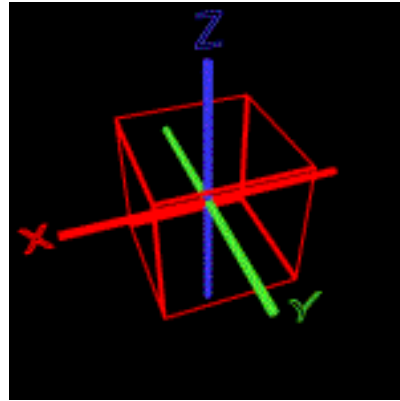


# 变换和旋转表示



金小剛

Email: [jin@cad.zju.edu.cn](mailto:jin@cad.zju.edu.cn)

浙江大学CAD&CG系统全国重点实验室

紫金港校区蒙民伟楼512

Course web: <http://www.cad.zju.edu.cn/home/jin/animation.htm>

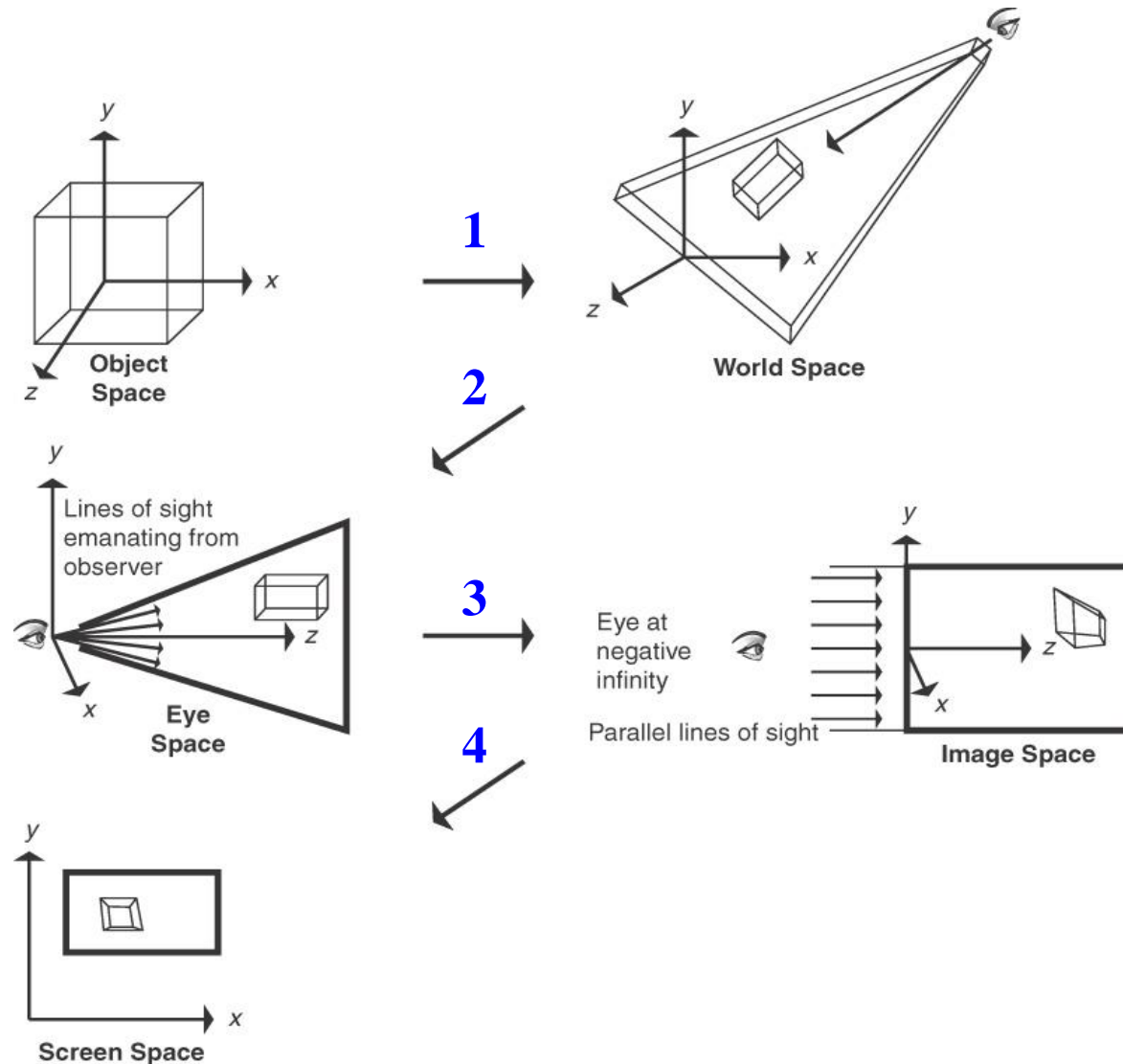
# Motion Specification

- **Low level** techniques (techniques that aid the animator in precisely specifying motion)
- **High level** techniques (techniques used to describe general motion behavior)
- 平移变换、比例变换和旋转变换的运动指定大都属于Low Level
- 变换可以用来改变物体的位置、形状；对物体、**光源和摄像机**设置动画等

# Technical Background

- Spaces and transformations
  - Coordinate Space: left-handed, right-handed, local coordinate system, global coordinate system
  - Viewing pipeline
    - Homogeneous coordinate, Transformation matrix, Matrix Concatenation
- Orientation representation
  - Rotation matrix
  - Fixed angle
  - Euler angle
  - Angle and Axis
  - **Quaternion**

# Space Transformation in Display Pipeline



# 3-D Transformations

- Translate, scale, or rotate a point  $\mathbf{p}$  to  $\mathbf{p}'$ 
  - $\mathbf{p}' = \mathbf{p} + \mathbf{T}$
  - $\mathbf{p}' = \mathbf{S}\mathbf{p}$
  - $\mathbf{p}' = \mathbf{R}\mathbf{p}$
- How to treat these transformations in a unified way?
  - $\mathbf{p}' = \mathbf{M}\mathbf{p}$
  - All in the homogeneous coordinate
- $\mathbf{M}$  can be used for **animation**, viewing, or modeling

# Homogeneous Coordinate

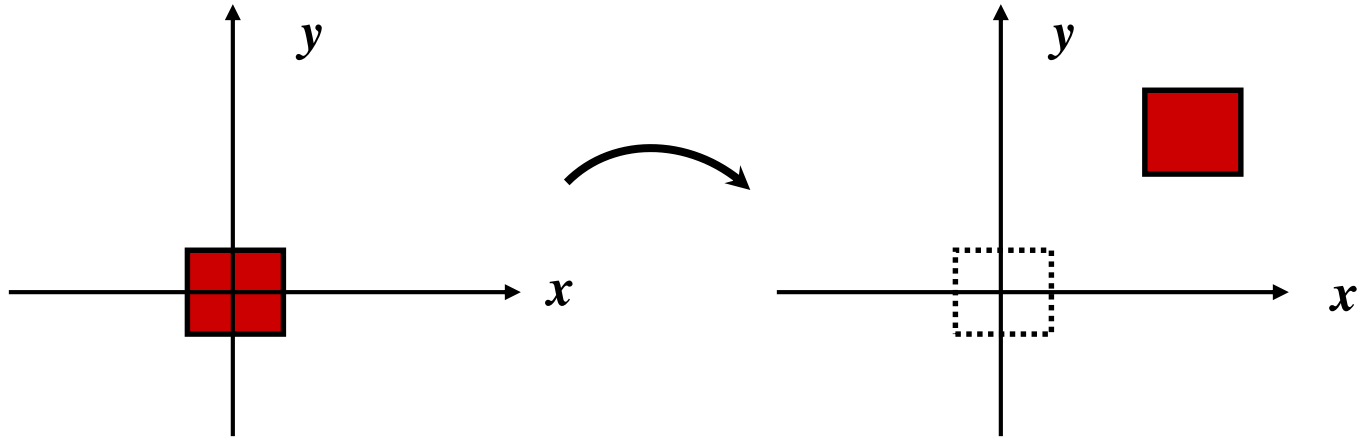
- In graphics, we use homogeneous coordinate for transformation
- 4x4 matrix can represent translation, scaling, and rotation and other transformations

$$\left(\frac{x}{w}, \frac{y}{w}, \frac{z}{w}\right) \Leftarrow [x, y, z, w]$$

- Typically, when transforming a point in 3D space, we set  $w = 1$

$$(x, y, z) \Leftarrow [x, y, z, 1]$$

# Translation



$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

*New point in 3D space*

*Point in 3D space*

*Transformation matrix*

# Scaling

---

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

# Rotation

- X axis

$$R_x(\theta) \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- Y axis

$$R_y(\theta) \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- Z axis

$$R_z(\theta) \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

# Rotation

- **性质1:** 迹与旋转轴无关, 都为

$$\text{tr}(R) = 1 + \cos 2\theta$$

- **性质2:** 所有旋转矩阵为正交阵, 多个旋转矩阵相乘仍为正交阵。

$$R^{-1} = R^T$$

# Transformations Concatenation(矩阵的串连)

- Transformations can be treated as a series of matrix multiplications

$$\mathbf{P}' = \mathbf{M}_1 \mathbf{M}_2 \mathbf{M}_3 \cdots \mathbf{M}_n \mathbf{P}$$

$$\mathbf{M} = \mathbf{M}_1 \mathbf{M}_2 \mathbf{M}_3 \cdots \mathbf{M}_n$$

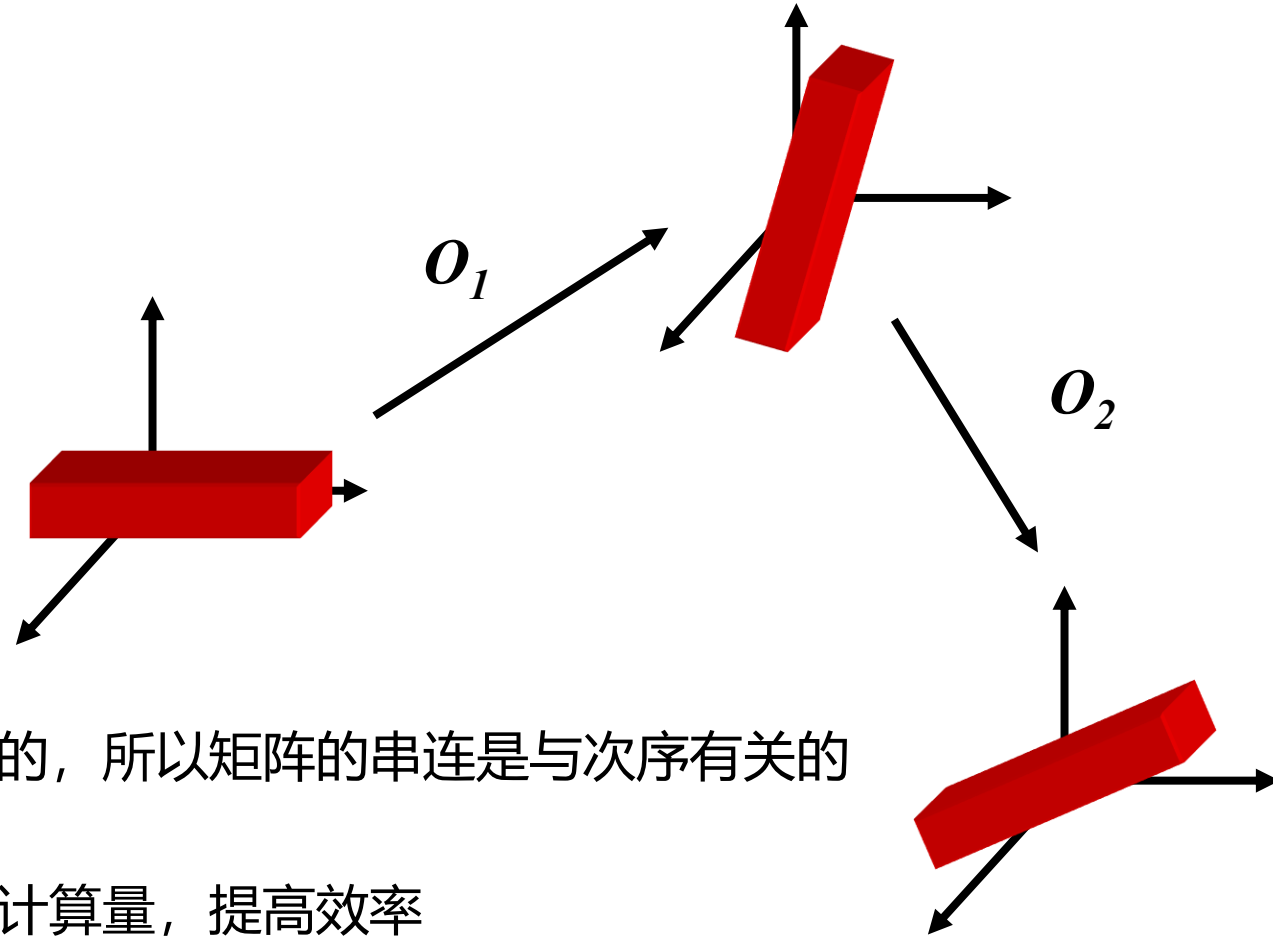
$$\mathbf{P}' = \mathbf{M} \mathbf{P}$$

$$\mathbf{P}'^T = (\mathbf{M}_1 \mathbf{M}_2 \mathbf{M}_3 \cdots \mathbf{M}_n \mathbf{P})^T$$

$$\mathbf{M}^T = \mathbf{M}_n^T \mathbf{M}_{n-1}^T \cdots \mathbf{M}_2^T \mathbf{M}_1^T$$

$$\mathbf{P}'^T = \mathbf{P}^T \mathbf{M}^T$$

# Transformation Concatenation



- 因矩阵相乘是不可交换的，所以矩阵的串连是与次序有关的
- 矩阵串连的好处：节约计算量，提高效率

# Compound Transformation(复合变换)

*rotation, scaling*  $\left[ \begin{array}{ccc|c} s_x \cos \theta & -\sin \theta & 0 & t_x \\ \sin \theta & s_y \cos \theta & 0 & t_y \\ 0 & 0 & s_z & t_z \\ 0 & 0 & 0 & 1 \end{array} \right]$  *translation*

# 刚体变换

- 只有物体的位置（平移变换）和朝向（旋转变换）发生改变，而形状不变，得到的变换称为**刚体变换**
- 特点：**保持长度和角度**

$$\mathbf{X} = \mathbf{T}(\mathbf{t})\mathbf{R} = \begin{pmatrix} r_{00} & r_{01} & r_{02} & t_x \\ r_{10} & r_{11} & r_{12} & t_y \\ r_{20} & r_{21} & r_{22} & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

其逆矩阵的计算：

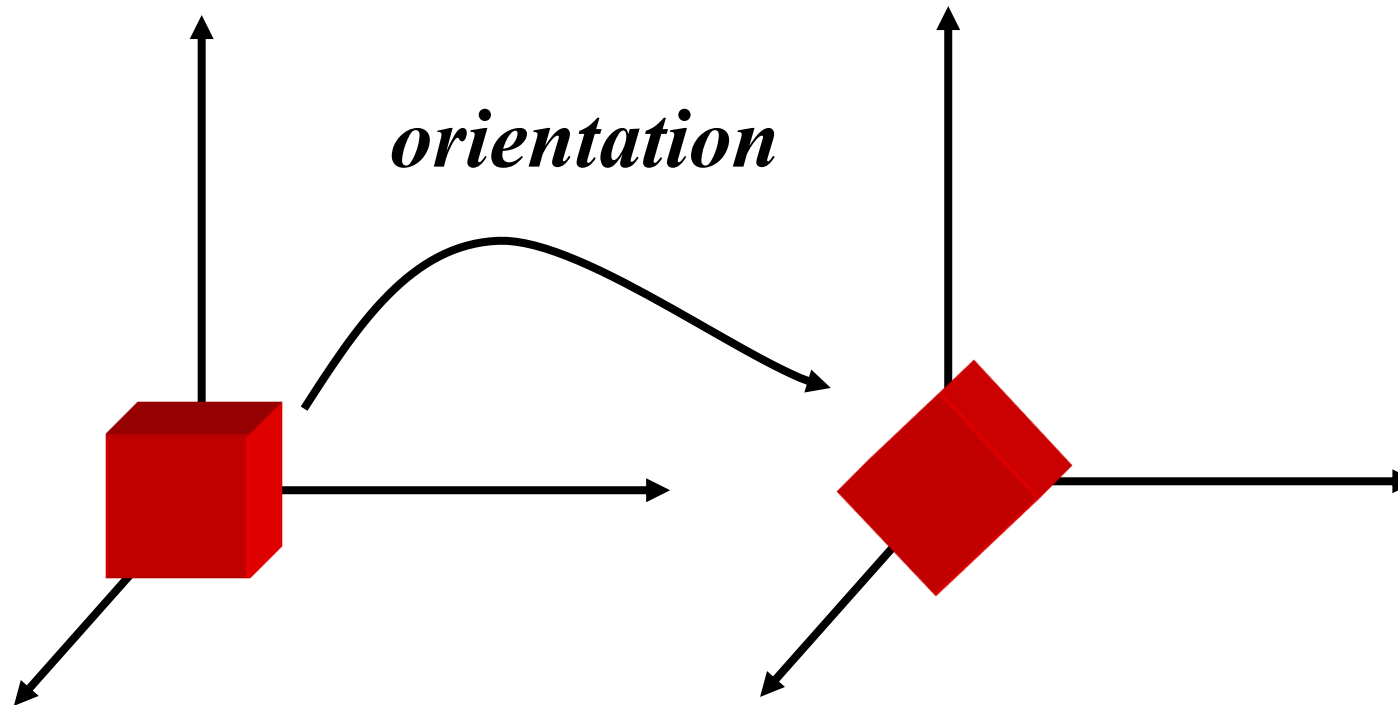
$$\mathbf{X}^{-1} = (\mathbf{T}(\mathbf{t})\mathbf{R})^{-1} = \mathbf{R}^{-1}\mathbf{T}(\mathbf{t})^{-1} = \mathbf{R}^T\mathbf{T}(-\mathbf{t})$$

# 逆矩阵的计算

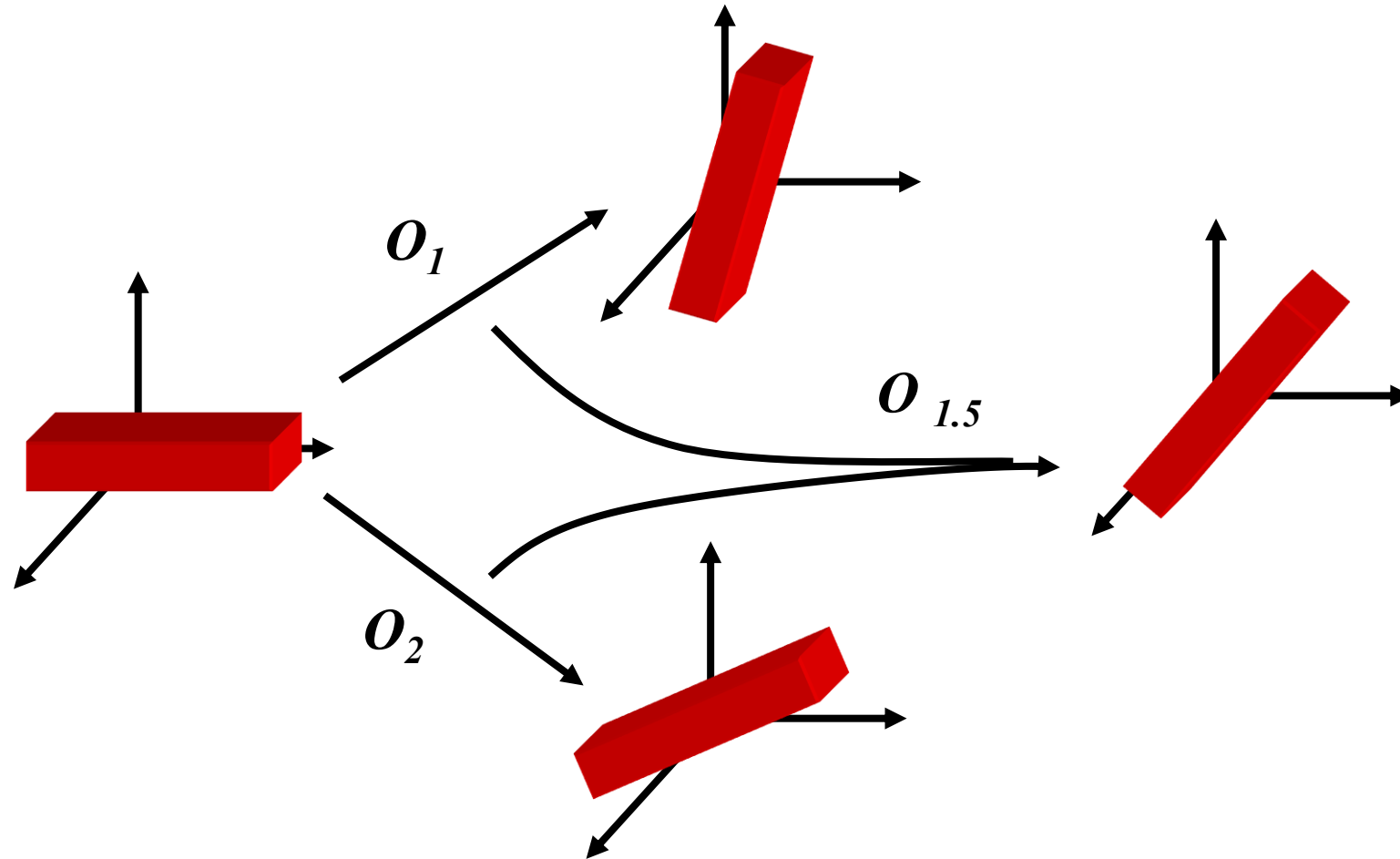
- 如果矩阵由一个或多个简单变换复合而成，而且已知参数，则逆矩阵可通过“逆参数”和矩阵相乘次序来得到。  
例子： $\mathbf{M}=\mathbf{T}(t)\mathbf{R}(\theta)$ ，则 $\mathbf{M}^{-1}=\mathbf{R}(-\theta)\mathbf{T}(-t)$
- 如果矩阵已知是正交的，则 $\mathbf{M}^{-1}=\mathbf{M}^T$
- 如果未知任何信息：伴随矩阵法、Cramer法、LU分解法、Gauss消去法
- Cramer法和伴随矩阵法具有较少的“if”分叉，应优先选用。  
*在现代的体系结构中，“if”测试最好避免*

# Orientation Representation

---



# Problem: Rotation Interpolation?



# Rotation Matrix(用旋转矩阵表示旋转)

- Rows/columns of matrix must be orthonormal
  - Unit length and orthogonal (单位长度和正交)
- **Numerical errors** cause a nonorthonormal matrix when a series of rotations apply
- How to interpolate between matrices?
  - Interpolating the components of two matrices doesn't maintain the orthonormality
  - The generated matrix is not a rotation matrix

# Interpolating Rotation Matrices?

$$\begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \longrightarrow \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

**90° z-axis** **-90° z-axis**

- The halfway matrix you get by linearly interpolating each entry is

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- Not a rotation matrix any more!

# Other 3D rotation representations

---

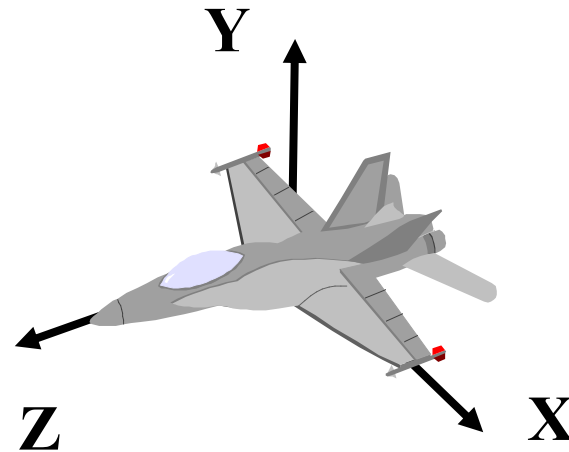
- **Rotation Matrix (旋转矩阵)**
- Fixed Angle (定角)
- Euler Angle (欧拉角)
- Axis angle (轴线角)
- Quaternion (四元数)

# Fixed Angle Representation

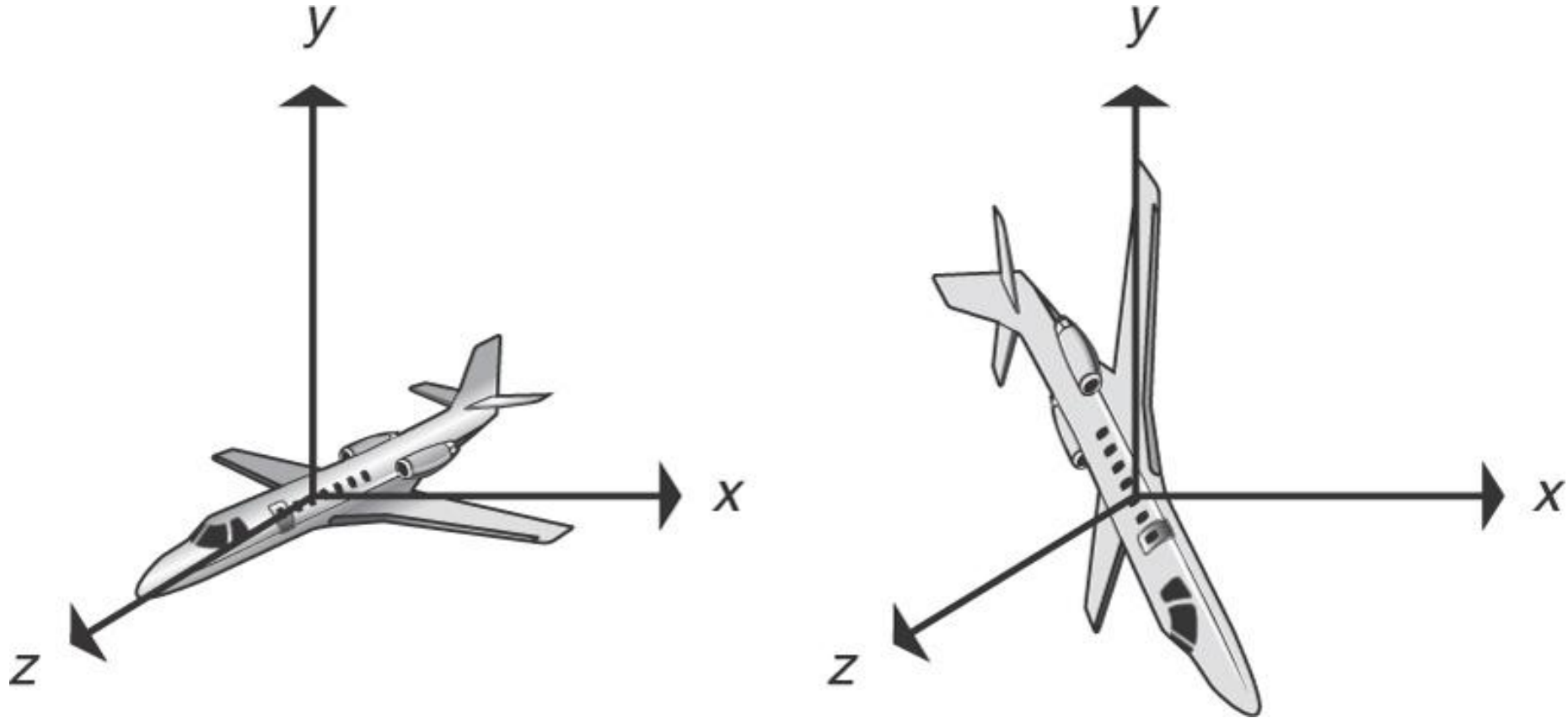
- Ordered triple of rotations about **fixed axes**
- Any triple can be used that doesn't repeat an axis **immediately**, e.g., x-y-z is fine, so is x-y-x. But x-x-z is not.

*e.g., x-y-z order*  $(\theta_x, \theta_y, \theta_z)$

$$P' = R_z(\theta_z)R_y(\theta_y)R_x(\theta_x)P$$



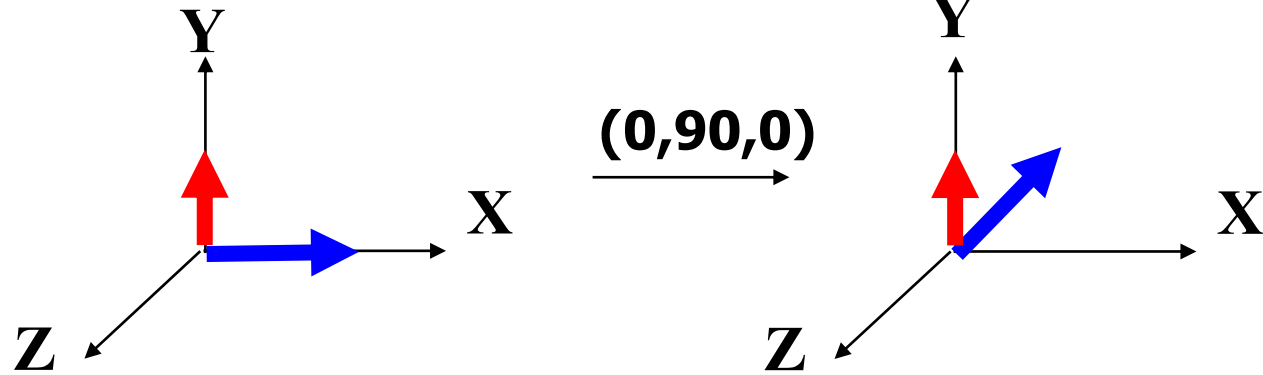
# Fixed Angle Representation



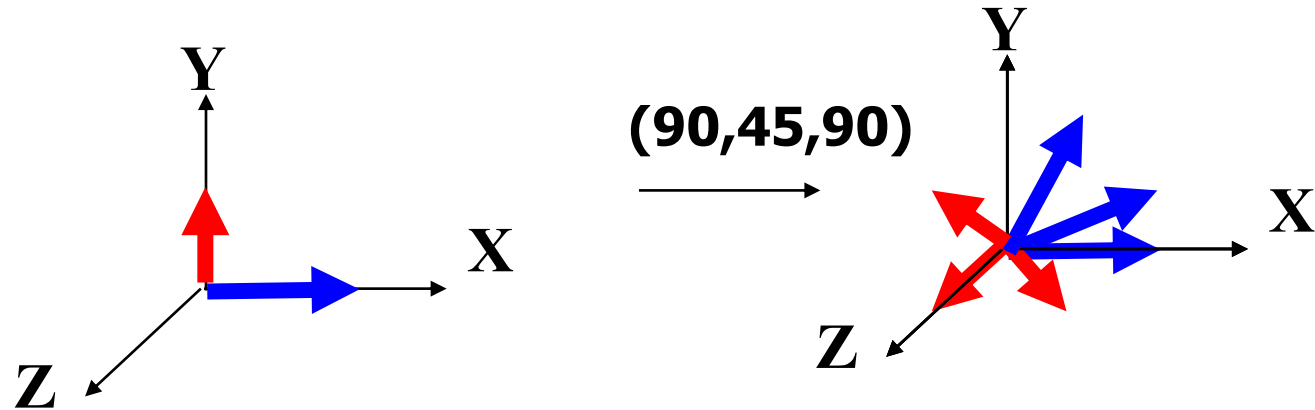
**Orientation (10, 45, 90)**

# Fixed Angle Representation

- $(0,90,0)$  in x-y-z order

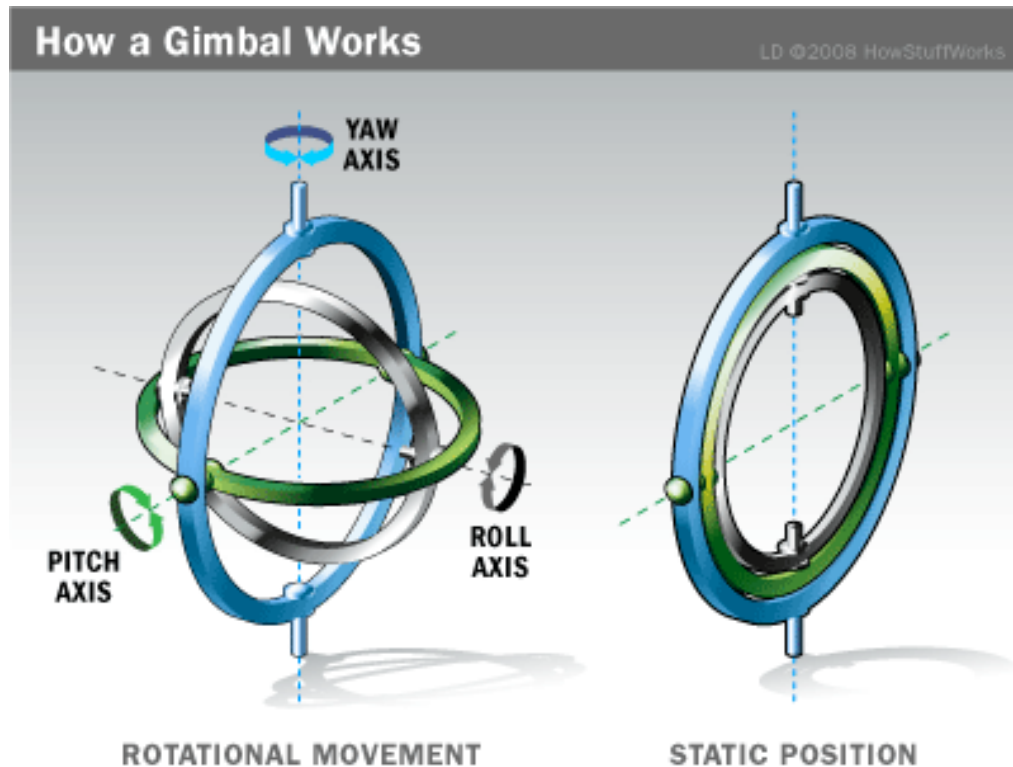


- $(90,45,90)$  in x-y-z order



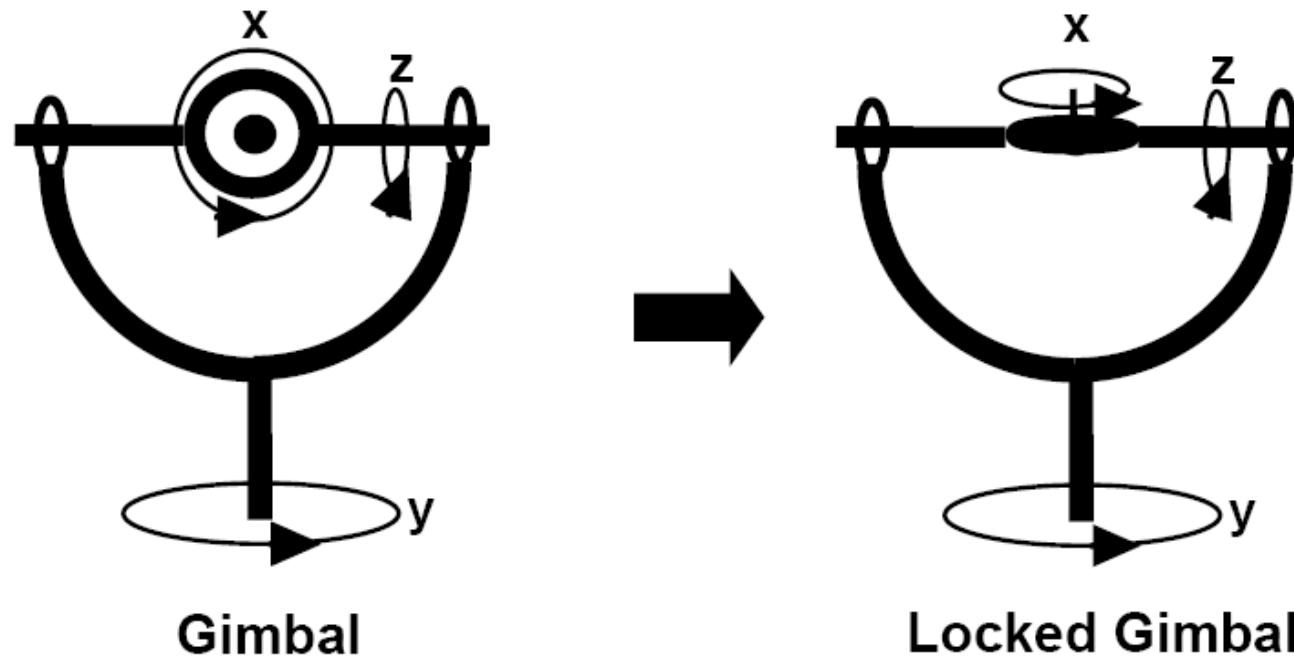
# Gimbal(万向节)

- A gimbal is a mechanical device allowing the rotation of an object in multiple dimensions



# Gimbal Lock(万向节死锁)

- Gimbal lock occurs when two of the rotation axes align; e.g., x and y axes in the figure
  - Lost a degree of freedom; cannot rotate about x-axis (x和y等效)



# Gimbal Lock(万向节死锁)

- For an orientation  $(0, 90, 0)$ ,
  - A slight change in the first value  $(+/-\varepsilon, 90, 0)$
  - A slight change in the 3<sup>rd</sup> value  $(0, 90, +/-\varepsilon)$
  - 90-degree y-axis rotation essentially makes x-axis align with z-axis →  
**gimbal lock**
    - From  $(0, 90, 0)$ , the object can no longer be rotated about x-axis by a small change since orientation actually performed is  $(90, 90 + \varepsilon, 90)$

# Gimbal Lock(万向节死锁)

等效

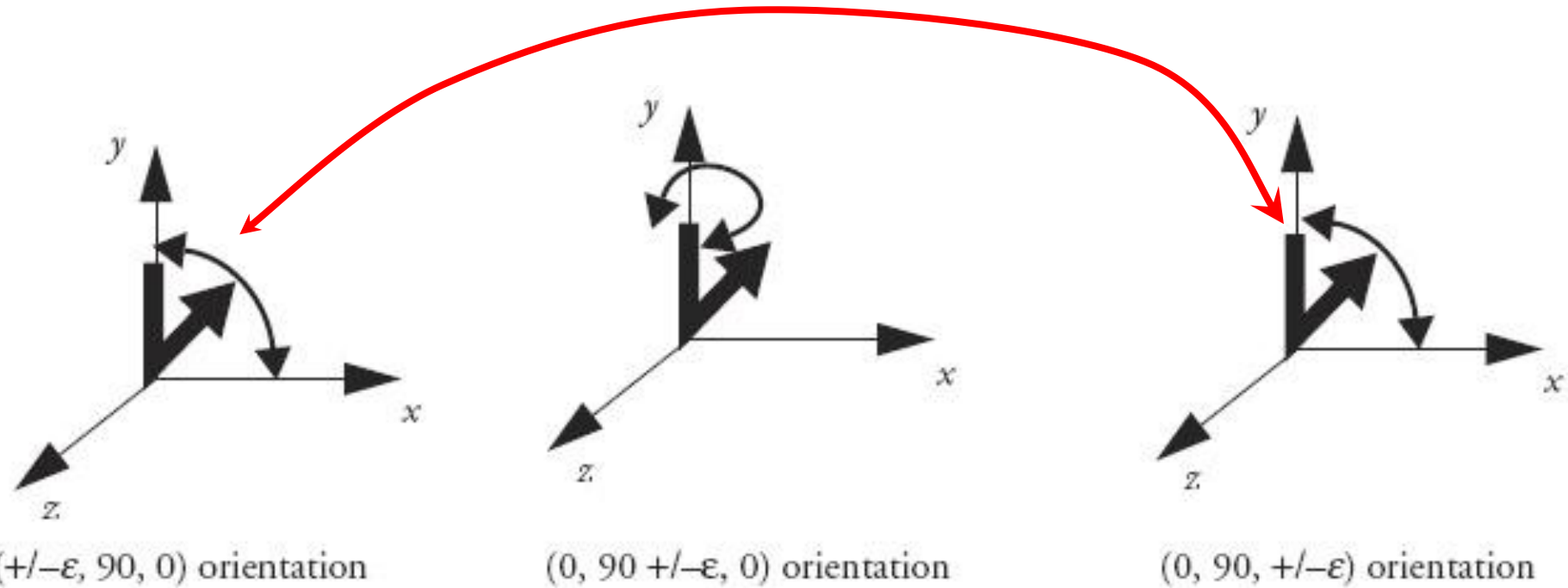
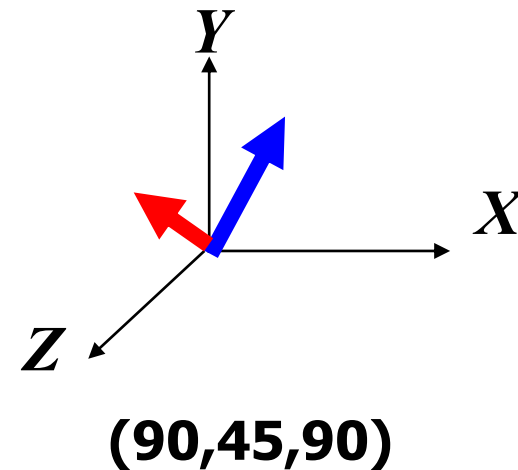
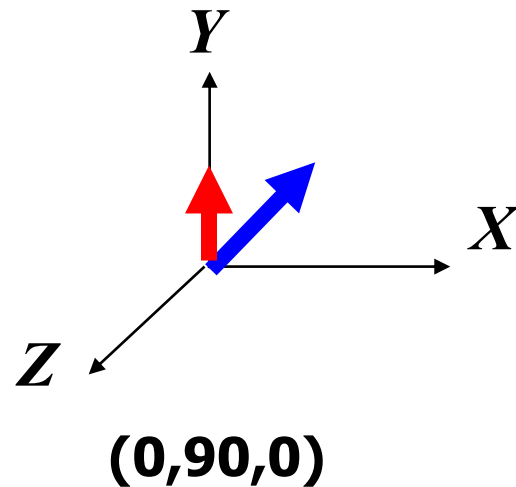


Figure 2.17 Effect of slightly altering values of fixed angle representation  $(0, 90, 0)$

# Interpolation Problem in Fixed Angle

- The rotation from  $(0,90,0)$  to  $(90,45,90)$  is a 45-degree x-axis rotation
  - Impossible because the first 90-degree y-axis rotation
- Directly interpolating between  $(0,90,0)$  and  $(90,45,90)$  produces a halfway orientation  $(45, 67.5, 45)$ 
  - Desired halfway orientation is  $(90, 22.5, 90)$



# Fixed Angle Representation

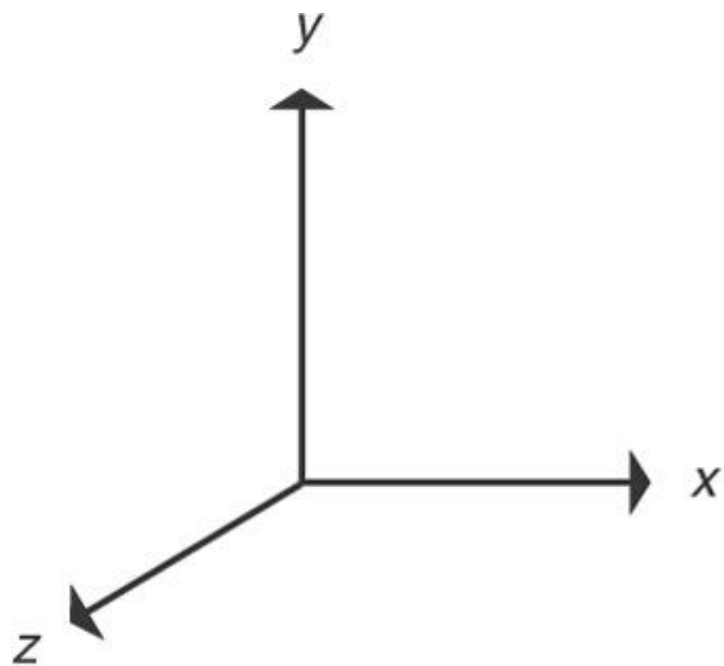
---

- Compact
- Fairly intuitive
- Easy to work
- But not the most desirable representation to use because of gimbal lock problem.

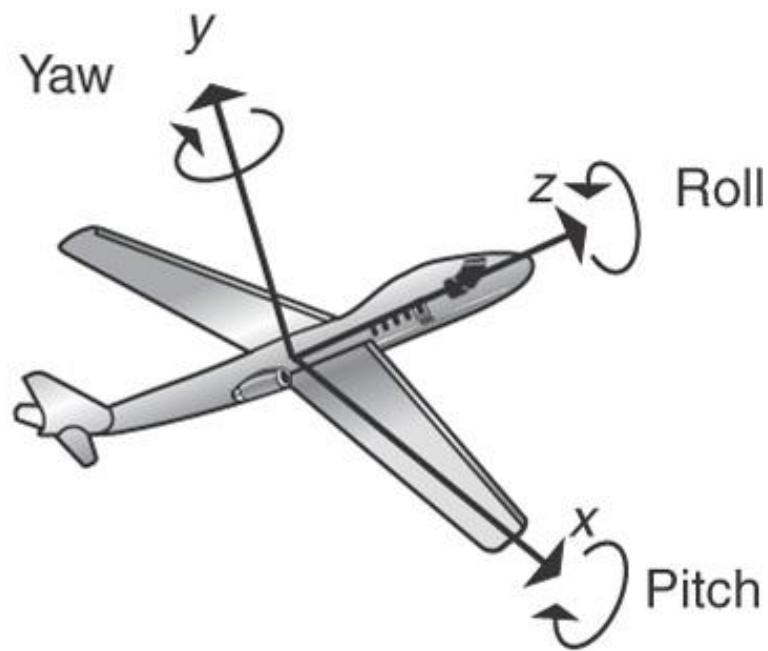
# Euler Angle(欧拉角)

- Euler变换是一种**直观**的使一个物体（或摄像机）朝向一指定方向的有效方法。  
其来源：瑞士大数学家Leonard Euler
- Ordered triple of rotations about **local axes**
- As with fixed angles, any triple can be used that doesn't immediately repeat an axis, e.g., x-y-z, is fine, so is x-y-x. But x-x-z is not.
- Euler angle ordering is equivalent to reverse ordering in fixed angles
  - Why?
  - The Euler angle representation has exactly the same advantages and disadvantages as those of the fixed angle representation.

# Euler Angle(欧拉角)



Global coordinate system



Local coordinate system  
attached to object

摇头 “No”

*yaw (head)*

$y$

左右摇晃身体

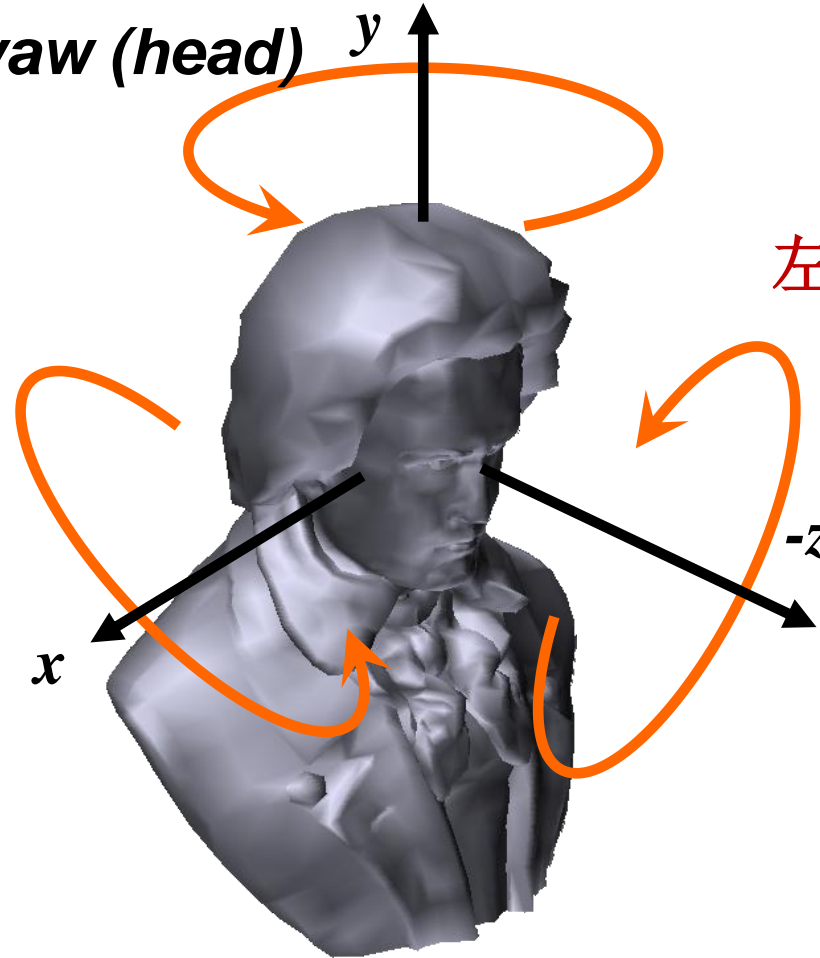
*roll*

点头

*pitch*

$x$

$-z$



## Euler变换: Head, Pitch and Roll

其它命名方式:  $x$ -roll,  $y$ -roll,  $z$ -roll。在飞行仿真中, 采用yaw而非head

# Euler Angle

- Euler angle ordering is equivalent to **reverse ordering** in fixed angles.
- For a Euler angle representation in x-y-z ordering
  - Y-axis rotation: around the y-axis of the **local, rotated coordinate system**

$$\begin{array}{ccc} \text{Fixed Angle} & \underbrace{\hspace{10em}} & \text{Euler Angle} \\ R'_y(\beta)R_x(\alpha) = R_x(\alpha)R_y(\beta)R_x(-\alpha)R_x(\alpha) = R_x(\alpha)R_y(\beta) \end{array}$$

- Z-axis rotation: around the twice-rotated frame

$$\begin{array}{ccc} R''_z(\gamma)R'_y(\beta)R_x(\alpha) & & \\ & \underbrace{\hspace{10em}} & \text{Fixed Angle} \\ & = R_x(\alpha)R_y(\beta)R_z(\gamma)R_y(-\beta)R_x(-\alpha)R_x(\alpha)R_y(\beta) & \\ & = R_x(\alpha)R_y(\beta)R_z(\gamma) & \\ & \text{Euler Angle} & \end{array}$$

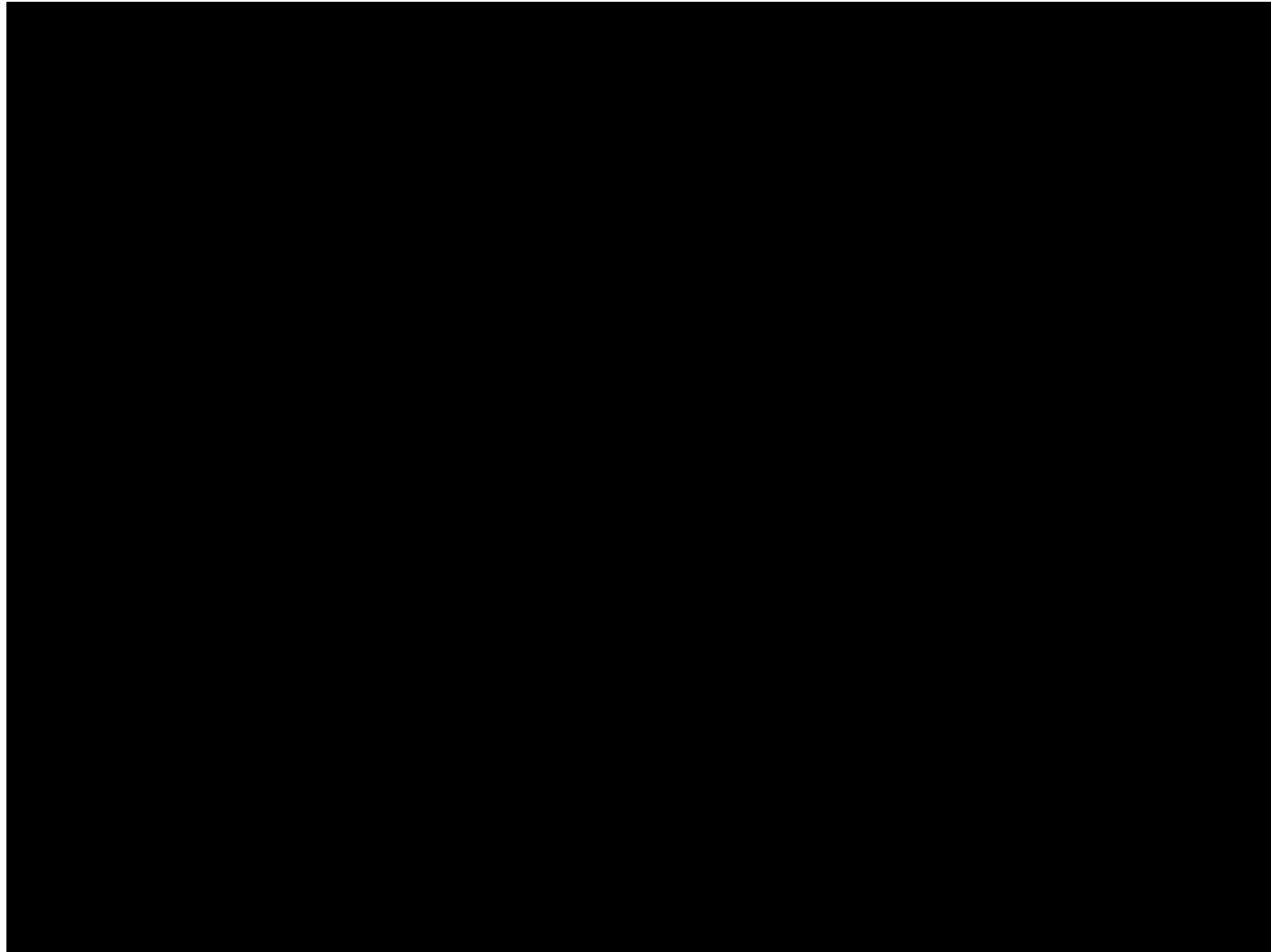
# 欧拉角中的Gimbal lock

- **Gimbal lock现象**：当一个自由度丧失时。
- 当 $p = \pi/2$  时，矩阵只依赖一个角( $r+h$ )

$$\begin{aligned} \mathbf{E}\left(h, \frac{\pi}{2}, r\right) &= \begin{pmatrix} \cos r \cosh - \sin r \sinh & 0 & \cos r \sinh + \sin r \cosh \\ \sin r \cosh + \cos r \sinh & 0 & \sin r \sinh - \cos r \cosh \\ 0 & 1 & 0 \end{pmatrix} \\ &= \begin{pmatrix} \cos(r+h) & 0 & \sin(r+h) \\ \sin(r+h) & 0 & -\cos(r+h) \\ 0 & 1 & 0 \end{pmatrix} \end{aligned}$$

# Gimbal lock Video

---



# 从Euler变换获取参数

- 从一正交矩阵反求Euler参数

$$\mathbf{F} = \begin{pmatrix} f_{00} & f_{01} & f_{02} \\ f_{10} & f_{11} & f_{12} \\ f_{20} & f_{21} & f_{22} \end{pmatrix} = \mathbf{R}_z(r)\mathbf{R}_x(p)\mathbf{R}_y(h) = \mathbf{E}(h, p, r)$$

- 把上式展开，得到

$$\mathbf{F} = \begin{pmatrix} \cos r \cos h - \sin r \sin p \sin h & -\sin r \cos p & \cos r \sin h + \sin r \sin p \cos h \\ \sin r \cos h + \cos r \sin p \sin h & \cos r \cos p & \sin r \sin h - \cos r \sin p \cos h \\ -\cos p \sin h & \sin p & \cos p \cos h \end{pmatrix}$$

- 由于  $\sin p = f_{21}$ ,  $f_{01}/f_{11} = -\tan r$ ,  $f_{20}/f_{22} = -\tan h$

故三个欧拉参数的值为

$$h = \text{atan2}(-f_{20}, f_{22})$$

$$p = \arcsin(f_{21})$$

$$r = \text{atan2}(-f_{01}, f_{11})$$

# 特殊情况处理

- 当 $\cos p = 0$ 时,  $f_{01}=f_{11}=0$ , 此时 $r = \text{atan2}(-f_{01}, f_{11})$ 无解。因 $\cos p = 0$ 时,  $\sin p = \pm 1$ ,故

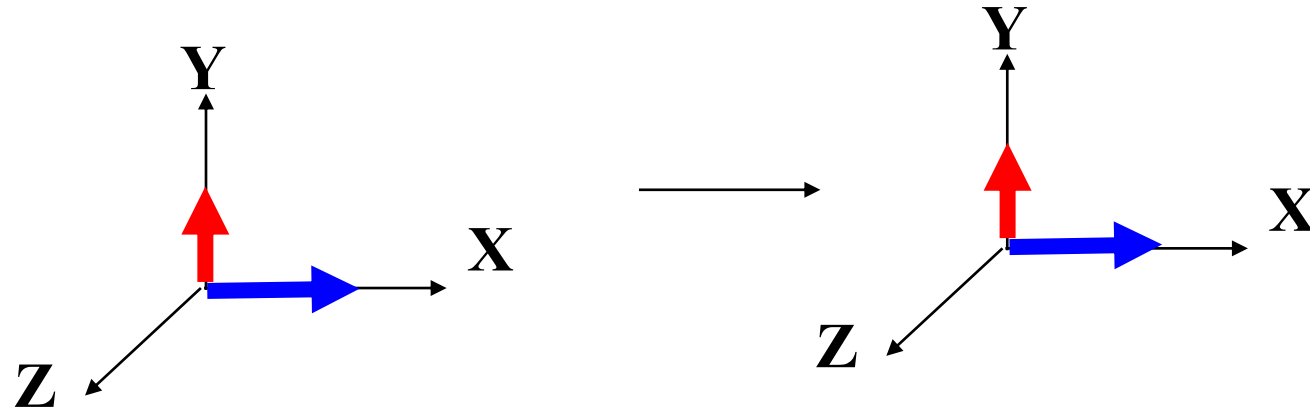
$$\mathbf{F} = \begin{pmatrix} \cos(r \pm h) & 0 & \sin(r \pm h) \\ \sin(r \pm h) & 0 & -\cos(r \pm h) \\ 0 & \pm 1 & 0 \end{pmatrix}$$

可任意设定  $h=0$ , 再得到  $r = \text{atan2}(f_{10}, f_{00})$

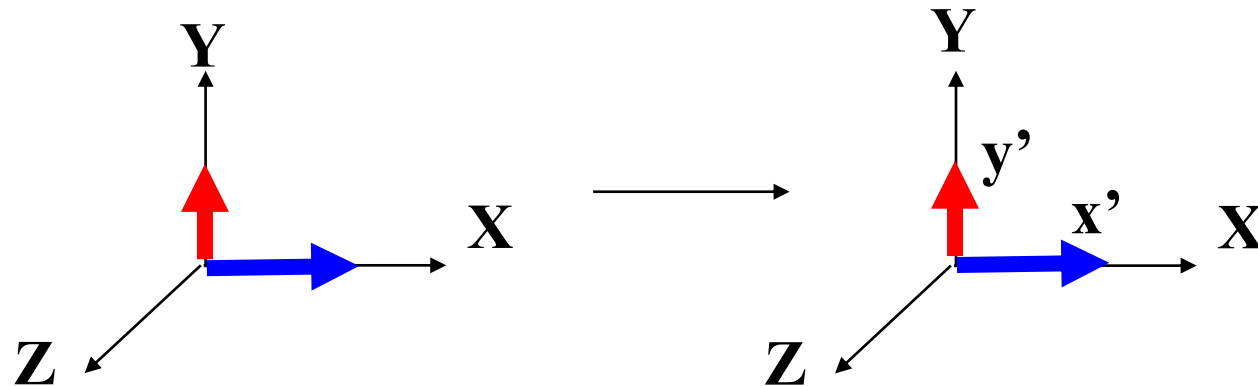
- 由于 $p$ 的值域为 $[-90^\circ, 90^\circ]$ , 如果 $p$ 的值不在这个范围, 原始参数无法求得。故求得的 $h$ 、 $p$ 、 $r$ 不是唯一的。

# Fixed Angle vs. Euler Angle

- Fixed angle: (90,45,90) in x-y-z order

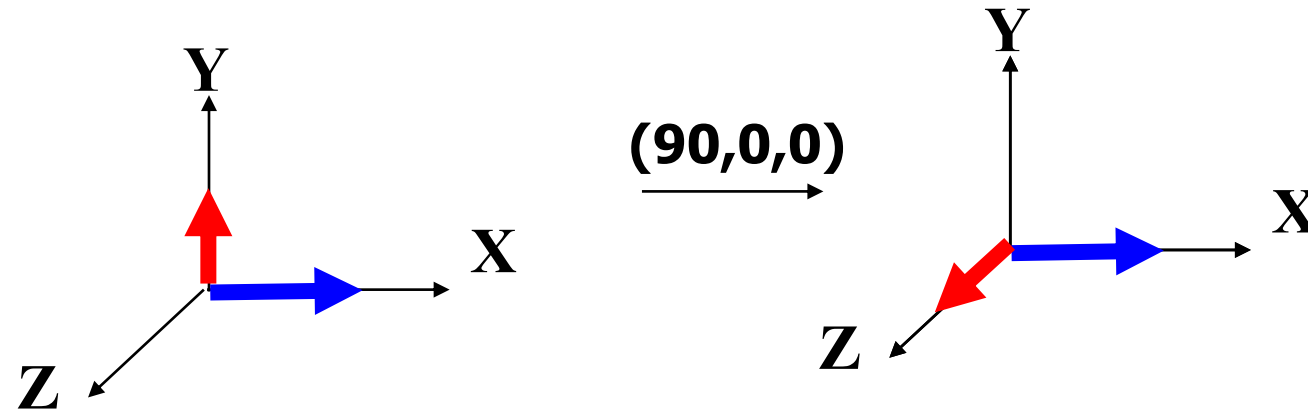


- Euler angle: (90,45,90) in z'-y'-x' order

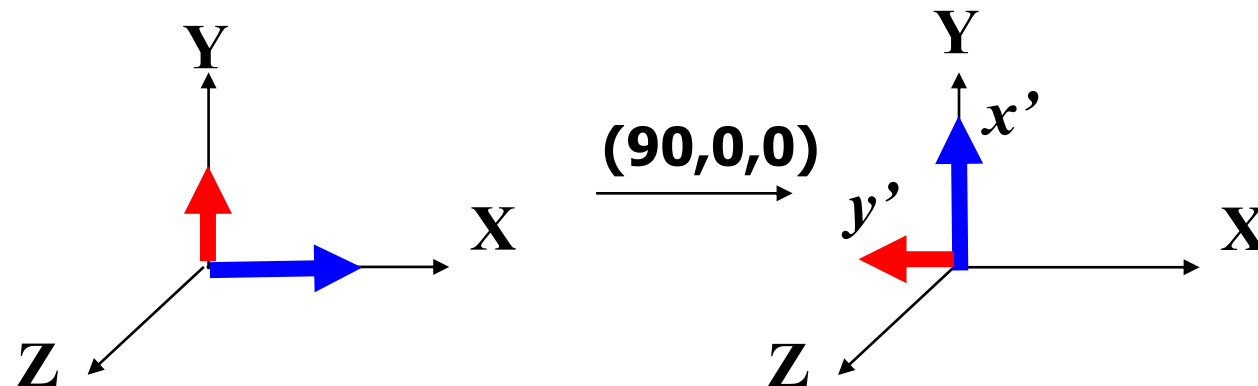


# Fixed Angle vs. Euler Angle

- Fixed angle:  $(90, 45, 90)$  in x-y-z order

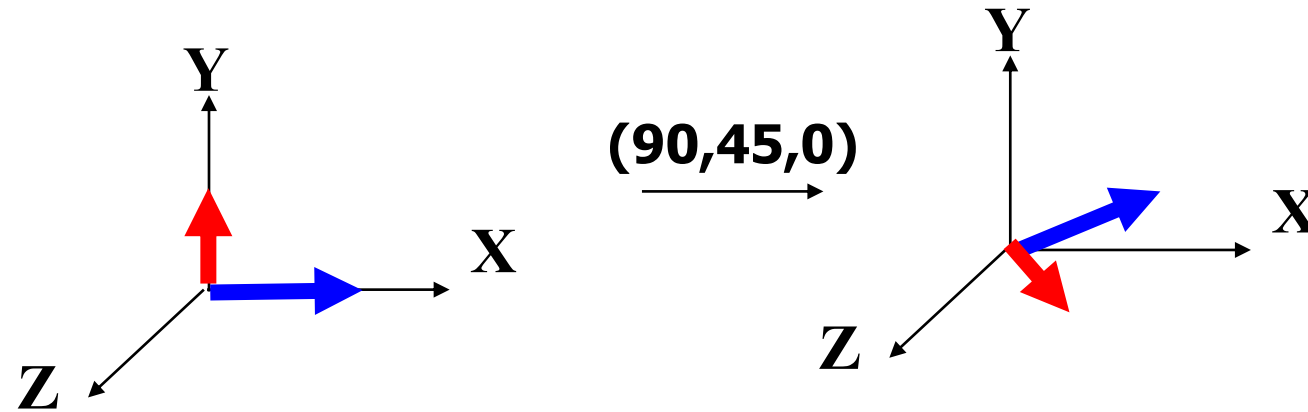


- Euler angle:  $(90, 45, 90)$  in  $z'$ - $y'$ - $x'$  order

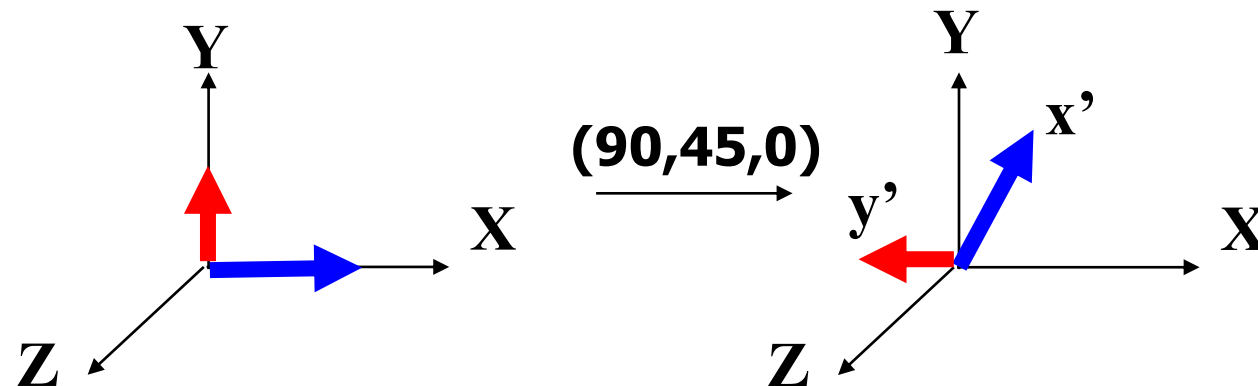


# Fixed Angle vs. Euler Angle

- Fixed angle:  $(90, 45, 90)$  in x-y-z order

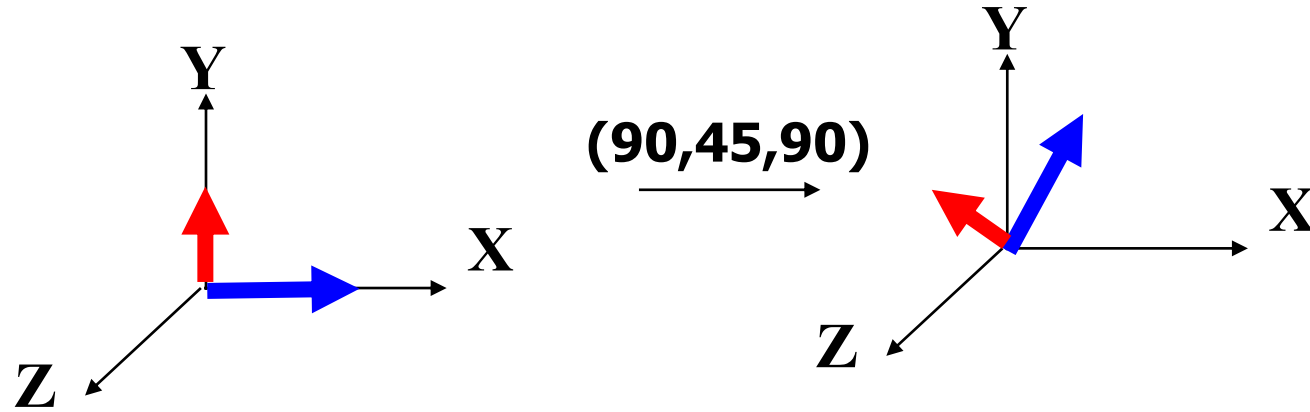


- Euler angle:  $(90, 45, 90)$  in  $z'$ - $y'$ - $x'$  order

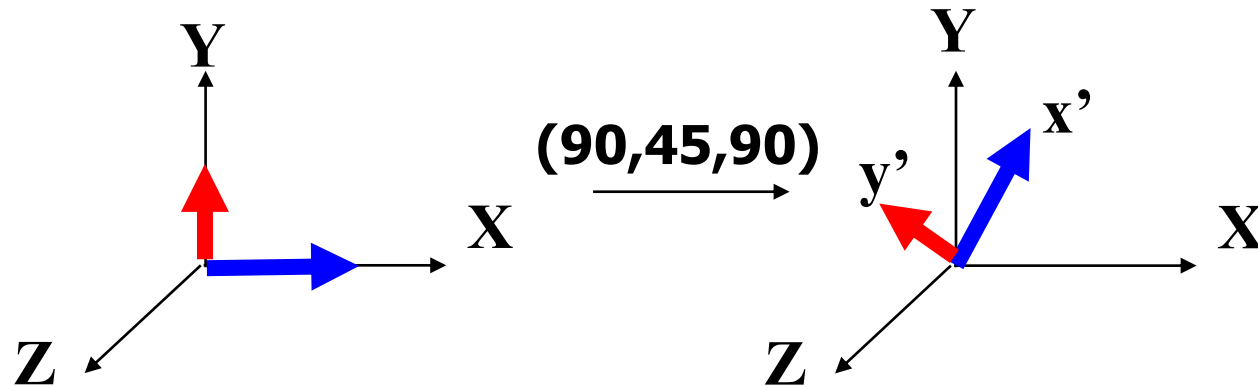


# Fixed Angle vs. Euler Angle

- Fixed angle:  $(90, 45, 90)$  in x-y-z order



- Euler angle:  $(90, 45, 90)$  in  $z'$ - $y'$ - $x'$  order



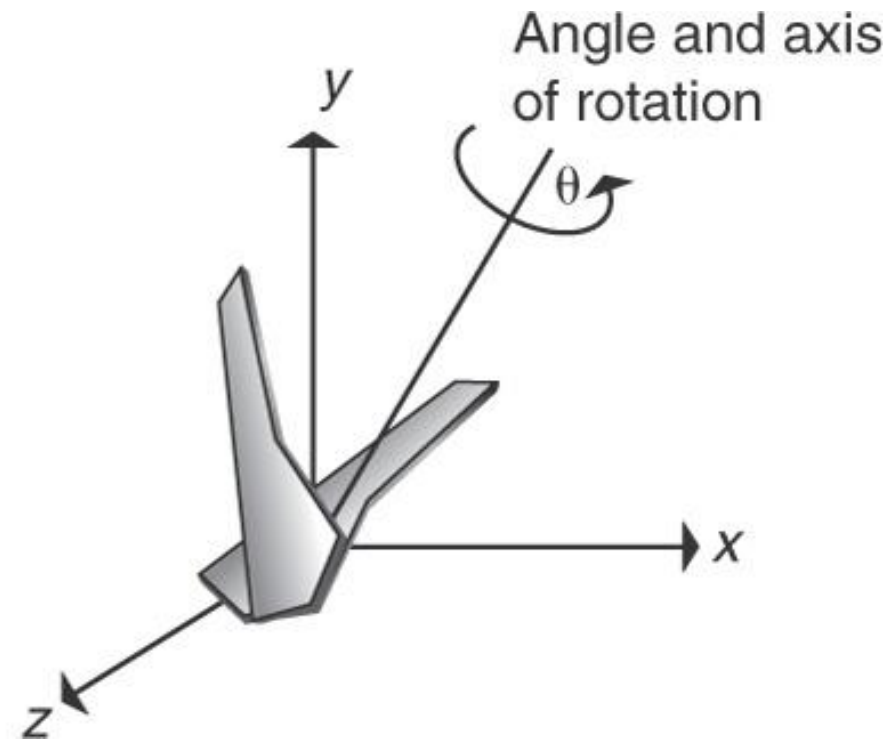
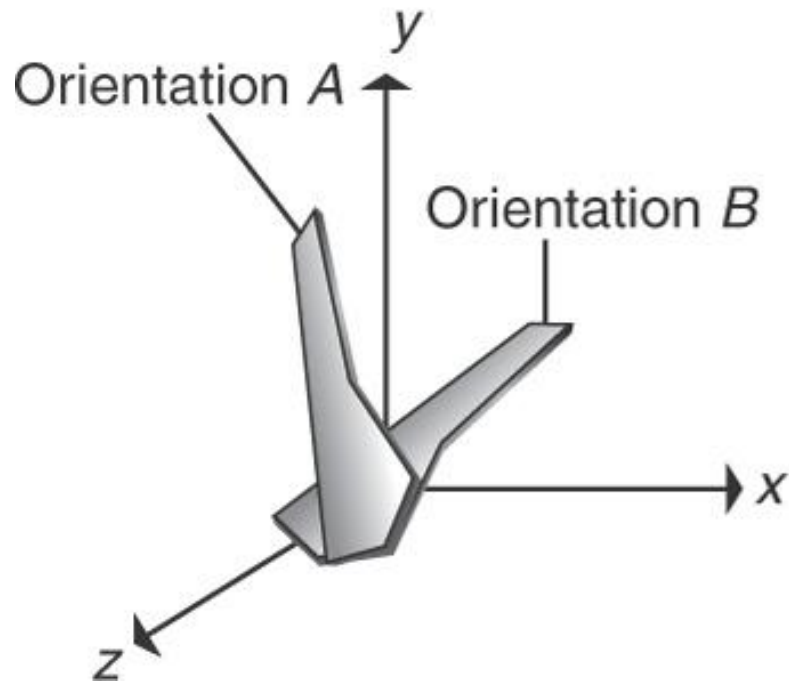
# Angle and Axis Representation (角位移)

- **Euler's rotation theorem**

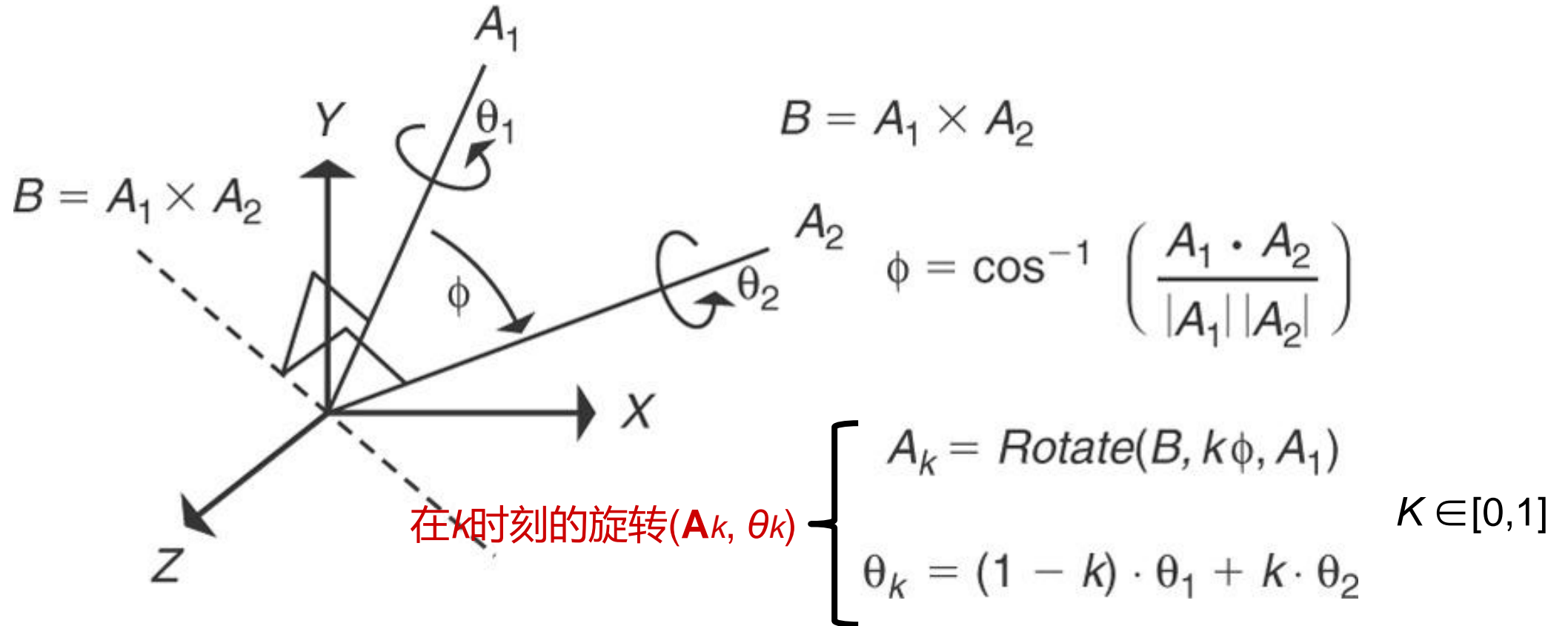
- One orientation can be derived from another by a single rotation about an axis
- So, we can use **an axis** and **a single angle** to represent an orientation (with respect to the object's initial orientation)

- Interpolation can be implemented by interpolating **axes of rotation** and **angles separately**; but the transformation concatenation cannot be done easily.

# Angle and Axis Representation



# Angle and Axis Representation

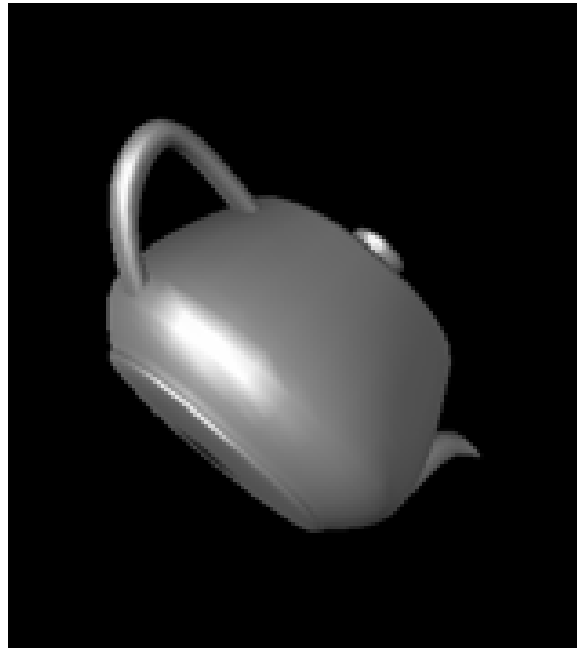
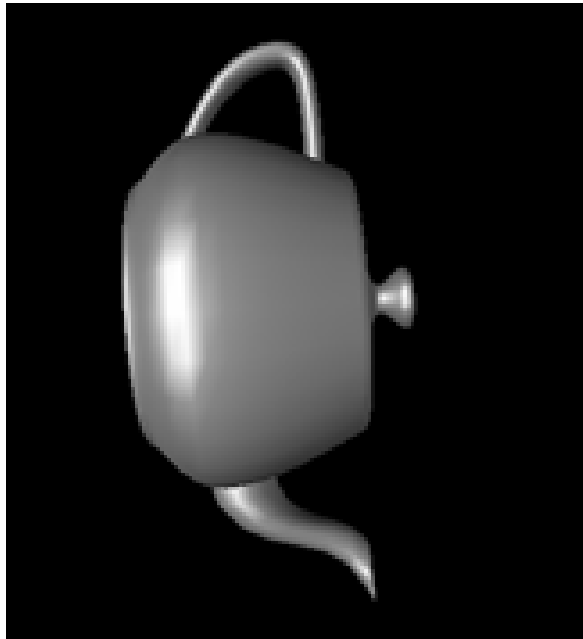


Interpolating axis-angle representations from  $(A_1, \theta_1)$  to  $(A_2, \theta_2)$

Rotate(x, y, z): rotate z around x by **y** degree

# Angle and Axis Representation

---



# 3D Rotation Representations (review)

---

- Rotation Matrix
  - orthonormal columns/rows
  - bad for interpolation
- Fixed Angle
  - rotate about global axes
  - bad for interpolation, has gimbal lock
- Euler Angle
  - rotate about local axes
  - same problem as fixed angle (also has gimbal lock)

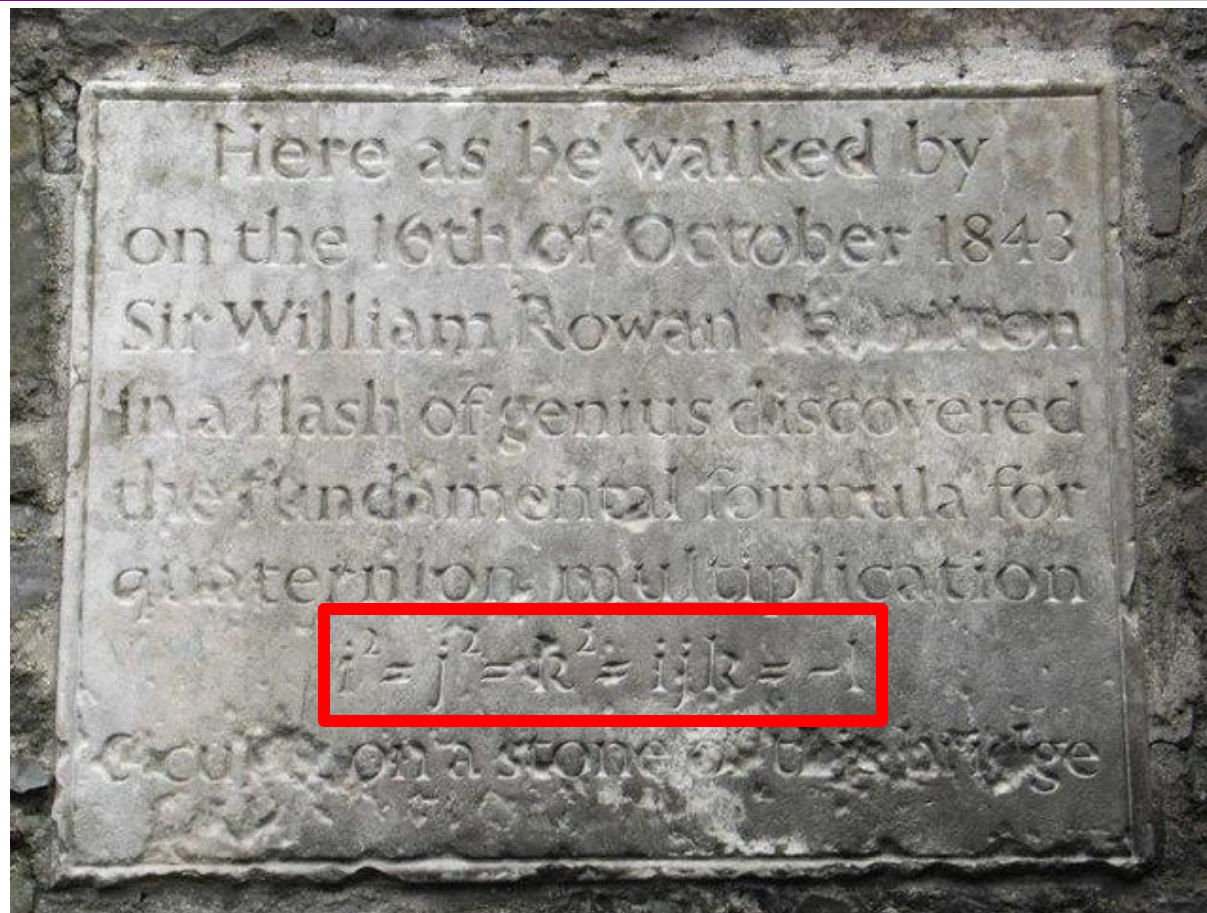
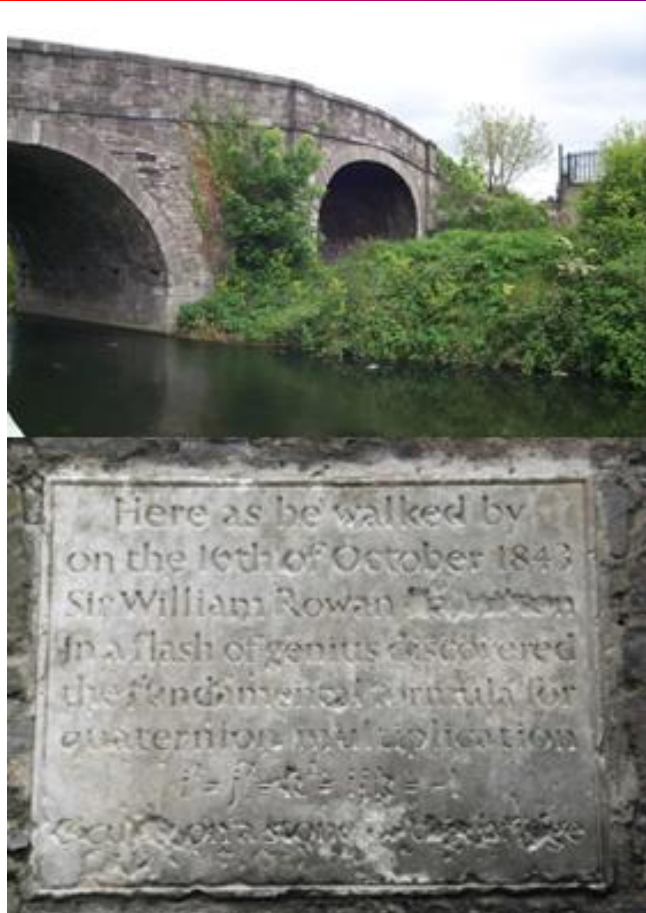
# 3D Rotation Representations (review)

- Axis angle
  - rotate about  $A$  by  $\theta$ ,  $(\theta, A_x, A_y, A_z)$
  - good interpolation, no gimbal lock
  - bad for compounding rotations
- **Quaternion**
  - similar to axis angle but in different form
  - $q=[s, \mathbf{v}]$
  - good for interpolation and compounding rotations

# Quaternions(四元数)

- 四元数可用于计算机动画、计算机图形学、控制理论、轨道力学，主要用于**表示旋转与方向**。最早由Sir William Rowan Hamilton于1843年提出，从复数推广到四维空间。
- 威廉·罗恩·哈密顿（1805年8月4日 - 1865年9月2日），爱尔兰数学家、物理学家及天文学家。**哈密顿最大的成就在于发现了四元数**，并将之广泛应用于物理学各方面（见维基百科中文版）。
- 1985年，Shoemake把四元数引入计算机图形学。Shoemake K. Animating rotation with quaternion curves. Computer Graphics, 1985, 19(3):245~254 (2026年4月9日，引用：**3548**次)
- 在表示旋转和方向方面，优于Euler角。具有表示紧凑，方向插值稳定的优点。

# Sir William Rowan Hamilton



a Irish stamp

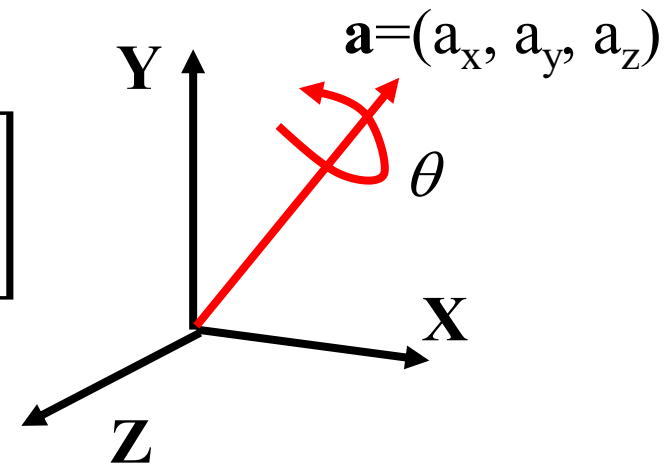
都柏林大学三一学院：石桥上的纪念碑  
(Brougham Bridge, 现称为金雀花桥 Broom Bridge)

# Quaternions (四元数)

- Similar to axis-angle representations
  - 4-tuple of real numbers
    - $q=(s, x, y, z)$  or  $[s, \mathbf{v}]$ ,  $s$  is a scalar;  $\mathbf{v}$  is a vector
- The quaternion for rotating an angle about an axis (an axis-angle rotation):

$$q = \mathbf{Rot}(\theta, (a_x, a_y, a_z))$$
$$= \left[ \cos\left(\frac{\theta}{2}\right), \sin\left(\frac{\theta}{2}\right) \cdot (a_x, a_y, a_z) \right]$$

**If  $\mathbf{a}$  is unit length, then  $q$  will be also**



# Quaternions (四元数)

*If  $\mathbf{a}$  is unit length, then  $q$  will be also*

$$\begin{aligned} |\mathbf{q}| &= \sqrt{q_0^2 + q_1^2 + q_2^2 + q_3^2} \\ &= \sqrt{\cos^2 \frac{\theta}{2} + a_x^2 \sin^2 \frac{\theta}{2} + a_y^2 \sin^2 \frac{\theta}{2} + a_z^2 \sin^2 \frac{\theta}{2}} \\ &= \sqrt{\cos^2 \frac{\theta}{2} + \sin^2 \frac{\theta}{2} (a_x^2 + a_y^2 + a_z^2)} \\ &= \sqrt{\cos^2 \frac{\theta}{2} + \sin^2 \frac{\theta}{2} |\mathbf{a}|^2} = \sqrt{\cos^2 \frac{\theta}{2} + \sin^2 \frac{\theta}{2}} \\ &= \sqrt{1} = 1 \end{aligned}$$

# Quaternions (四元数)

- Rotating some angle around an axis is the same as rotating the negative angle around the negated axis

$$\mathbf{Rot}_{-\theta, -(x,y,z)} = \left[ -\cos\left(\frac{\theta}{2}\right), -\sin\left(\frac{\theta}{2}\right)(x, y, z) \right] = -q$$

$$\begin{aligned} -q &= \mathbf{Rot}_{-\theta, -(x,y,z)} \\ &= \left[ \cos(-\theta / 2), \sin((- \theta) / 2) \bullet (-(x, y, z)) \right] \\ &= \left[ \cos(\theta / 2), -\sin(\theta / 2) \bullet (-(x, y, z)) \right] \\ &= \left[ \cos(\theta / 2), \sin(\theta / 2) \bullet (x, y, z) \right] \\ &= \mathbf{Rot}_{\theta, (x,y,z)} \\ &= q \end{aligned}$$

# Quaternion Math

- Addition 定义

$$[s_1, \mathbf{v}_1] + [s_2, \mathbf{v}_2] = [s_1 + s_2, \mathbf{v}_1 + \mathbf{v}_2]$$

- Multiplication 定义

$$[s_1, \mathbf{v}_1] [s_2, \mathbf{v}_2] = [s_1 s_2 - \mathbf{v}_1 \cdot \mathbf{v}_2, s_1 \mathbf{v}_2 + s_2 \mathbf{v}_1 + \mathbf{v}_1 \times \mathbf{v}_2]$$

- Multiplication is associative but not commutative (满足结合律, 不满足交换律)

$$q_1(q_2 q_3) = (q_1 q_2) q_3$$

$$q_1 q_2 \neq q_2 q_1$$

# Quaternion Math (cont.)

- Multiplicative identity(乘法单位):  $[1, 0, 0, 0]$

$$q [1, 0, 0, 0] = q$$

- Inverse:  $q^{-1} = \frac{[s, -v]}{\|q\|^2}$        $qq^{-1} = [1, 0, 0, 0]$

- Normalization for unit quaternion

$$\hat{q} = \frac{q}{\|q\|} \quad \|q\| = \sqrt{s^2 + x^2 + y^2 + z^2}$$

# 欧拉公式

- 在复变函数中,  $e^{ix} = \cos x + i \sin x$  称为欧拉公式
- $e$ 是自然对数的底,  $i$ 是虚数单位
- 它将指数函数的**定义域扩大到复数**, 建立了**三角函数**和**指数函数**的关系, 被誉为“**数学中的天桥**”
- 如果把 $x$ 取作 $\pi$ 就得到:  $e^{i\pi} + 1 = 0$
- 这个恒等式也叫做欧拉公式, 它是数学里最令人着迷的一个公式, 它将数学里最重要的几个数字联系到了一起: 两个超越数: 自然对数的底 $e$ , 圆周率 $\pi$ ; 两个单位: 虚数单位 $i$ 和自然数的单位 $1$ ; 以及被称为人类伟大发现之一的 $0$ 。数学家们评价它是“**上帝创造的公式**”。

# Quaternion Math (cont.)

- 对于单位复数, 有  $\cos\theta + i \sin\theta = e^{i\theta}$   
对于单位四元数有:  $\mathbf{q} = \sin\theta \mathbf{u}_q + \cos\theta = e^{\theta \mathbf{u}_q}$
- 对数运算:  $\log(\mathbf{q}) = \log(e^{\theta \mathbf{u}_q}) = \theta \mathbf{u}_q$
- 指数运算:  $\mathbf{q}^t = (\sin\theta \mathbf{u}_q, \cos\theta)^t = e^{\theta t \mathbf{u}_q} = \sin(\theta t) \mathbf{u}_q + \cos(\theta t)$

# 四元数到旋转矩阵的转换

- 对于单位四元数  $q = (q_w, q_x, q_y, q_z)$ , 把它转化为对应的旋转矩阵, 可得到:

$$\mathbf{M}^q = \begin{pmatrix} 1 - 2(q_y^2 + q_z^2) & 2(q_x q_y - q_w q_z) & 2(q_x q_z + q_w q_y) & 0 \\ 2(q_x q_y + q_w q_z) & 1 - 2(q_x^2 + q_z^2) & 2(q_y q_z - q_w q_x) & 0 \\ 2(q_x q_z - q_w q_y) & 2(q_y q_z + q_w q_x) & 1 - 2(q_x^2 + q_y^2) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

# 旋转矩阵到四元数的转换

- 由 $\mathbf{M}^q$ 可得到:

$$\begin{aligned}m_{21}^q - m_{12}^q &= 4q_w q_x \\m_{02}^q - m_{20}^q &= 4q_w q_y \\m_{10}^q - m_{01}^q &= 4q_w q_z\end{aligned}$$

故若得到 $q_w$ , 则 $q_x$ 、 $q_y$ 、 $q_z$ 便可得。因为:

$$\begin{aligned}tr(\mathbf{M}^q) &= 4 - 2s(q_x^2 + q_y^2 + q_z^2) \\&= 4 \left( 1 - \frac{q_x^2 + q_y^2 + q_z^2}{q_x^2 + q_y^2 + q_z^2 + q_w^2} \right) \\&= \frac{4q_w^2}{q_x^2 + q_y^2 + q_z^2 + q_w^2} = \frac{4q_w^2}{n(\mathbf{q})}\end{aligned}$$

- 故单位四元数为

$$q_w = \frac{1}{2} \sqrt{\text{tr}(\mathbf{M}^q)},$$

$$q_x = \frac{m_{21}^q - m_{12}^q}{4q_w},$$

$$q_y = \frac{m_{02}^q - m_{20}^q}{4q_w},$$

$$q_z = \frac{m_{10}^q - m_{01}^q}{4q_w}.$$

# Rotating Vectors Using Quaternion

- A point in space,  $\mathbf{v}$ , is represented as  $[0, \mathbf{v}]$
- To rotate a vector  $\mathbf{v}$  using quaternion  $q$ 
  - Represent the vector as  $v = [0, \mathbf{v}]$
  - Represent the rotation as a quaternion  $q$
  - Using quaternion multiplication

$$\mathbf{v}' = Rot_q(\mathbf{v}) = q\mathbf{v}q^{-1}$$

- The proof isn't that hard
- Note that the result  $\mathbf{v}'$  always has zero scalar value

# Compose Rotations

- Rotating a vector  $\mathbf{v}$  by first quaternion  $p$  followed by a quaternion  $q$  is like rotation using  $qp$

$$\begin{aligned} \text{Rot}_q(\text{Rot}_p(\mathbf{v})) &= \text{Rot}_q(p\mathbf{v}p^{-1}) \\ &= qp\mathbf{v}p^{-1}q^{-1} \\ &= (qp)\mathbf{v}(qp)^{-1} \\ &= \text{Rot}_{qp}(\mathbf{v}) \end{aligned}$$

**Prove by yourself that:**  $p^{-1}q^{-1} = (qp)^{-1}$

# 为什么四元数可以表示旋转（从角位移视角）

假设角位移 $(\theta, \mathbf{n})$ 把矢量 $\mathbf{r}$ 绕 $\mathbf{n}$ 旋转为 $\mathbf{Rr}$ 。把矢量 $\mathbf{r}$ 分解成平行于 $\mathbf{n}$ 的分量 $\mathbf{r}_{\parallel}$ 和垂直于 $\mathbf{n}$ 的分量 $\mathbf{r}_{\perp}$ ：

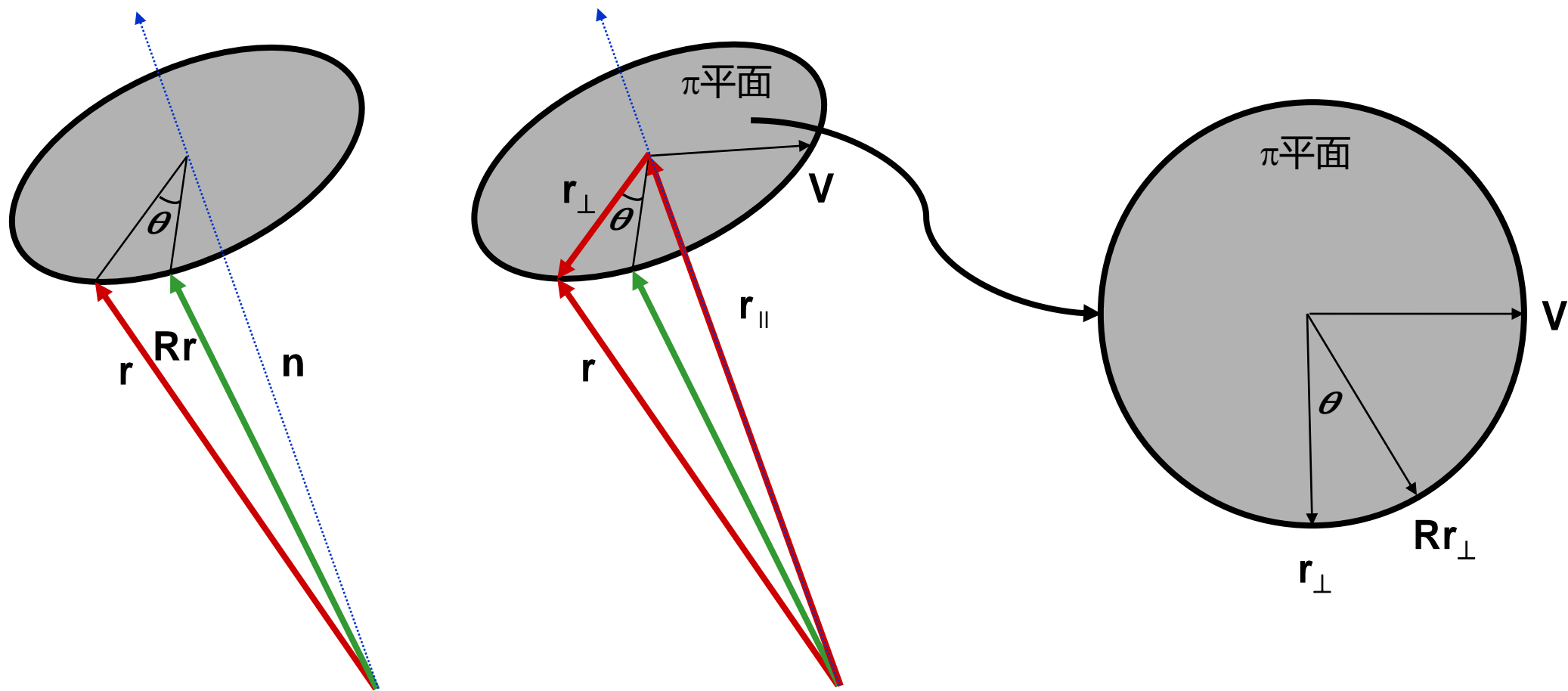
$$\mathbf{r}_{\parallel} = (\mathbf{n} \cdot \mathbf{r}) \mathbf{n}, \quad \mathbf{r}_{\perp} = \mathbf{r} - (\mathbf{n} \cdot \mathbf{r}) \mathbf{n}$$

显然，分量 $\mathbf{r}_{\parallel}$ 绕 $\mathbf{n}$ 旋转后保持不变，即 $\mathbf{Rr}_{\parallel} = \mathbf{r}_{\parallel}$ 。分量 $\mathbf{r}_{\perp}$ 旋转后在垂直于 $\mathbf{n}$ 的平面 $\pi$ 上从 $\mathbf{r}_{\perp}$ 旋转为 $\mathbf{Rr}_{\perp}$ 。在平面 $\pi$ 上构造一垂直于 $\mathbf{r}_{\perp}$ 的矢量 $\mathbf{V}$ ，

$$\mathbf{V} = \mathbf{n} \times \mathbf{r}_{\perp} = \mathbf{n} \times \mathbf{r}$$

因此，矢量 $\mathbf{r}$ 绕 $\mathbf{n}$ 轴旋转 $\theta$ 角后的矢量为：
$$\begin{aligned} \mathbf{Rr} &= \mathbf{Rr}_{\parallel} + \mathbf{Rr}_{\perp} = \mathbf{r}_{\parallel} + (\cos \theta) \mathbf{r}_{\perp} + (\sin \theta) \mathbf{V} \\ &= (\mathbf{n} \cdot \mathbf{r}) \mathbf{n} + \cos \theta (\mathbf{r} - (\mathbf{n} \cdot \mathbf{r}) \mathbf{n}) + (\sin \theta) \mathbf{n} \times \mathbf{r} \\ &= \cos \theta \mathbf{r} + (1 - \cos \theta) (\mathbf{n} \cdot \mathbf{r}) \mathbf{n} + \sin \theta \mathbf{n} \times \mathbf{r} \end{aligned}$$

# 为什么四元数可以表示旋转（从角位移视角）



矢量 $r$ 的角位移 $(\theta, n)$

# 为什么四元数可以表示旋转？

若把单位四元数表示成  $q = \left[ \cos\left(\frac{\theta}{2}\right), \mathbf{n}\sin\left(\frac{\theta}{2}\right) \right]$  的形式，则该四元数可以表示绕单位轴  $\mathbf{n}$  进行  $\theta$  的旋转。

假设  $\mathbf{R}(\theta, \mathbf{n})$  表示绕  $\mathbf{n}$  轴转  $\theta$  的旋转， $\mathbf{r}$  为一空间矢量， $\mathbf{r}$  经过  $\mathbf{R}(\theta, \mathbf{n})$  旋转后的矢量表示为  $\mathbf{R}\mathbf{r}$ 。把  $\mathbf{r}$  表示为标量部分为零的四元数  $p = [0, \mathbf{r}]$ ，定义

$R_q(p) = qpq^{-1}$  则：

$$\begin{aligned} R_q(p) &= \left[ 0, (s^2 - \mathbf{V}^2)\mathbf{r} + 2(\mathbf{V} \cdot \mathbf{r})\mathbf{V} + 2s\mathbf{V} \times \mathbf{r} \right] \\ &= \left[ 0, \left( \cos^2 \frac{\theta}{2} - \sin^2 \frac{\theta}{2} \right) \mathbf{r} + 2 \sin^2 \frac{\theta}{2} \mathbf{n}(\mathbf{n} \cdot \mathbf{r}) + 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} \mathbf{n} \times \mathbf{r} \right] \\ &= \left[ 0, \underline{(\cos \theta)\mathbf{r} + (1 - \cos \theta)(\mathbf{n} \cdot \mathbf{r})\mathbf{n} + (\sin \theta)\mathbf{n} \times \mathbf{r}} \right] \end{aligned}$$

因此， $q = \left[ \cos\left(\frac{\theta}{2}\right), \mathbf{n}\sin\left(\frac{\theta}{2}\right) \right]$  可以表示绕单位轴  $\mathbf{n}$  进行  $\theta$  角的旋转！

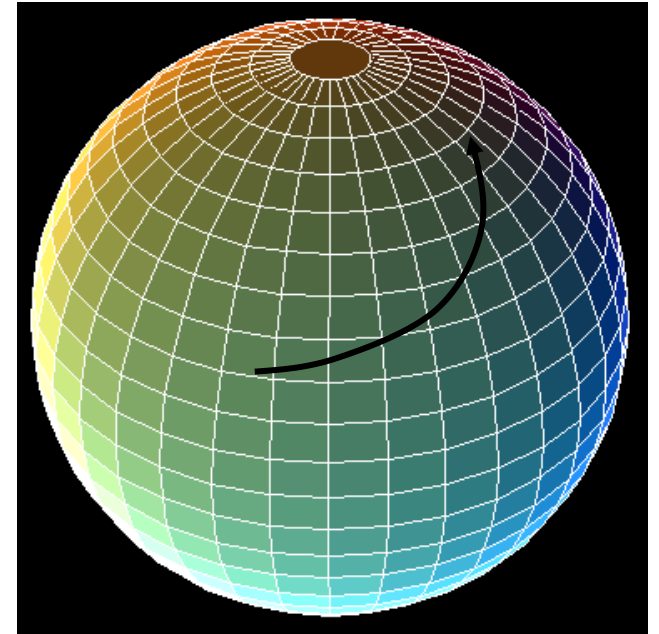
# Compose Rotations

- To rotate a vector  $\mathbf{v}$  by quaternion  $q$  followed by its inverse quaternion  $q^{-1}$

$$\begin{aligned} \text{Rot}_{q^{-1}}(\text{Rot}_q(\mathbf{v})) &= \text{Rot}_{q^{-1}}(q\mathbf{v}q^{-1}) \\ &= q^{-1}q\mathbf{v}q^{-1}q \\ &= \mathbf{v} \end{aligned}$$

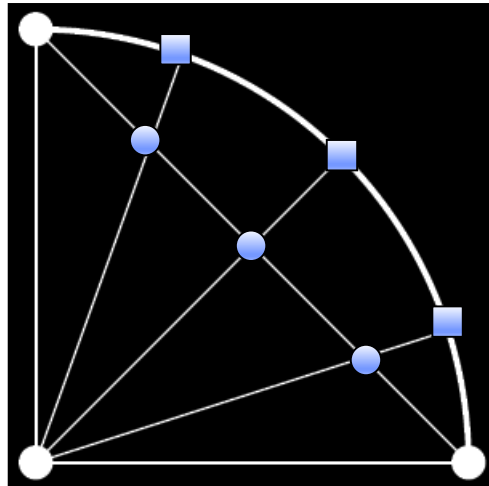
# Quaternion Interpolation

- A quaternion is a point on a 4D unit sphere
  - Unit quaternion:  $q=(s,x,y,z)$ ,  $\|q\| = 1$ 
    - Form a subspace: a 4D sphere
- Interpolating quaternion means moving between two points on the 4D unit sphere
  - A unit quaternion at each step – another point on the 4D unit sphere
  - Move with constant angular velocity along the greatest circle between the two points on the 4D unit sphere



# Linear Interpolation

- Linear interpolation generates unequal spacing of points after projecting to circle



# Spherical Linear Interpolation (Slerp)

- Want equal increment along arc connecting two quaternion on the spherical surface
  - Spherical linear interpolation (slerp)

$$\text{slerp}(q_1, q_2, u) = \frac{\sin((1-u)\theta)}{\sin\theta} q_1 + \frac{\sin(u\theta)}{\sin\theta} q_2$$

- Normalize to regain unit quaternion

# Spherical Linear Interpolation (Slerp)

Let  $q = \alpha q_1 + \beta q_2$

We can solve for given:

$$\|q\| = 1,$$

$$q_1 \cdot q_2 = \cos \theta,$$

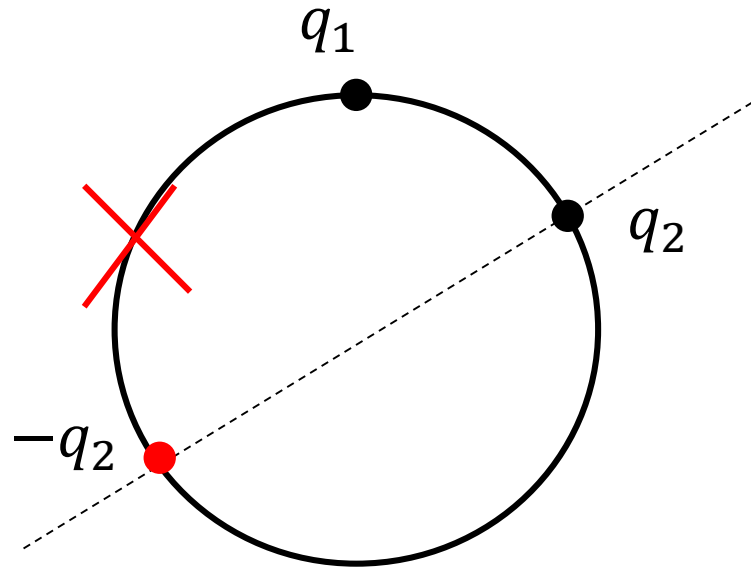
$$q_1 \cdot q = u \cos \theta$$

to give

$$\text{slerp}(q_1, q_2, u) = \frac{\sin((1-u)\theta)}{\sin \theta} q_1 + \frac{\sin(u\theta)}{\sin \theta} q_2$$

# Spherical Linear Interpolation (Slerp)

$$q_1 \cdot q_2 > 0$$



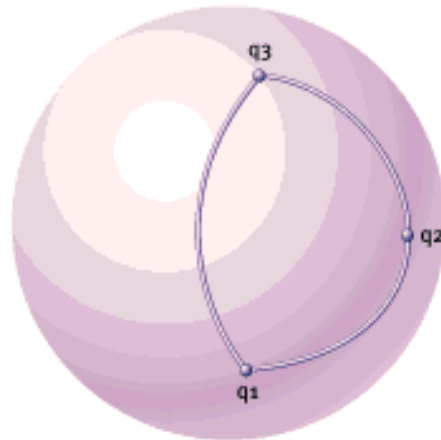
# Spherical Linear Interpolation (Slerp)

- Recall that  $q$  and  $-q$  represent same rotation
- What is the difference between:  
 $\text{Slerp}(u, \mathbf{q}_1, \mathbf{q}_2)$  and  $\text{Slerp}(u, \mathbf{q}_1, -\mathbf{q}_2)$  ?
  - One of these will travel less than 90 degrees while the other will travel more than 90 degrees across the sphere
  - This corresponds to rotating the ‘short way’ or the ‘long way’
- Usually, we want to take the short way, so we negate one of them if their dot product is  $< 0$

# Spherical Linear Interpolation (Slerp)

- If we have an intermediate position  $q_2$ , the interpolation from  $q_1 \dashrightarrow q_2 \dashrightarrow q_3$  will not necessarily follow the same path as the interpolation from  $q_1$  to  $q_3$ .

GD,9801, Fig3



# 单位四元数空间插值曲线的几何构造

- 前面讨论了两个朝向四元数关键帧的球面线性插值问题。
- 在计算机动画制作中，关键帧通常不止两个。若有多个朝向关键帧，对相邻的两个朝向关键帧都采取球面线性插值，尽管物体的朝向能连续地变化，但物体的旋转轴仍会发生跳跃变化，使物体在关键帧位置的旋转不平稳。
- 因此，多个朝向关键帧插值不仅要保证整段插值曲线位于单位四元数球面上，而且必须考虑曲线段之间的高阶连续性问题。
- 不幸的是，在四维超球面上构造插值曲线远比在三维欧氏空间构造样条曲线复杂得多。

# 几何构造的类比法

- 单位四元数空间和三维空间中的线性插值具有某种相似性。
- 利用这种相似性，我们可先在三维空间讨论插值问题，然后把结果推广到单位四元数空间。

# 几何构造的类比法

- 矢量 $\mathbf{V}=(x, y, z)$  的逆为:  $-\mathbf{V}=(-x, -y, -z)$
- 矢量 $\mathbf{V}$ 乘以比例缩放因子 $u$ 得到:  $u \mathbf{V}$
- 矢量 $\Delta\mathbf{V}$ 把矢量 $\mathbf{V}_1$ 变换至 $\mathbf{V}_2$ :  $\Delta\mathbf{V} = \mathbf{V}_2 - \mathbf{V}_1$
- 记 $\mathbf{V}_1$ 和 $\mathbf{V}_2$ 之间的线性插值为  $lerp(\mathbf{V}_1, \mathbf{V}_2; u)$ ,  
则 $\mathbf{V}=lerp(\mathbf{V}_1, \mathbf{V}_2; u)=u(\mathbf{V}_2 - \mathbf{V}_1) + \mathbf{V}_1, u \in [0, 1]$

- 四元数 $q=[w, (x, y, z)]$ 的逆为:  $q^{-1}=[-w, -(x, y, z)]$  ;
- 以因子 $u$ 对 $q$ 进行比例缩放得到:  $q^u = [\cos u\theta, \mathbf{n} \sin u\theta]$
- 四元数 $\Delta q$ 把 $q_1$ 变换至 $q_2$ :  $\Delta q = q_2 q_1^{-1}$
- 单位四元数空间的球面线性插值 $slerp$ 为:

$$q = slerp(q_1, q_2; u) = (q_2 q_1^{-1})^u q_1$$

- 在三维欧氏空间, 设 $p_{i-1}, p_i, p_{i+1}$ 为相邻的关键帧空间点, 则 $p_i$ 点的导数可用中心差分 $(p_{i+1} - p_{i-1}) / 2$ 来逼近。

- 记  $double(p, q) = 2q - p$ ;  $Bisect(p, q) = (p + q) / 2$

其中 $double(p, q)$ 表示 $p$ 关于 $q$ 的**对称点**,  $Bisect(p, q)$ 为  $p$ 和 $q$ 的**平均值**。

- 沿 $p_i$ 点的切向**向前**跨 $(p_{i+1} - p_{i-1}) / 2$ 长度, 我们得到

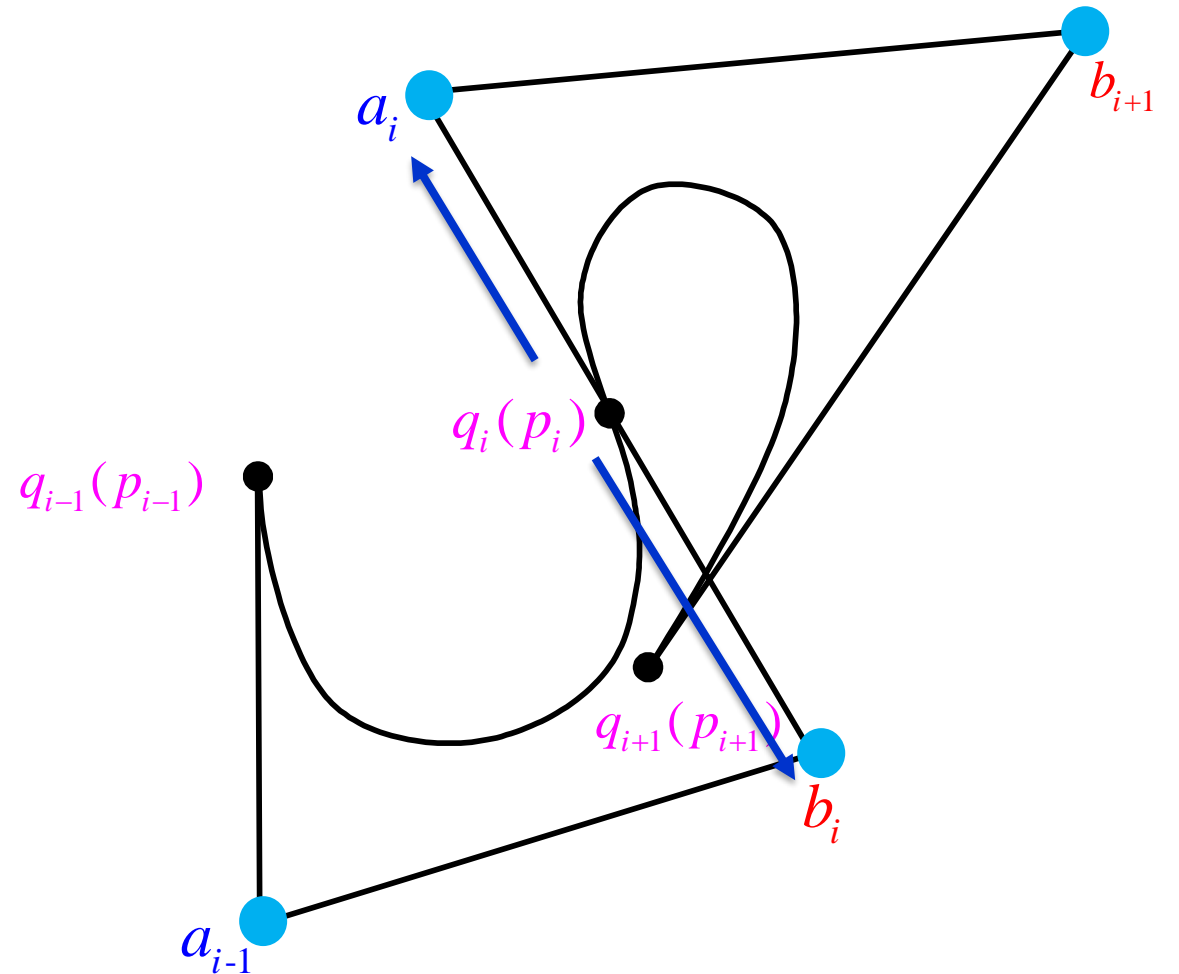
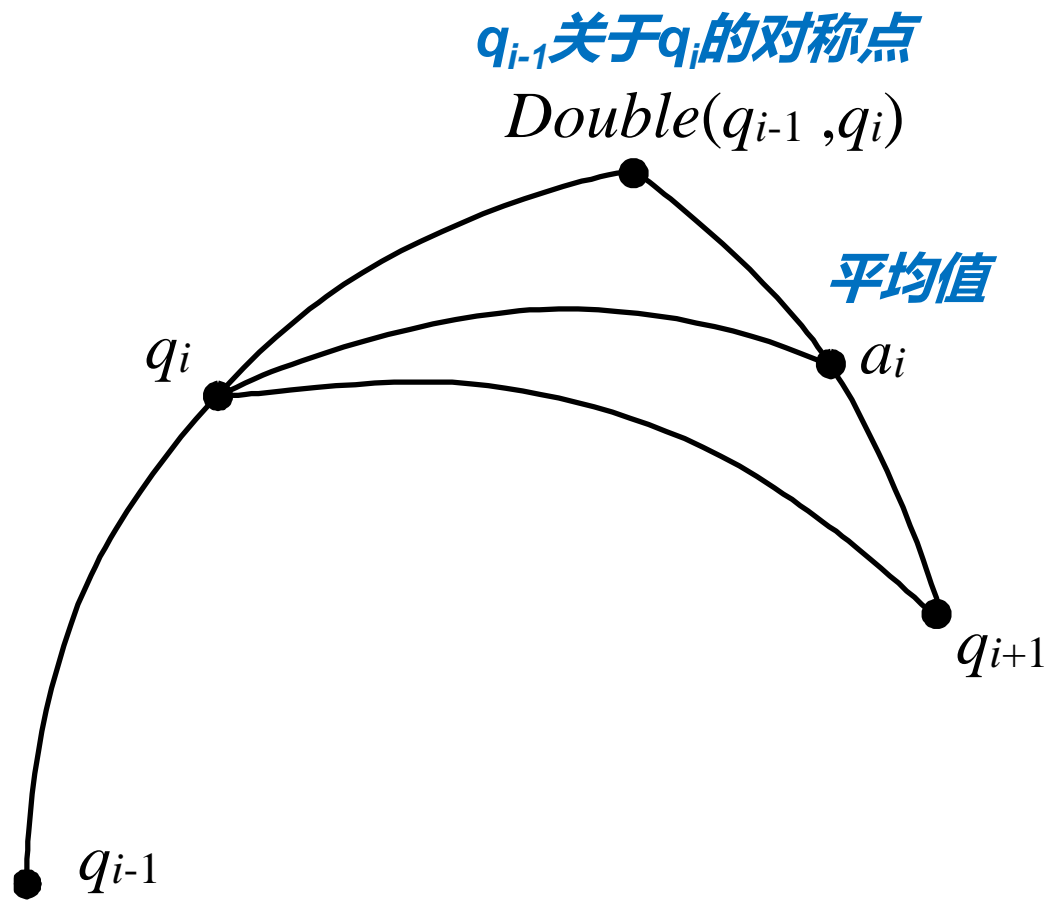
$$a_i = p_i + \frac{p_{i+1} - p_{i-1}}{2} = \frac{2p_i - p_{i-1} + p_{i+1}}{2} = Bisect(Double(p_{i-1}, p_i), p_{i+1})$$

- 沿 $p_i$ 点的切向**向后**跨 $(p_{i+1} - p_{i-1}) / 2$ 长度, 我们得到

$$b_i = Double(a_i, p_i)$$

**然后用类比法推广到四元数空间!**

# 三维欧氏空间Bezier样条的构造

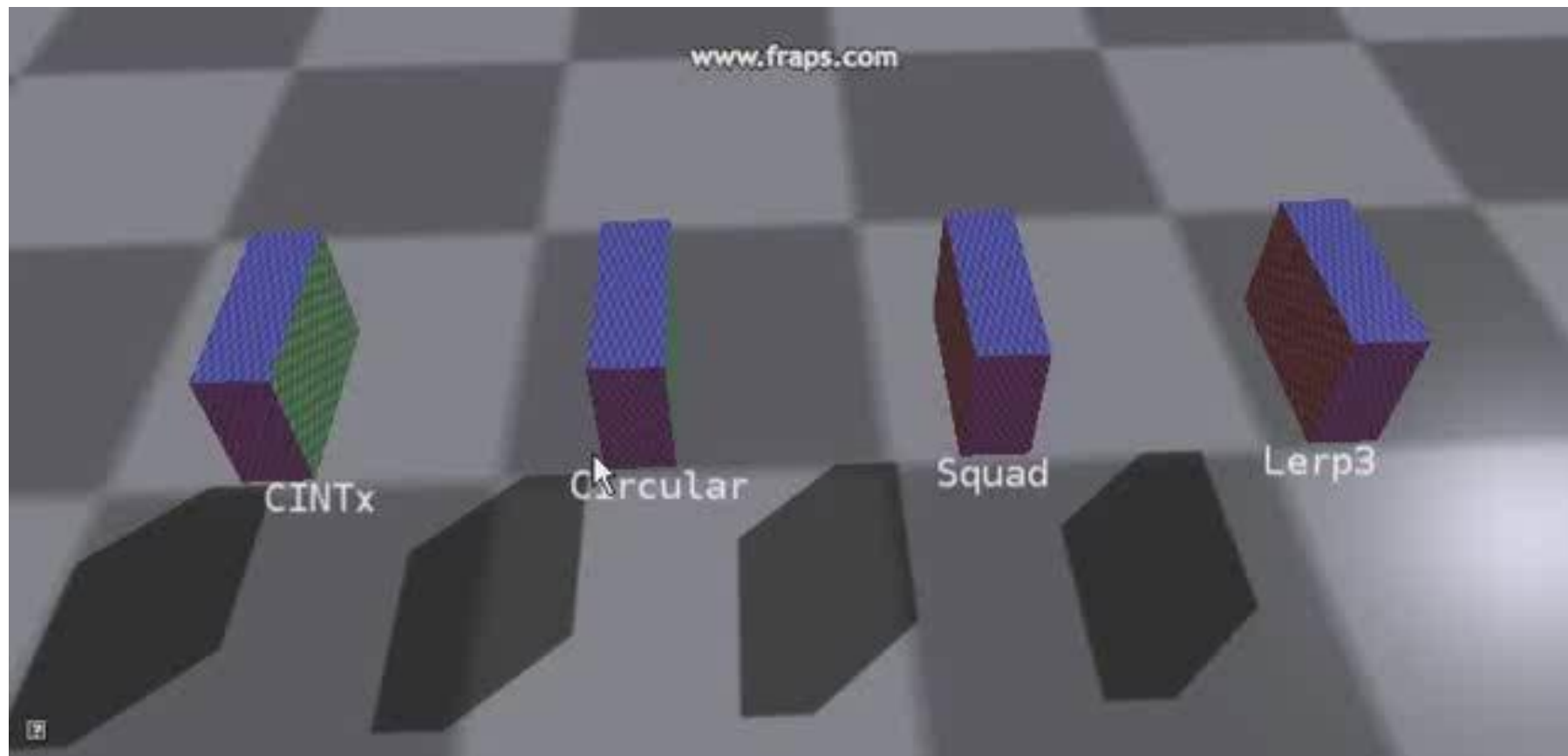


# Advantages of Quaternion

---

- Good and smooth interpolation
- No gimbal lock
- Can be composed much more efficiently (requiring 8 multiplications and 4 divides)
- But
  - Impossible to visualize
  - Unintuitive
- **Good for internal representation of rotation!**

# 四元数插值DEMO



# Cocos2d-x中的Quaternion类

- [Quaternion](#) () 构造一个四元数，初始化为(0,0,0,1)。
- [Quaternion](#) (float xx, float yy, float zz, float ww) 构造一个四元数。
- [Quaternion getConjugated](#) () const 得到共轭四元数。
- [Quaternion getInversed](#) () const 得到逆四元数。
- void [multiply](#) (const [Quaternion](#) &q) 右乘四元数q，并且将结果存储在this中。
- const [Quaternion operator\\*](#) (const [Quaternion](#) &q) const 计算该四元数和另一个四元数q的右乘乘积。
- ... ..

# Cocos2d-x中的Quaternion类

- static void slerp (const [Quaternion](#) & q1, const [Quaternion](#) & q2, const [Quaternion](#) & s1, const [Quaternion](#) & s2, float t, [Quaternion](#) \* dst )
- 在一系列四元数中，使用球面样条插值。
- 球面样条插值能在不同的旋转姿态中进行平滑过渡，通常用于物体和摄像机的3D动画
- 注意: 输入必须是单位四元数。该方法不会自动归一化输入的四元数, 所以在计算之前必需自行归一化。
- 参数q1第一个四元数, q2第二个四元数, s1第一个控制点, s2第二个控制点。 t插值参数, dst存储插值结果。

# 有关四元数的参考文献

- Shoemake K. Animating rotation with quaternion curves. *Computer Graphics*, 1985, 19(3):245~254
- Pletincks D. Quaternion calculus as a basic tool in computer graphics. *The Visual Computer*, 1989,5(1):2~13
- Kim M J, Kim M S, Shin S Y. A general construction scheme for unit quaternion curves with simple high order derivatives. *Computer Graphics*, 1995, 29(3):369~376
- Kim M J, Kim M S, Shin S Y. A compact differential formula for the first derivative of a unit quaternion curve. *The Journal of Visualization and Computer Animation*, 1996,7(2):43~57

**The End**