1)^{\frac{3}{2}}} < 0$$

Implicit surfaces

- Differential geometry: local geometric properties
- The problems of implicit surfaces can be converted as those of explicit surfaces by using inverse function theorem.
 - if f(x,y,z)=0 and $f_z \neq 0$,

then *z* can be expressed as function of x and y

z=h(x,y)

• It is similar for $f_x \neq 0$ or $f_y \neq 0$.

Implicit surfaces

Computing the partial derivatives z=h(x,y)f(x,y,z)=0 or f(x,y,h(x,y))=0 $\frac{\partial f}{\partial x} + \frac{\partial f}{\partial z}\frac{\partial z}{\partial x} = 0 \qquad \frac{\partial f}{\partial y} + \frac{\partial f}{\partial z}\frac{\partial z}{\partial y} = 0$ z=h(x,y) $h_x = -\frac{f_x}{f_z} \qquad h_y = -\frac{f_y}{f_z}$

Implicit surfaces

Computing the partial derivatives z=h(x,y)f(x,y,z)=0 or f(x,y,h(x,y))=0 $h_{xx} = \frac{2f_x f_z f_{xz} - f_x^2 f_{zz} - f_z^2 f_{xx}}{f_z^3}$ $h_{xy} = \frac{f_x f_z f_{yz} + f_y f_z f_{xz} - f_x f_y f_{zz} - f_z^2 f_{xy}}{f_z^3}$ $h_{yy} = \frac{2f_y f_z f_{yz} - f_y^2 f_{zz} - f_z^2 f_{yy}}{f^3}$ Explicit surface

Implicit quadric surface

General form

 $ax^{2} + by^{2} + cz^{2} + dxy + eyz + hxz + kx + ly + mz + n = 0$

Standard form after transformations

$$f(x, y, z) = \zeta \frac{x^2}{a^2} + \eta \frac{y^2}{b^2} + \xi \frac{z^2}{c^2} - \delta = 0$$

•
$$\zeta, \eta, \xi = -1, 0, 1; \delta = 0, 1$$

Classification of implicit quadrics

Implicit Quadrics	ζ	η	ξ	δ
Ellipsoid	1	1	1	1
Hyperboloid of One Sheet	1	1	-1	1
	1	-1	1	1
	-1	1	1	1
Hyperboloid of Two Sheets	1	-1	-1	1
	-1	1	-1	1
	-1	-1	1	1
Elliptic Cone	1	1	-1	0
	1	-1	1	0
	-1	1	1	0
Elliptic Cylinder	1	1	0	1
	1	0	1	1
	0	1	1	1
Hyperbolic Cylinder	1	-1	0	1
	-1	1	0	1
	1	0	-1	1
	-1	0	1	1
	0	1	-1	1
	0	-1	1	1

Implicit quadric surface

Gaussian curvature

$$K(x, y, z) = \frac{\zeta \eta \xi \delta}{a^2 b^2 c^2 (\zeta^2 \frac{x^2}{a^4} + \eta^2 \frac{y^2}{b^4} + \xi^2 \frac{z^2}{c^4})^2}$$

Mean curvature

$$\begin{aligned} H(x,y,z) &= \\ & -\frac{\zeta^2 b^2 c^2 (\xi b^2 + \eta c^2) x^2 + \eta^2 a^2 c^2 (\xi a^2 + \zeta c^2) y^2 + \xi^2 a^2 b^2 (\eta a^2 + \zeta b^2) z^2}{2a^4 b^4 c^4 (\zeta^2 \frac{x^2}{a^4} + \eta^2 \frac{y^2}{b^4} + \xi^2 \frac{z^2}{c^4})^{\frac{3}{2}}} \end{aligned}$$

Principal curvatures $\kappa(x, y, z) = H \pm \sqrt{H^2 - K}$

Hyperbolic cylinder

When
$$\zeta = \delta = 1$$
, $\eta = -1$, $\xi = 0$

$$f(x,y) = \frac{x^2}{a^2} - \frac{y^2}{b^2} - 1 = 0$$

we have

$$\begin{split} K &= 0, \quad H = \frac{b^2 x^2 - a^2 y^2}{2a^4 b^4 (\frac{x^2}{a^4} + \frac{y^2}{b^4})^{\frac{3}{2}}} , \\ \kappa_{max} &= \frac{b^2 x^2 - a^2 y^2}{a^4 b^4 (\frac{x^2}{a^4} + \frac{y^2}{b^4})^{\frac{3}{2}}}, \quad \kappa_{min} = 0 \end{split}$$

Ellipsoid

When
$$\zeta = \eta = \xi = \delta = 1$$

 $f(x, y, z) = \frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} - 1 = 0$
We have
 $K = \frac{1}{a^2b^2c^2\left(\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}\right)^2}$
 $H = \frac{x^2 + y^2 + z^2 - a^2 - b^2 - c^2}{2a^2b^2c^2\left(\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}\right)^{\frac{3}{2}}}$

Ellipsoid

Principal curvatures

$$\begin{split} \kappa &= \frac{x^2 + y^2 + z^2 - a^2 - b^2 - c^2}{2a^2b^2c^2\left(\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}\right)^{\frac{3}{2}}} \\ &\pm \frac{\sqrt{(x^2 + y^2 + z^2 - a^2 - b^2 - c^2)^2 - 4a^2b^2c^2\left(\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}\right)}}{2a^2b^2c^2\left(\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}\right)^{\frac{3}{2}}} \end{split}$$

Special ellipsoid: sphere

When a=b=c=R, ellipsoid is a sphere $K=1/R^2$, H=-1/R

Since *H*²-*K*=0 for all points on sphere

We have

A sphere is made of entirely nonflat umbilics

Elliptic cone

When
$$\zeta = \eta = 1$$
, $\xi = -1$, $\delta = 0$
$$f(x, y, z) = \frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 0$$

We have

$$K = 0, \quad H = -\frac{x^2 + y^2 + z^2}{2a^2b^2c^2\left(\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}\right)^{\frac{3}{2}}},$$
$$\kappa_{max} = 0, \\ \kappa_{min} = -\frac{x^2 + y^2 + z^2}{a^2b^2c^2\left(\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}\right)^{\frac{3}{2}}}$$

Euler's theorem

Euler's theorem

 The normal curvatures of a surface in an arbitrary direction (in the tangent plane) at point *P* can be expressed in terms of principal curvatures *k*₁ and *k*₂ at point *P*

$$\kappa_n = \kappa_1 \cos^2 \Phi + \kappa_2 \sin^2 \Phi$$

where Φ is the angle between the arbitrary direction and the principal direction κ_1

Euler's theorem: simple proof

Assuming the isoparametric curves of the surface are lines of curvature, then

$$F=M=0$$

Normal curvature is

$$\kappa_n = \frac{Ldu^2 + Ndv^2}{Edu^2 + Gdv^2}$$

The principal curvatures are
$$\kappa_1 = \frac{L}{E}, \quad \kappa_2 = \frac{N}{G}$$

Euler's theorem: simple proof

The angle Φ between dv/du and the principle direction corresponding to $\kappa_1 (dv_1=0, du_1)$ can be evaluated (according to first fundamental form)

$$\cos\Phi = E\frac{du}{ds}\frac{du_1}{ds_1}$$

Since $ds_1 = \sqrt{Edu_1^2}$ and $ds = \sqrt{Edu^2 + Gdv^2}$

$$\cos \Phi = \sqrt{E} \frac{du}{ds}, \qquad \sin \Phi = \sqrt{G} \frac{dv}{ds}$$

Download the courses

http://www.cad.zju.edu.cn/home/jqfeng/GM/GM02.zip