# 深度学习概述

周晓巍

# Today's class

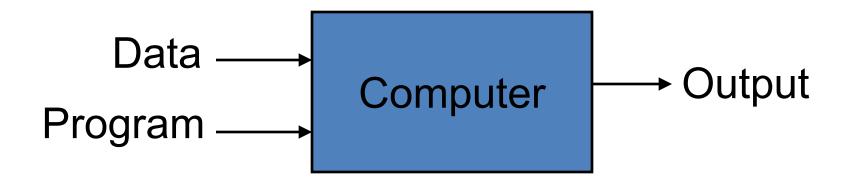
- Supervised Learning and Image Classification
- Linear Classifier
- Neural Networks
- Convolutional Neural Networks
- Training CNNs
- History and Recent Advances

## Part I

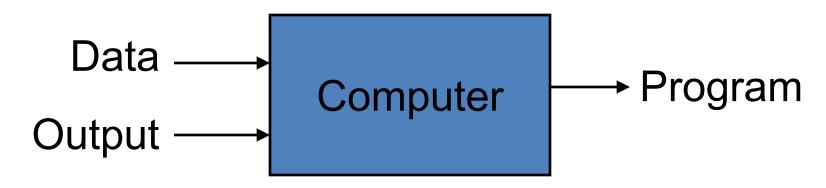
Supervised Learning and Image Classification

# Machine Learning

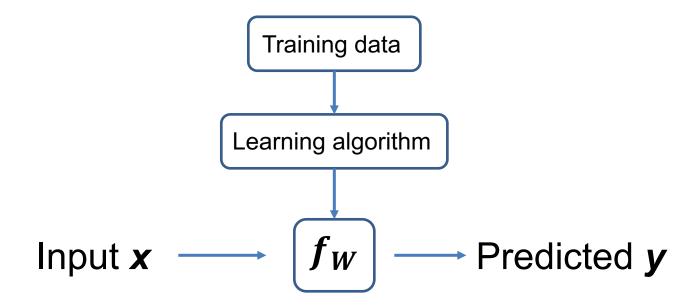
#### **Traditional Programming**



#### **Machine Learning**



# Supervised Learning



#### y can be

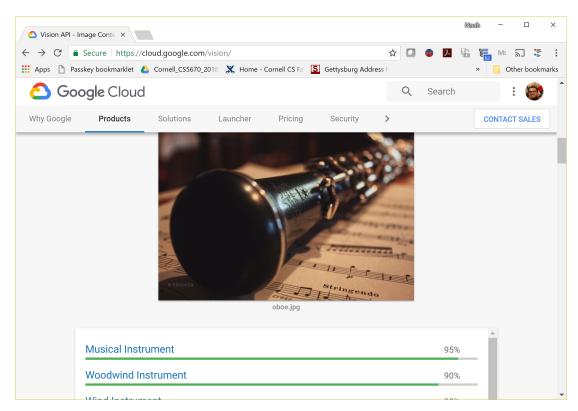
- A real number (regression)
- A discrete label (classification)

# Image Classification: A core task in Computer Vision

Assume given set of discrete labels, e.g.
 {cat, dog, cow, apple, tomato, truck, ... }

Dataset: ETH-80, by B. Leibe Slide credit: L. Lazebnik

# Image classification demo



https://cloud.google.com/vision/

#### See also:

https://aws.amazon.com/rekognition/

https://www.clarifai.com/

https://azure.microsoft.com/en-us/services/cognitive-services/computer-vision/

. . .

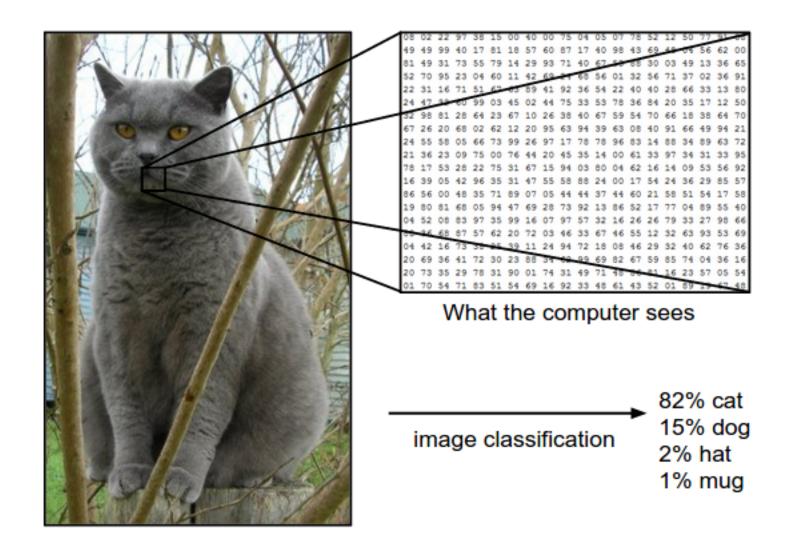
# Image Classification



(assume given set of discrete labels) {dog, cat, truck, plane, ...}

cat

# Image Classification: Problem



#### An image classifier

```
def classify_image(image):
    # Some magic here?
    return class_label
```

Unlike e.g. sorting a list of numbers,

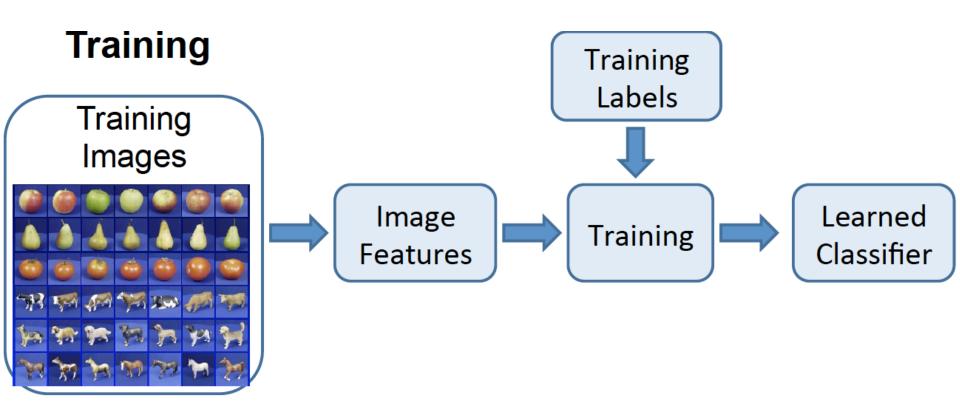
**no obvious way** to hard-code the algorithm for recognizing a cat, or other classes.

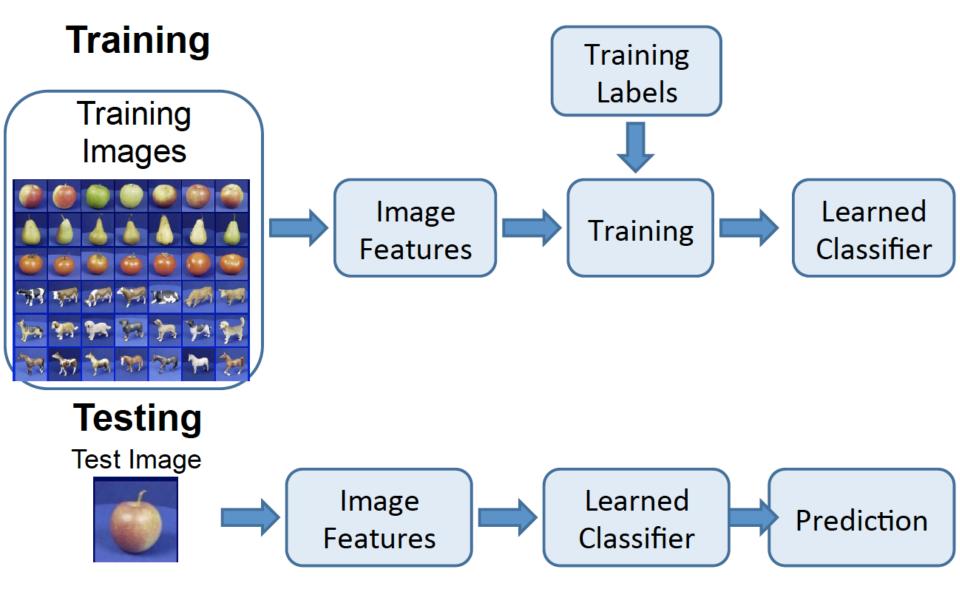
# Data-driven approach

- Collect a database of images with labels
- Use ML to train an image classifier

#### Example training set

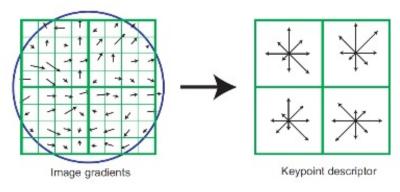




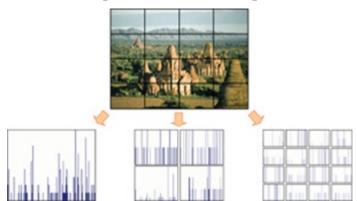


Dataset: ETH-80, by B. Leibe Slide credit: D. Hoiem, L. Lazebnik

# Image features

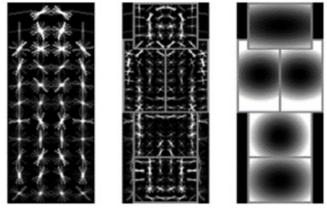


SIFT [Loewe IJCV 04]

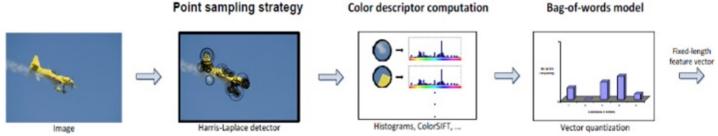


SPM [Lazebnik et al. CVPR 06]

HOG [Dalal and Triggs CVPR 05]

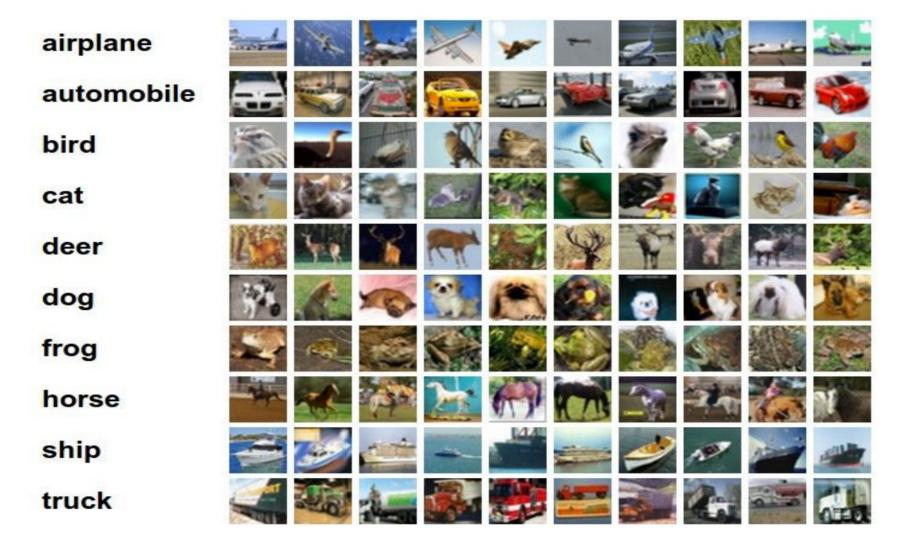


DPM [Felzenszwalb et al. PAMI 10]



Color Descriptor [Van De Sande et al. PAMI 10]

# Why use features? Why not pixels?



### Classifiers

- Nearest Neighbor
- kNN ("k-Nearest Neighbors")
- Linear Classifier
- Decision Tree...

## Part II

**Linear Classifier** 

## Linear classifiers

#### **Neural Network**



This image is CC0 1.0 public domain

## Score function



### class scores

## Score function: f

## Parametric approach

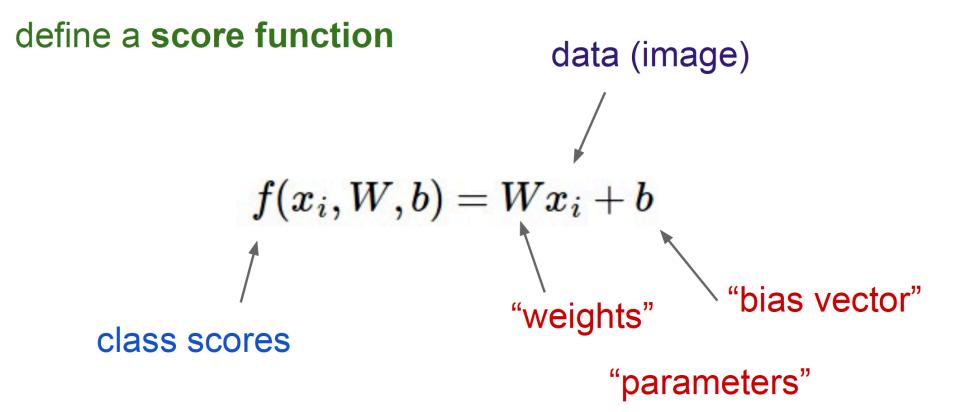


image parameters f(x, W)

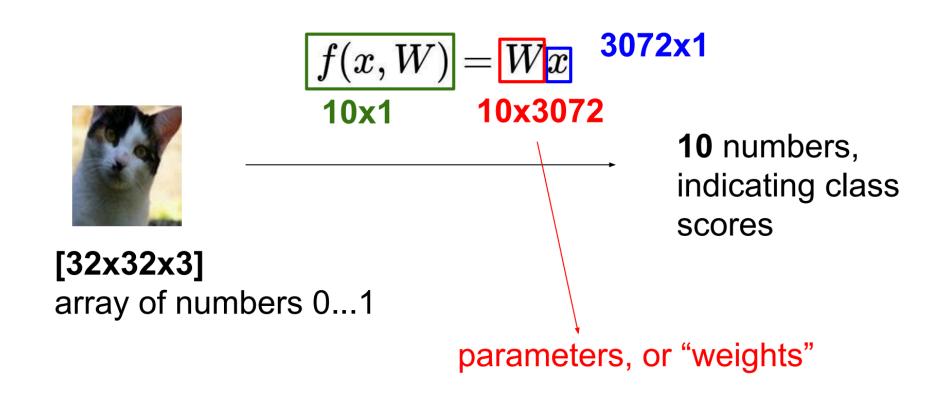
**10** numbers, indicating class scores

[32x32x3] array of numbers 0...1 (3072 numbers total)

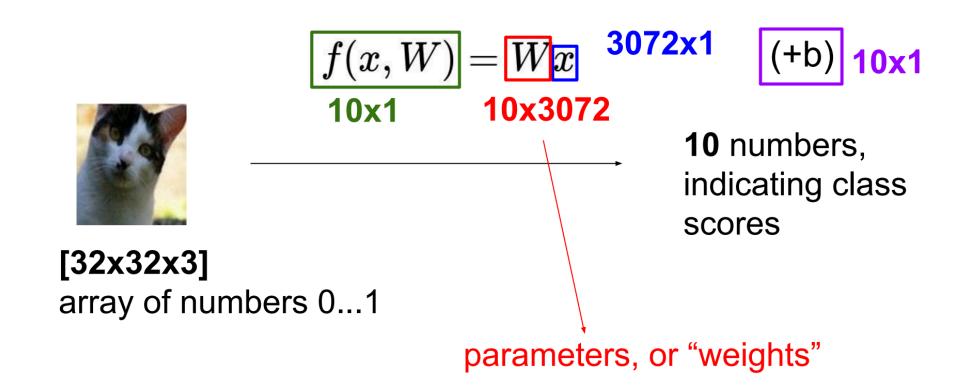
## Score function: f



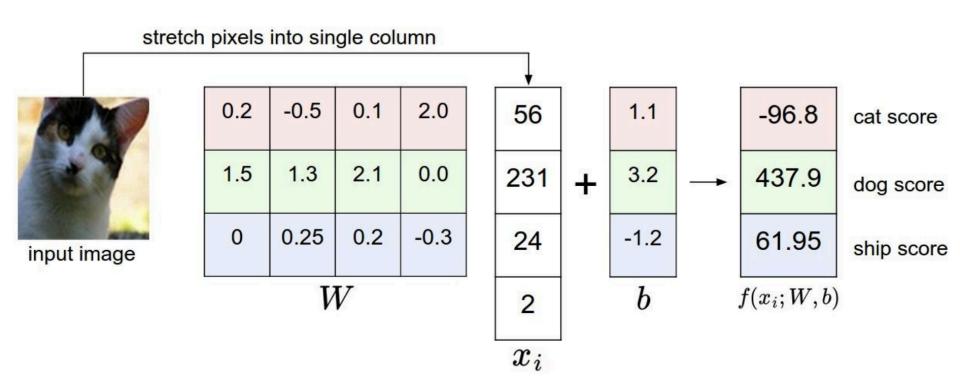
## Parametric approach: Linear classifier



# Parametric approach: Linear classifier



#### Example with an image with 4 pixels, and 3 classes (cat/dog/ship)



# Training: how to find good W based on training data?







airplane	-3.45	-0.51	3.42
automobile	-8.87	6.04	4.64
bird	0.09	5.31	2.65
cat	2.9	-4.22	5.1
deer	4.48	-4.19	2.64
dog	8.02	3.58	5.55
frog	3.78	4.49	-4.34
horse	1.06	-4.37	-1.5
ship	-0.36	-2.09	-4.79
truck	-0.72	-2.93	6.14

<u>Cat image</u> by <u>Nikita</u> is licensed under <u>CC-BY 2.0; Car image</u> is <u>CC0 1.0</u> public domain; <u>Froα image</u> is in the public domain

#### Output scores

#### TODO:

- Define a loss function that quantifies our unhappiness with the scores across the training data.
- Come up with a way of efficiently finding the parameters that minimize the loss function. (optimization)

Suppose: 3 training examples, 3 classes. With some W the scores f(x, W) = Wx are:





cat

3.2

1.3

2.2

car

5.1

4.9

2.5

frog

-1.7

2.0

-3.1

A **loss function** tells how good our current classifier is

Given a dataset of examples

$$\{(x_i, y_i)\}_{i=1}^N$$

Where  $oldsymbol{x_i}$  is image and  $oldsymbol{y_i}$  is (integer) label

Loss over the dataset is a sum of loss over examples:

$$L = \frac{1}{N} \sum_{i} L_i(f(x_i, W), y_i)$$

# How to define a loss function for predicted scores?

- 1. Convert scores to probabilities
- 2. Compute cross entropy between predicted and true probabilities

$$H(p,q) = -\sum_{x} p(x) \log q(x)$$

## Covert scores to probabilities

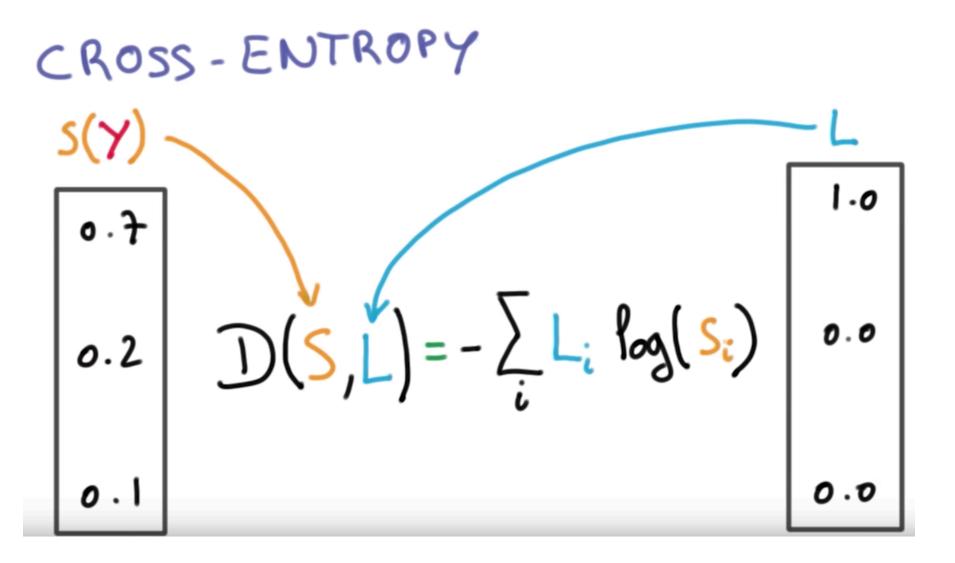
Scores (Logits)

Softmax function: 
$$\sigma(\mathbf{z})_j = \frac{e^{z_j}}{\sum_{k=1}^K e^{z_k}}$$
 for  $j=1,...,K$ .

Image credit: Sung Kim

**Probabilities** 

# Cross entropy as loss function

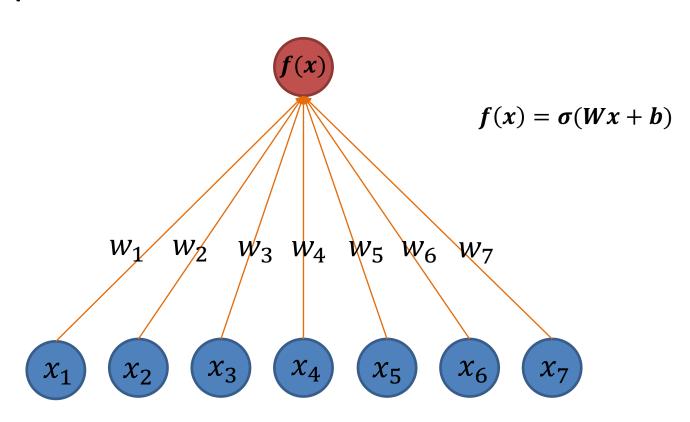


## Part III

**Neural Networks** 

### Neural networks

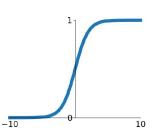
Perceptron



#### **Activation functions**

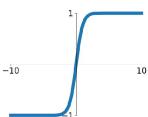
#### **Sigmoid**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



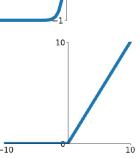
#### tanh

tanh(x)



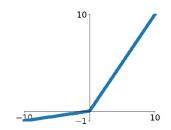
#### ReLU

 $\max(0,x)$ 



#### Leaky ReLU

 $\max(0.1x, x)$ 

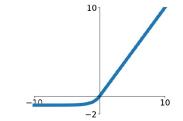


#### **Maxout**

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

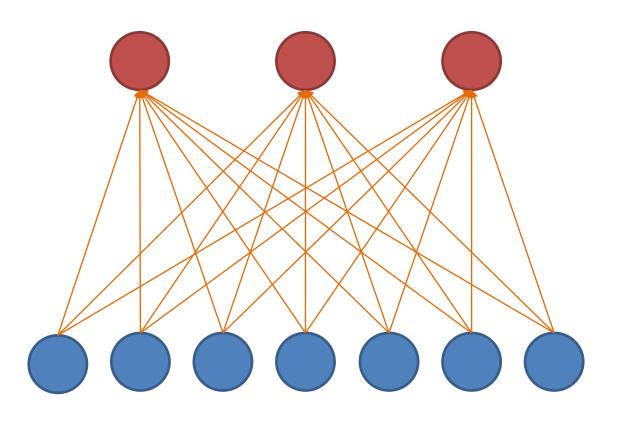
#### **ELU**

$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$

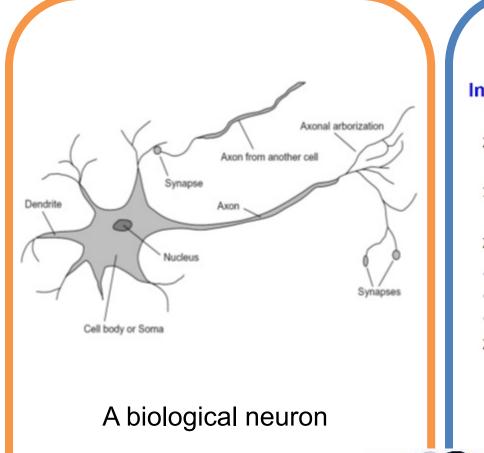


## Neural networks

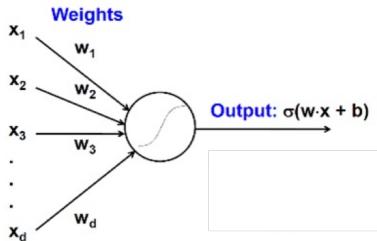
• Extend to multiple outputs



# Biological neuron and Perceptrons

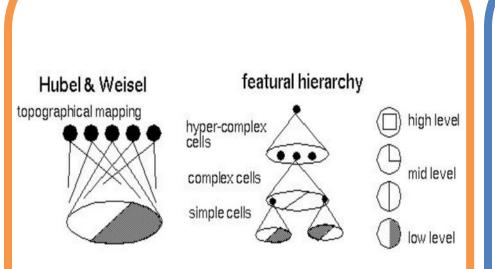


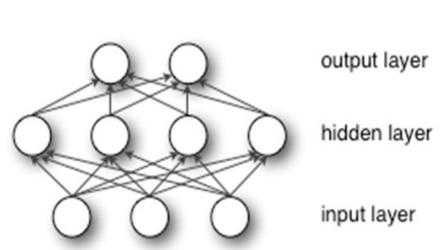
Input



An artificial neuron (Perceptron)
- a linear classifier

#### Hubel/Wiesel Architecture and Multi-layer Neural Network





Hubel and Weisel's architecture

Multi-layer Neural Network
- A *non-linear* classifier

### Neural networks

(**Before**) Linear score function: f = Wx

### Neural networks

(**Before**) Linear score function: f = Wx(**Now**) 2-layer Neural Network  $f = W_2 \max(0, W_1 x)$ 

### Neural networks

```
(Before) Linear score function: f=Wx
(Now) 2-layer Neural Network f=W_2\max(0,W_1x) or 3-layer Neural Network f=W_3\max(0,W_2\max(0,W_1x))
```

### Neural networks

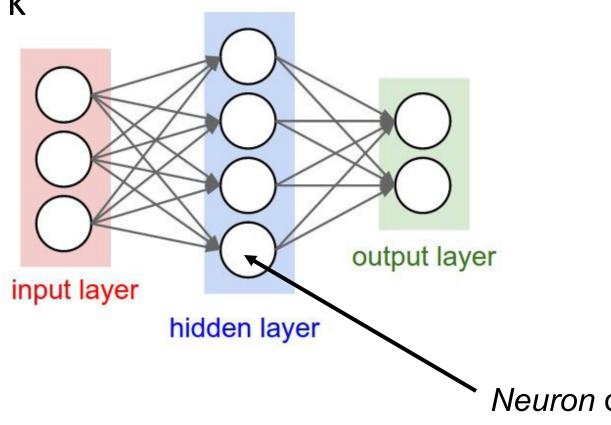
- Very coarse generalization:
  - Linear functions chained together and separated
     by non-linearities (activation functions), e.g. "max"

$$f=W_3\max(0,W_2\max(0,W_1x))$$

— Why separate linear functions with non-linear activation functions?

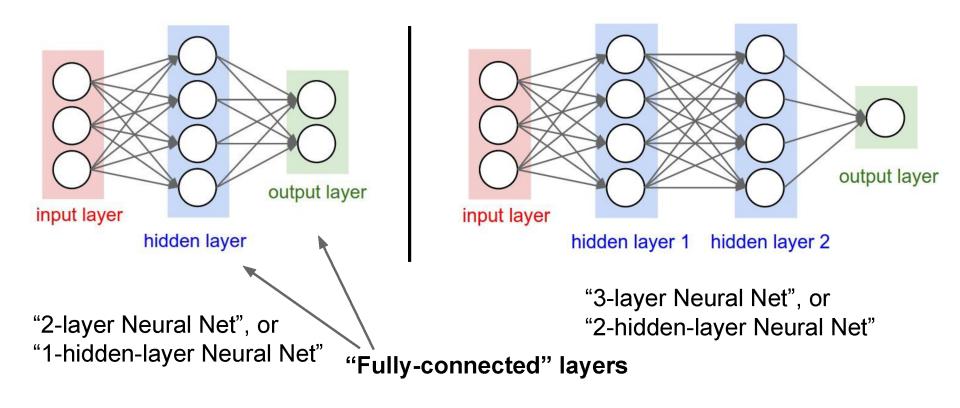
## Neural network architecture

 Computation graph for a 2-layer neural network



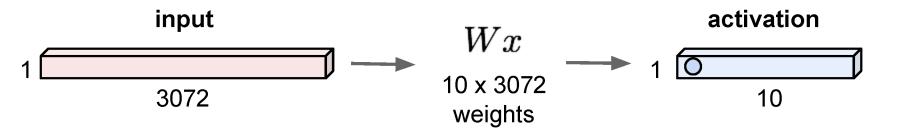
Neuron or unit

### Neural networks: Architectures



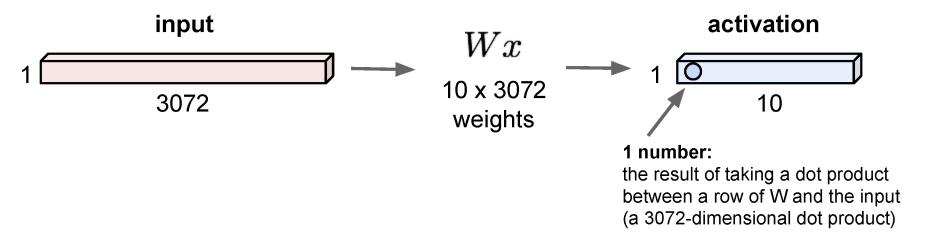
# Fully Connected Layer

32x32x3 image -> stretch to 3072 x 1



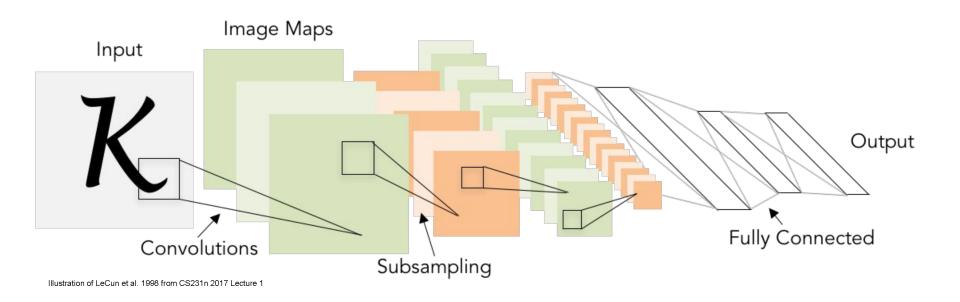
# Fully Connected Layer

32x32x3 image -> stretch to 3072 x 1



- Deep networks typically have many layers and potentially millions of parameters
- How to reduce number of parameters?

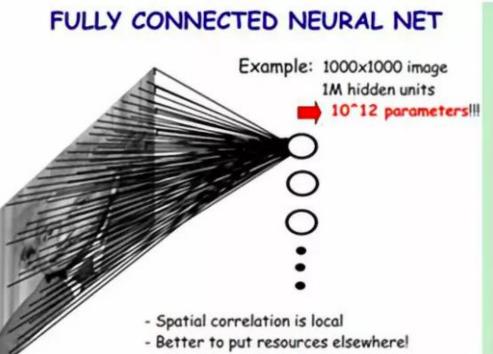
## Part IV

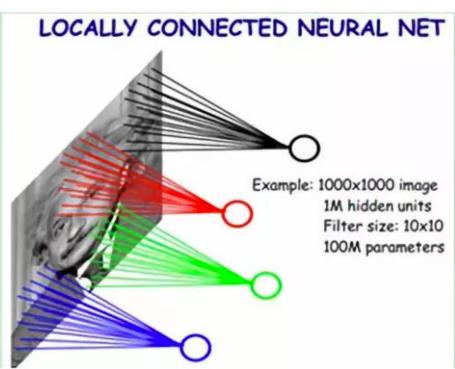


# Local features are important

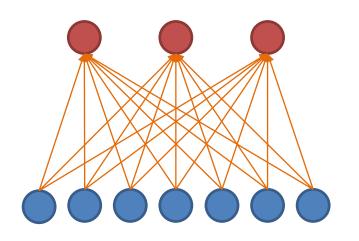




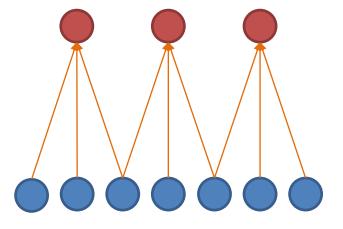




## **Local Connectivity**



Hidden layer



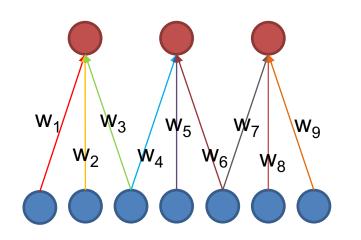
Input layer

**Global** connectivity

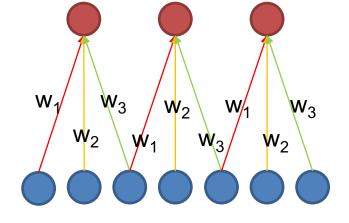
**Local** connectivity

- # input units (neurons): 7
- # hidden units: 3
- Number of parameters
  - Global connectivity:  $3 \times 7 = 21$
  - Local connectivity:  $3 \times 3 = 9$

# Weight Sharing



Hidden layer



Input layer

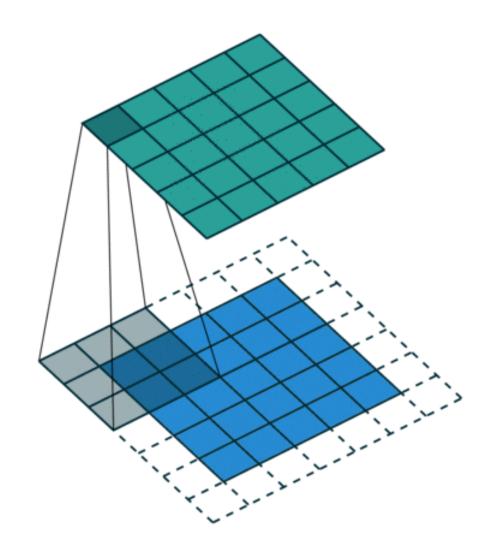
Without weight sharing

With weight sharing

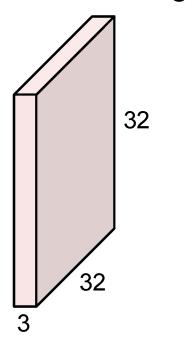
- # input units (neurons): 7
- # hidden units: 3
- Number of parameters
  - Without weight sharing:  $3 \times 3 = 9$
  - With weight sharing:  $3 \times 1 = 3$

Local connectivity + weight sharing

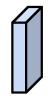
= convolution!



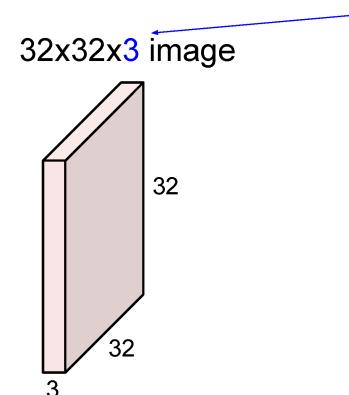
32x32x3 image



5x5x3 filter



**Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

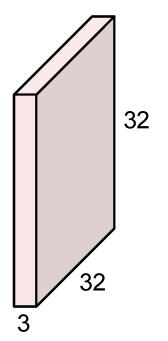


Filters always extend the full depth of the input volume

5x5x3 filter

**Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

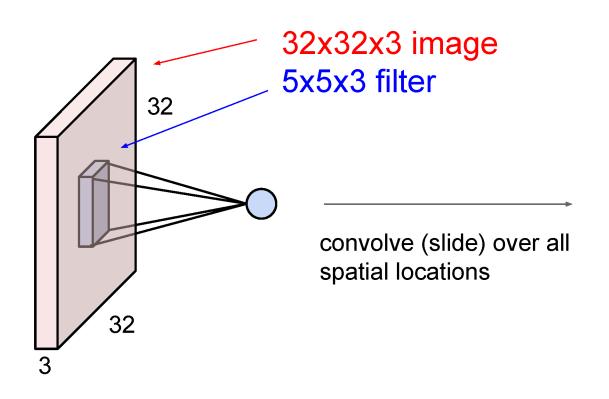
32x32x3 image



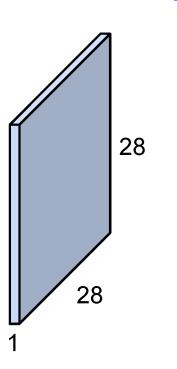
5x5x3 filter

**Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

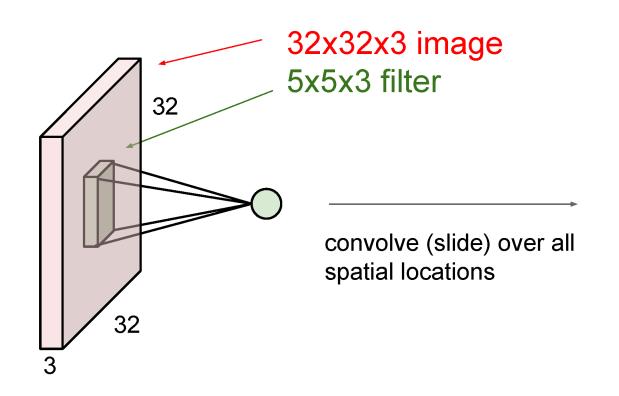
Number of weights:  $5 \times 5 \times 3 + 1 = 76$  (vs. 3072 for a fully-connected layer)

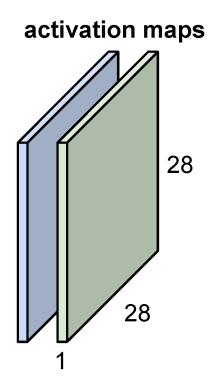


#### activation map

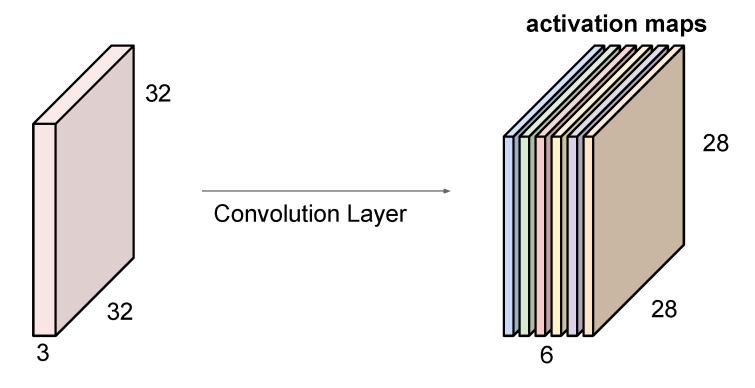


### consider a second, green filter





For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



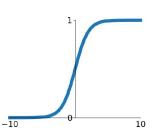
We stack these up to get a "new image" of size 28x28x6!

(total number of parameters:  $6 \times (75 + 1) = 456$ )

### **Activation functions**

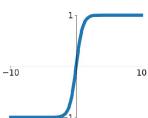
### **Sigmoid**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



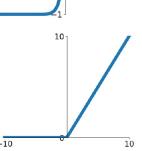
#### tanh

tanh(x)



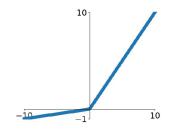
#### ReLU

 $\max(0,x)$ 



### Leaky ReLU

 $\max(0.1x, x)$ 

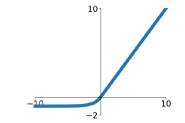


#### **Maxout**

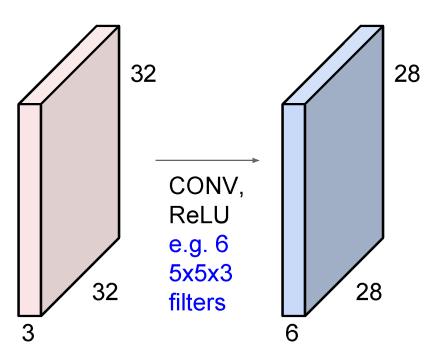
$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

#### **ELU**

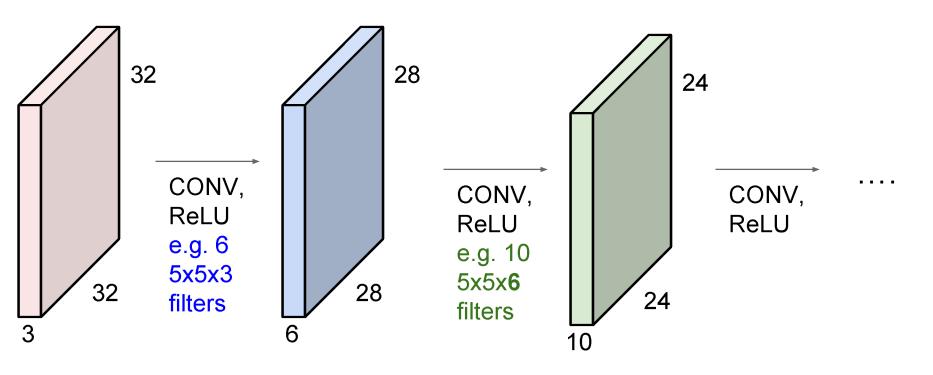
$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



**Preview:** ConvNet is a sequence of Convolution Layers, interspersed with activation functions

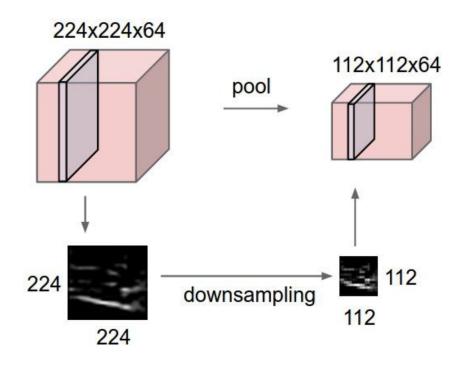


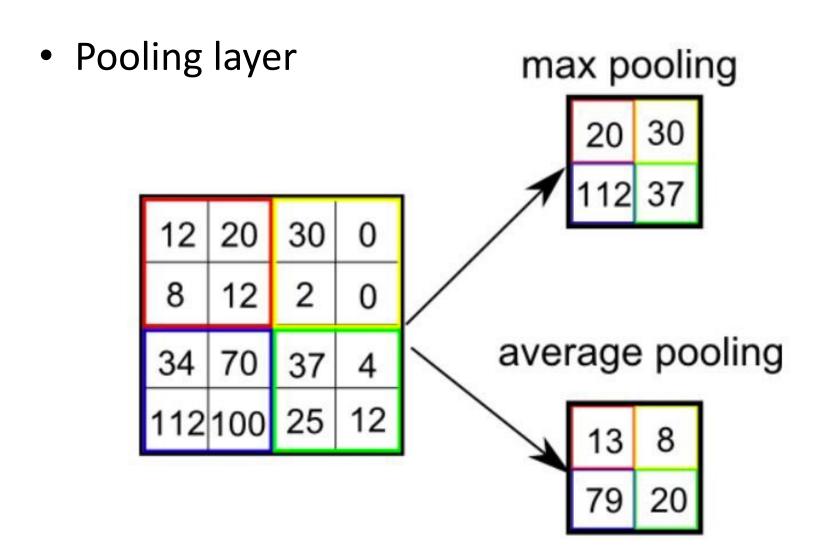
**Preview:** ConvNet is a sequence of Convolution Layers, interspersed with activation functions

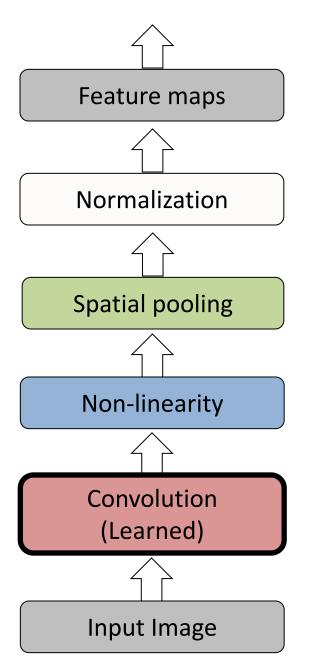


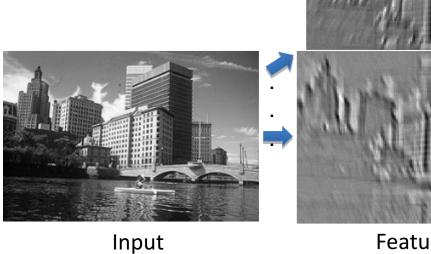
## Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:



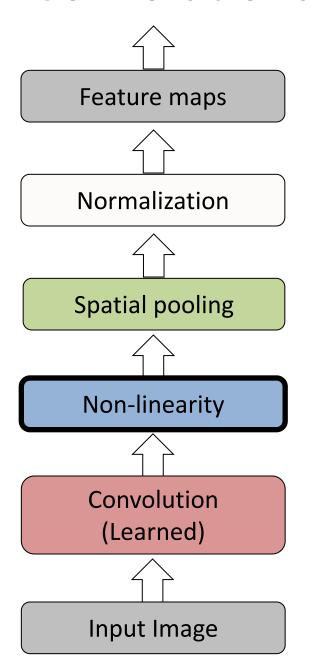




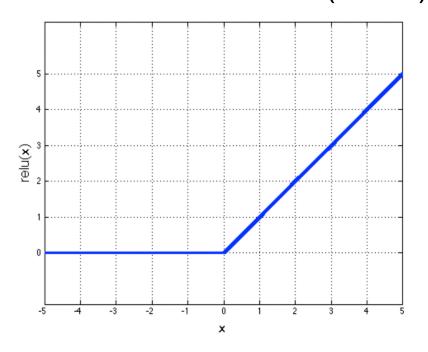


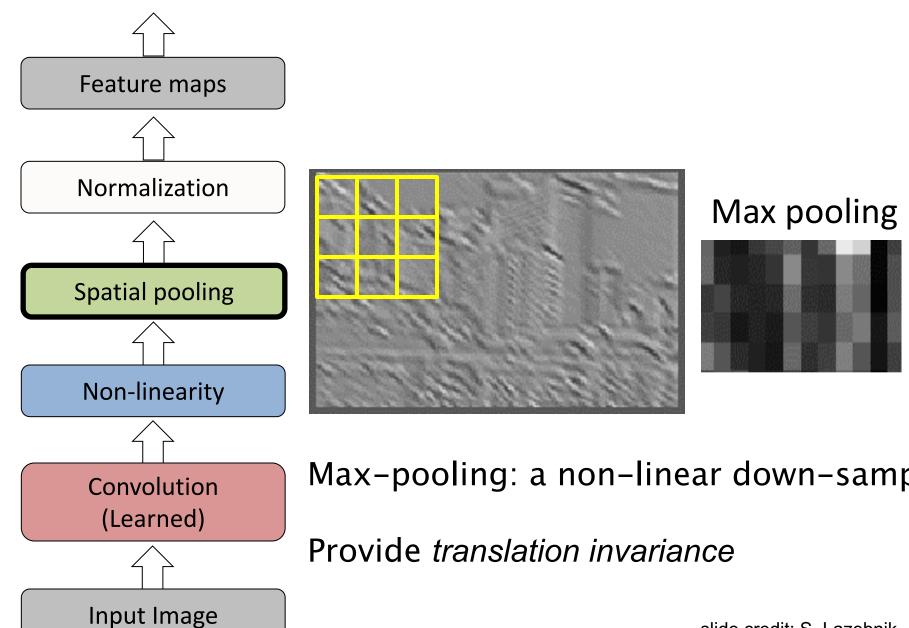
Feature Map

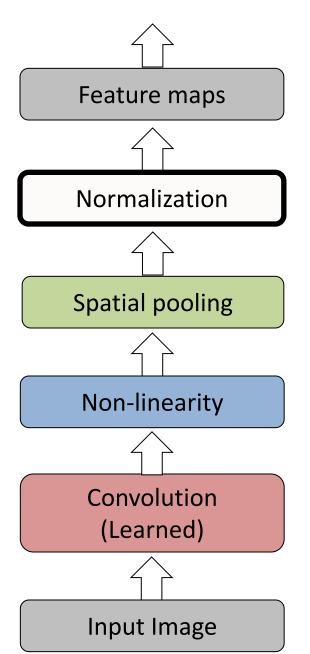
slide credit: S. Lazebnik

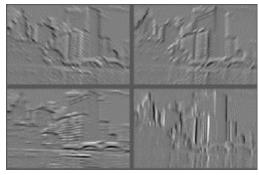


### Rectified Linear Unit (ReLU)

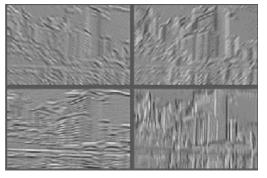




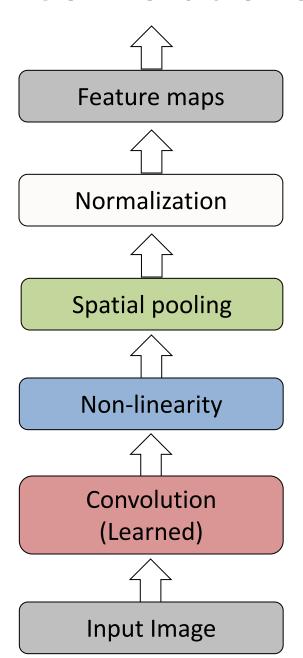




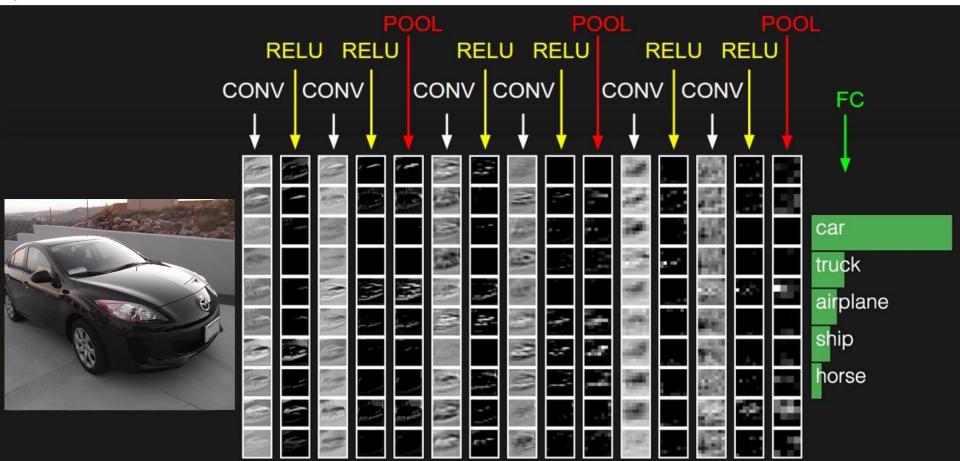
Feature Maps



Feature Maps After Contrast Normalization

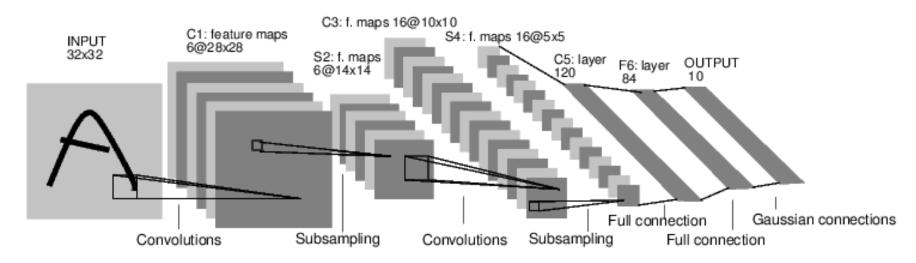


#### preview:



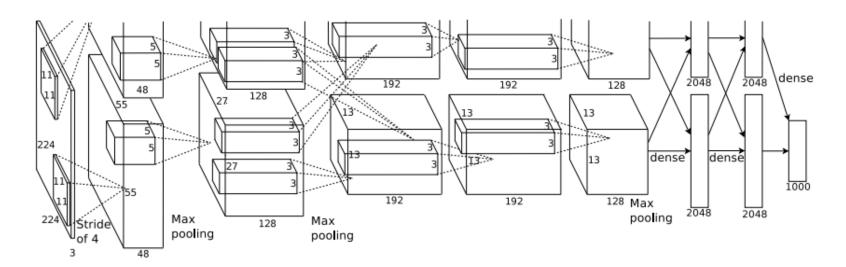
## Case Study: LeNet-5

[LeCun et al., 1998]



Conv filters were 5x5, applied at stride 1 Subsampling (Pooling) layers were 2x2 applied at stride 2 i.e. architecture is [CONV-POOL-CONV-POOL-CONV-FC]

[Krizhevsky et al. 2012]



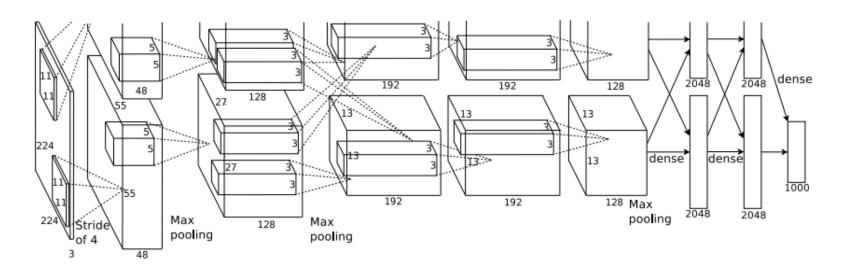
Input: 227x227x3 images

First layer (CONV1): 96 11x11 filters applied at stride 4

**=>** 69

Q: what is the output volume size? Hint: (227-11)/4+1 = 55

[Krizhevsky et al. 2012]



Input: 227x227x3 images

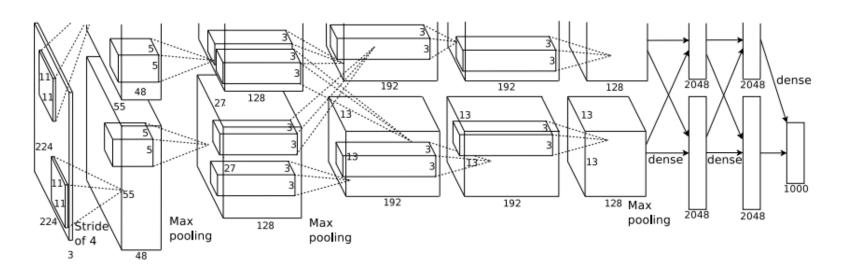
First layer (CONV1): 96 11x11 filters applied at stride 4 =>

Output volume [55x55x96]

Q: What is the total number of parameters in this layer?

70

[Krizhevsky et al. 2012]



Input: 227x227x3 images

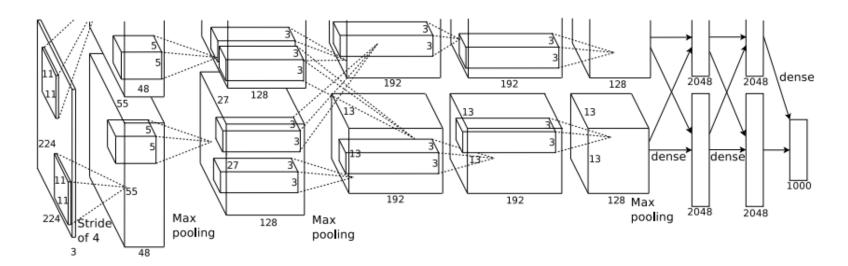
First layer (CONV1): 96 11x11 filters applied at stride 4

**=>** 71

Output volume [55x55x96]

Parameters: (11\*11\*3)\*96 = **35K** 

[Krizhevsky et al. 2012]



Input: 227x227x3 images After CONV1: 55x55x96

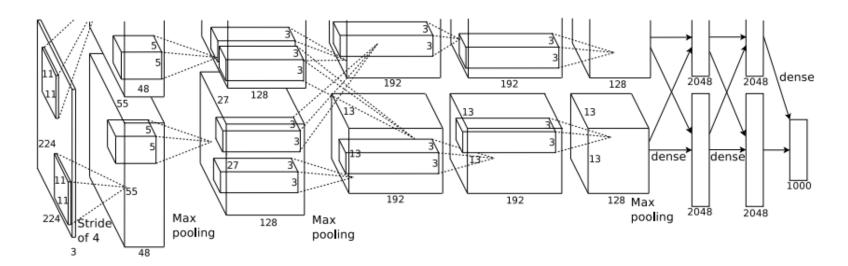
Second layer (POOL1): 3x3 filters applied at stride 2

72

Q: what is the output volume size? Hint: (55-3)/2+1 = 27

### Case Study: AlexNet

[Krizhevsky et al. 2012]



73

Input: 227x227x3 images After CONV1: 55x55x96

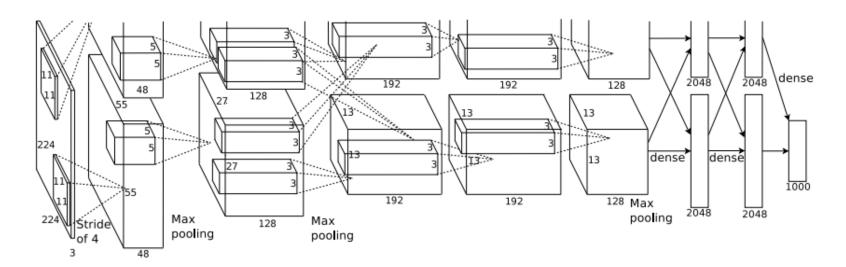
Second layer (POOL1): 3x3 filters applied at stride 2

Output volume: 27x27x96

Q: what is the number of parameters in this layer?

### Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images After CONV1: 55x55x96

Second layer (POOL1): 3x3 filters applied at stride 2

Output volume: 27x27x96

Parameters: 0!

74

### Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

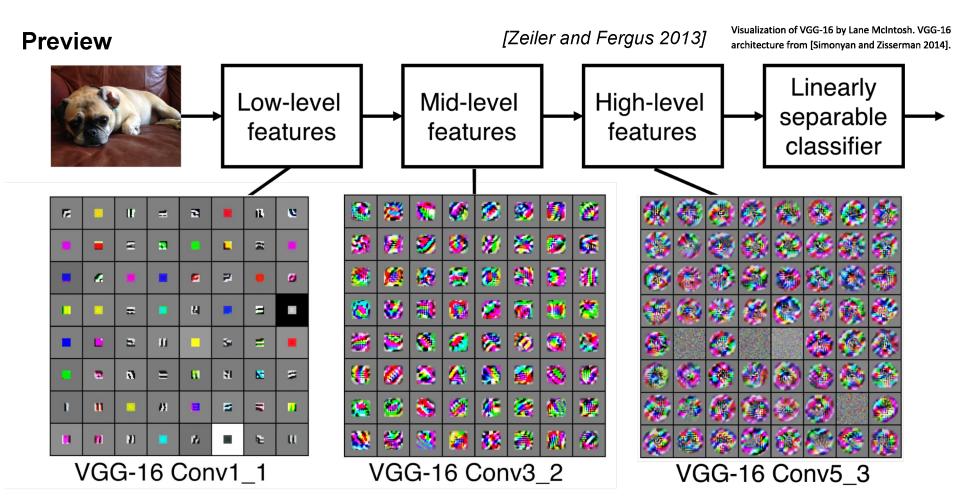
[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)

### What do the filters look like?



### Summary

Multi-layer neural network is a non-linear classifier

 CNN = a multi-layer neural network with Local connectivity
 Weight sharing

- Layer types:
  - Fully-connected layer
  - Convolutional layer
  - Pooling layer

### Part V

**Training CNNs** 

### Multi-layer Neural Network

- A non-linear classifier
- **Training:** find network weights **w** to minimize the error between true training labels  $y_i$  and estimated labels  $f_w(x_i)$ ,

$$L(\mathbf{w}) = \frac{1}{n} \sum_{i} l(y_i, f_{\mathbf{w}}(\mathbf{x}_i))$$

For example:

- L2 loss for regression
- Cross-entropy loss for classification
- Minimization can be done by gradient descent provided f is differentiable
- This training method is called back-propagation

# Training CNN with gradient descent

• A CNN as composition of functions  $f_{\mathbf{w}}(\mathbf{x}) = f_L(\dots (f_2(f_1(\mathbf{x}; \mathbf{w}_1); \mathbf{w}_2) \dots; \mathbf{w}_L)$ 

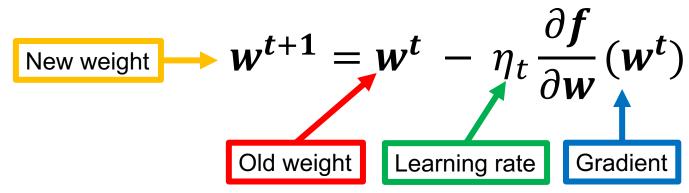
Parameters

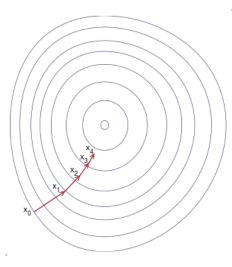
$$w = (w_1, w_2, ... w_L)$$

Empirical loss function

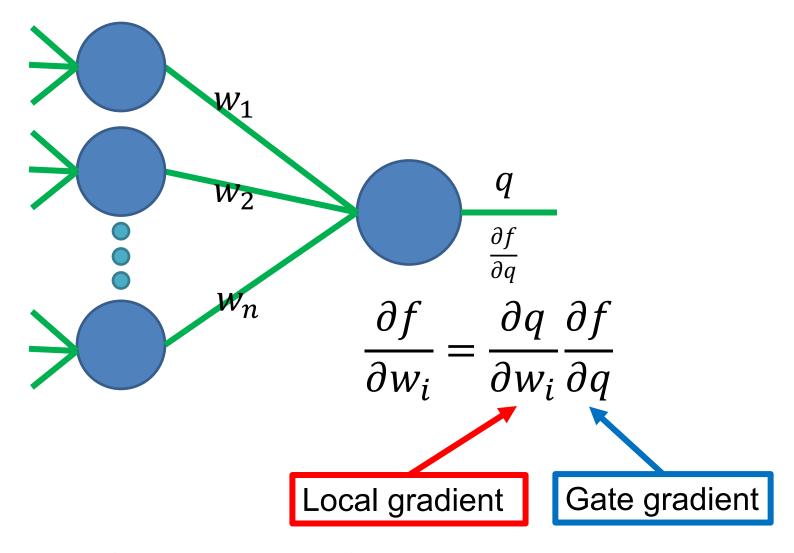
$$L(\mathbf{w}) = \frac{1}{n} \sum_{i} l(y_i, f_{\mathbf{w}}(\mathbf{x}_i))$$

Gradient descent





# Backpropagation (recursive chain rule)



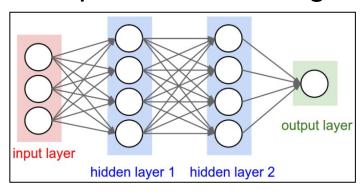
Can be computed during forward pass

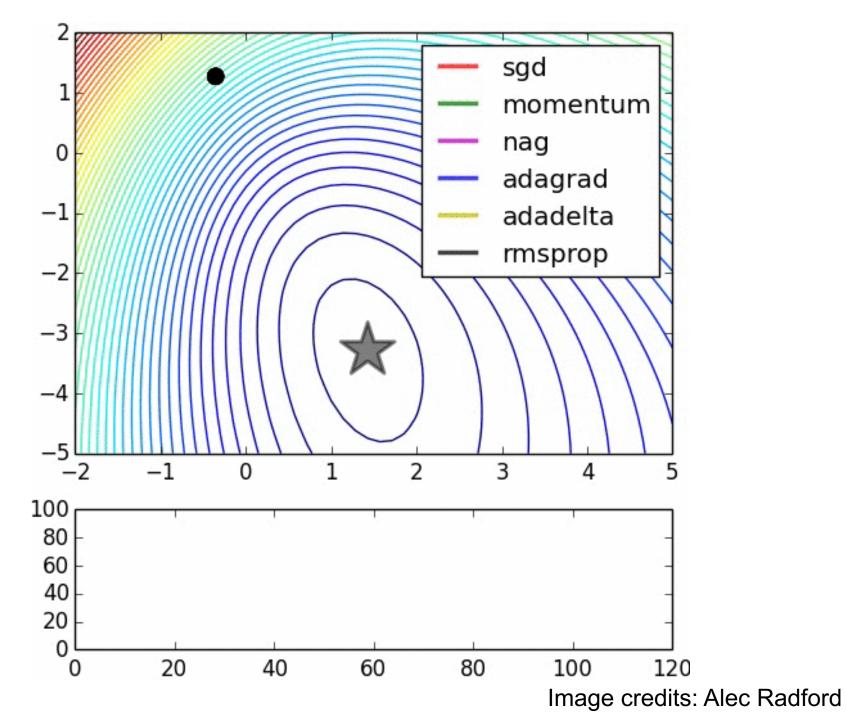
The gate receives this during backprop

# **Stochastic Gradient Descent (SGD)**

#### Loop:

- 1. Sample a batch of data
- 2. Forward prop it through the graph, get loss
- 3. Backprop to calculate the gradients
- 4. Update the parameters using the gradient





### Architecture and hyper-parameters

- How many layers to use?
- How many filters in each layer?
- What are the best batch size and learning rate?

- How do we set them?
  - One option: try them all and see what works best

### Data split

Idea #1: Choose hyperparameters that work best on the data

Bad: you can always decrease training loss by using a larger network

#### Your Dataset

Idea #2: Split data into train and test, choose hyperparameters that work best on test data

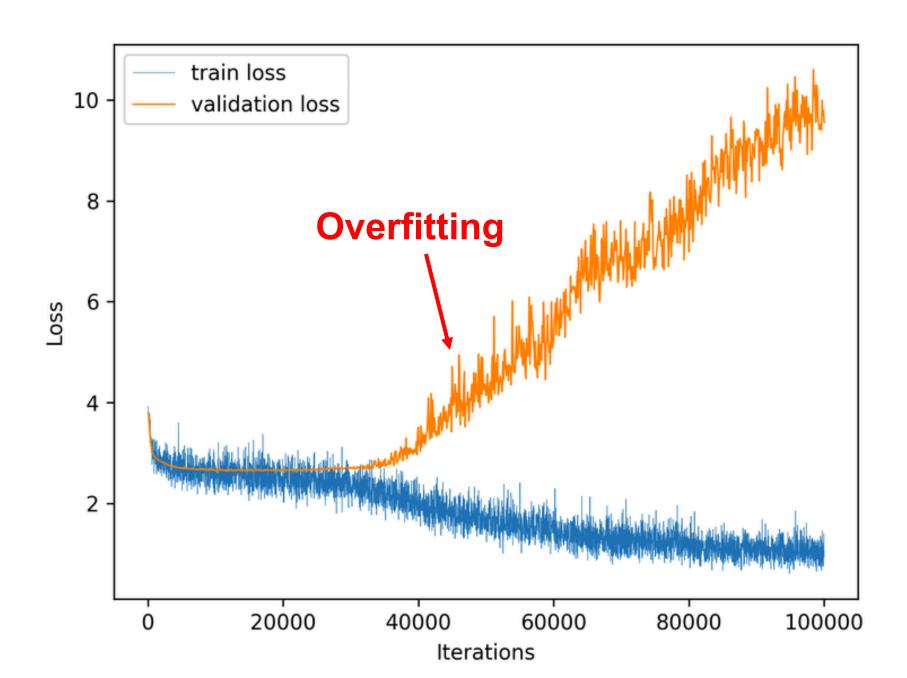
Bad: no idea how it will perform on new data

train test

Idea #3: Split data into train, val, and test; choose hyperparameters on val and evaluate on test

Best!

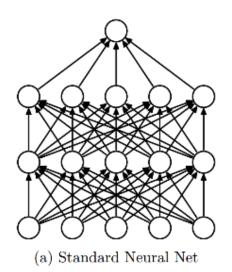
train validation test

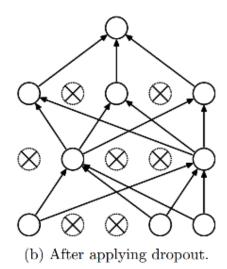


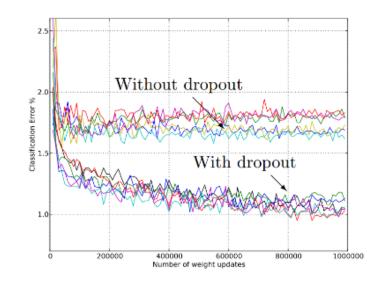
### Recap: How to pick hyperparameters?

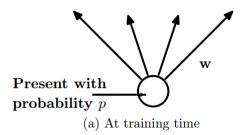
- Train for original model
- Validate to find hyperparameters
- Test to understand generalizability

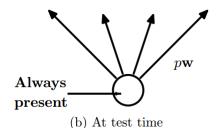
### Dropout











Main Idea: approximately combining exponentially many different neural network architectures efficiently

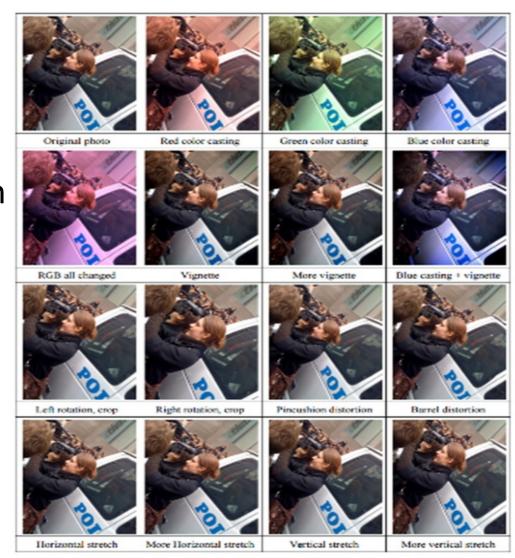
Model	Top-1 (val)	Top-5 (val)	$egin{array}{c} { m Top-5} \ { m (test)} \end{array}$
SVM on Fisher Vectors of Dense SIFT and Color Statistics	-	-	27.3
Avg of classifiers over FVs of SIFT, LBP, GIST and CSIFT	-	-	26.2
Conv Net + dropout (Krizhevsky et al., 2012)	40.7	18.2	-
Avg of 5 Conv Nets + dropout (Krizhevsky et al., 2012)	38.1	16.4	16.4

Table 6: Results on the ILSVRC-2012 validation/test set.

Dropout: A simple way to prevent neural networks from overfitting [Srivastava JMLR 2014]

### Data Augmentation (Jittering)

- Create virtual training samples
  - Horizontal flip
  - Random crop
  - Color casting
  - Geometric distortion



### Part VI

History and Recent Advances

#### A bit of history...

The **Mark I Perceptron** machine was the first implementation of the perceptron algorithm.

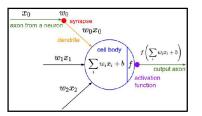
The machine was connected to a camera that used 20×20 cadmium sulfide photocells to produce a 400-pixel image.

recognized letters of the alphabet

 $f(x) = \begin{cases} 1 & \text{if } w \cdot x + b > 0 \\ 0 & \text{otherwise} \end{cases}$ 

update rule:

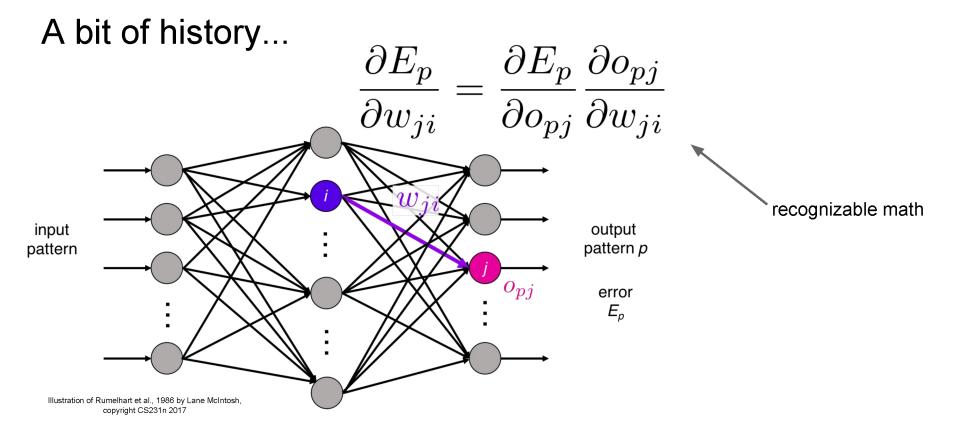
$$w_i(t+1) = w_i(t) + \alpha(d_j - y_j(t))x_{j,i}$$



Frank Rosenblatt, ~1957: Perceptron

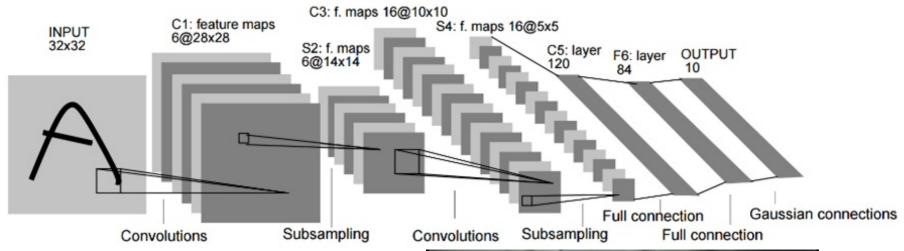


This image by Rocky Acosta is licensed under CC-BY 3.0



Rumelhart et al., 1986: First time back-propagation became popular

### LeNet [LeCun et al. 1998]





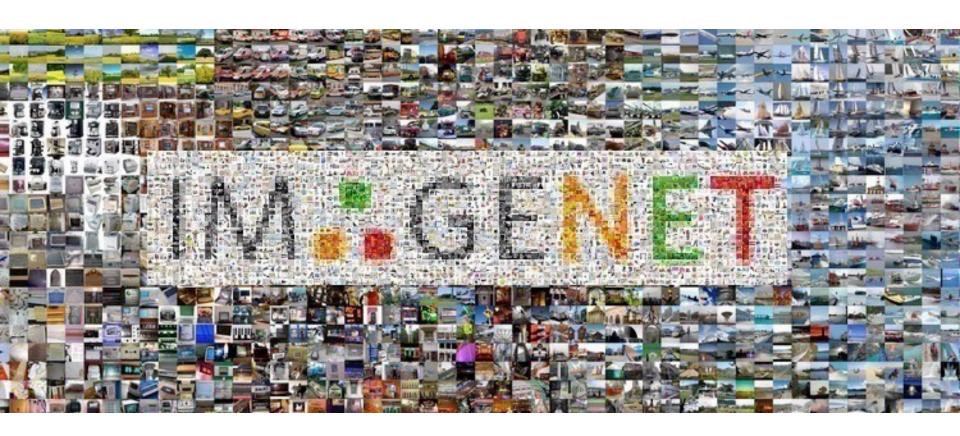
Gradient-based learning applied to document recognition [LeCun, Bottou, Bengio, Haffner 1998]

LeNet-1 from 1993

# But neural networks were not that successful before

- Why?
  - Small training datasets lead to overfitting
  - Hard to train a deep neural network due to limited computational power

# ImageNet dataset



### A bit of history: ImageNet Classification with Deep Convolutional Neural Networks [Krizhevsky, Sutskever, Hinton, 2012]

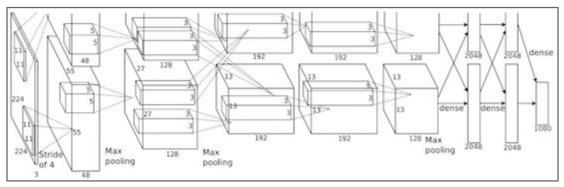
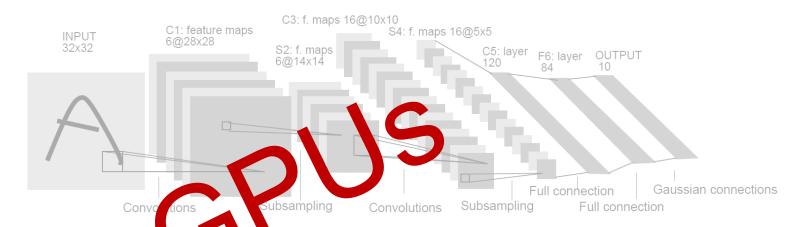
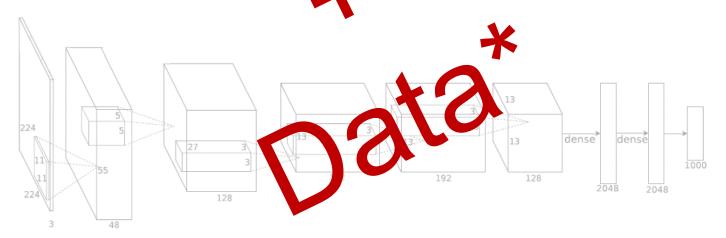


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

"AlexNet"



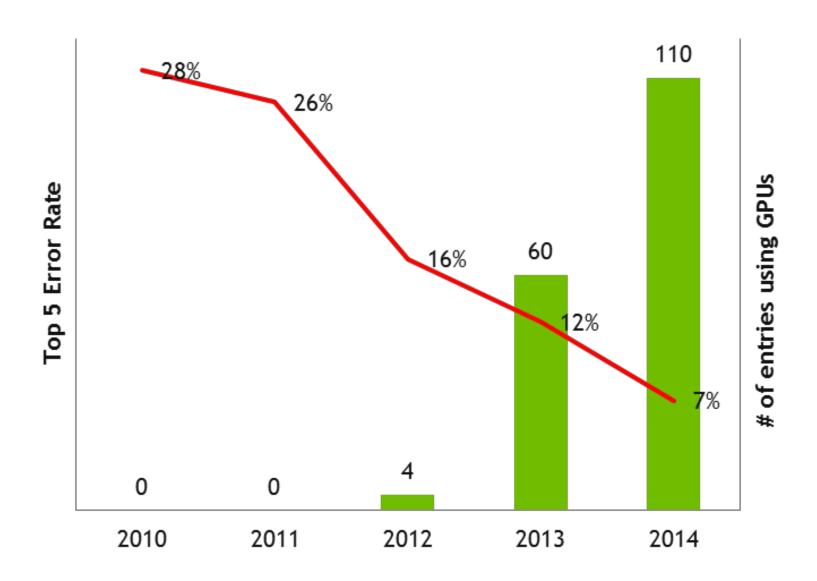
Gradient-Breed Learning Applied to Document Recognition, LeCun, Bottou, Bengio and Haffner, Proc. of the IEEE, 1998



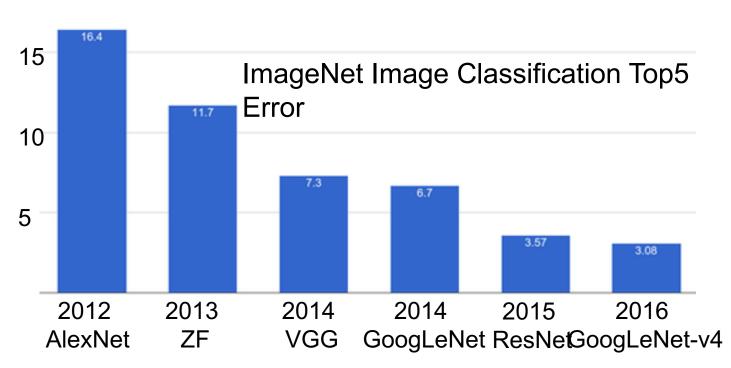
Imagenet Classification with Deep Convolutional Neural Networks, Krizhevsky, Sutskever, and Hinton, NIPS 2012

Slide Credit: L. Zitnick



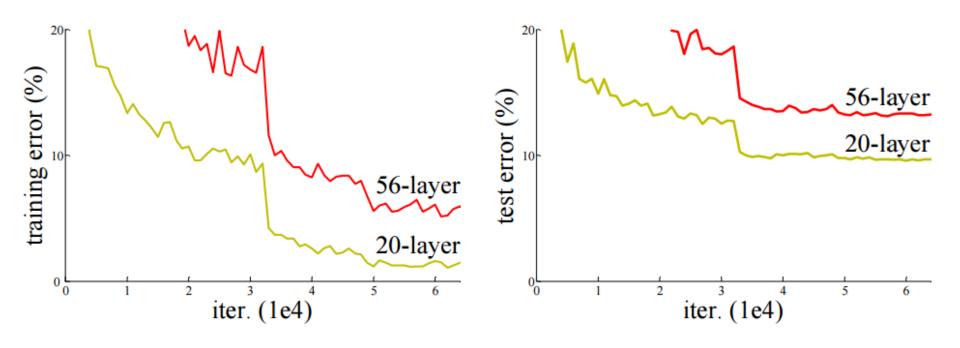


### Progress on ImageNet

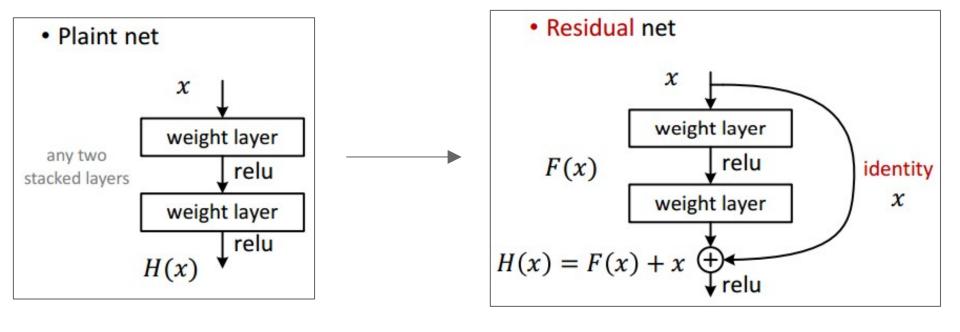




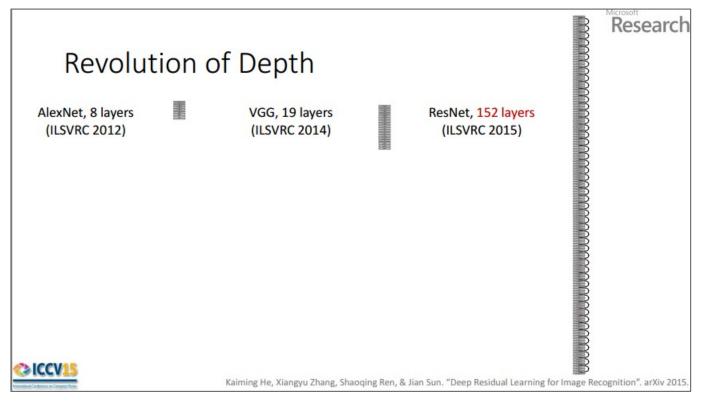
Can we just increase the #layer?



- How can we train very deep network?
  - Residual learning



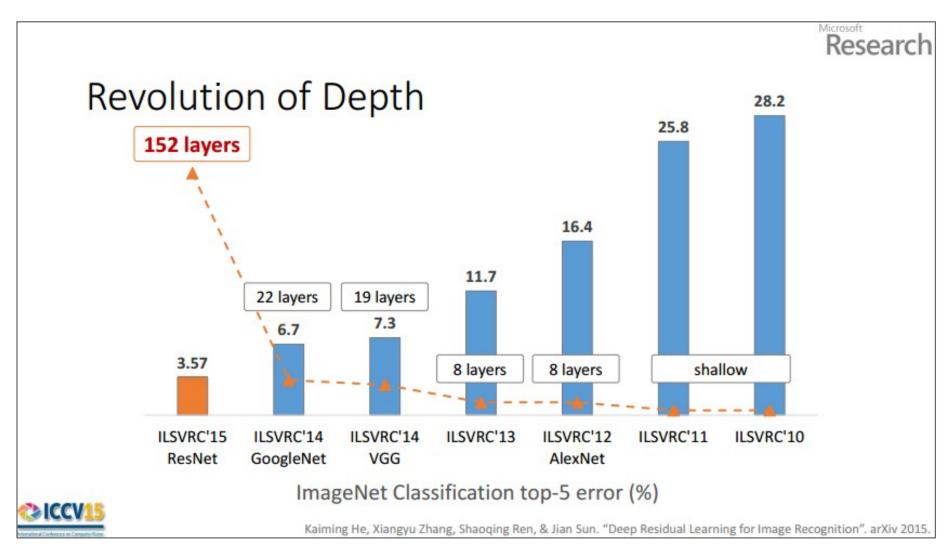
ILSVRC 2015 winner (3.6% top 5 error)



2-3 weeks of training on 8 GPU machine

at runtime: faster than a VGGNet! (even though it has 8x more layers)

(slide from Kaiming He's recent presentation)

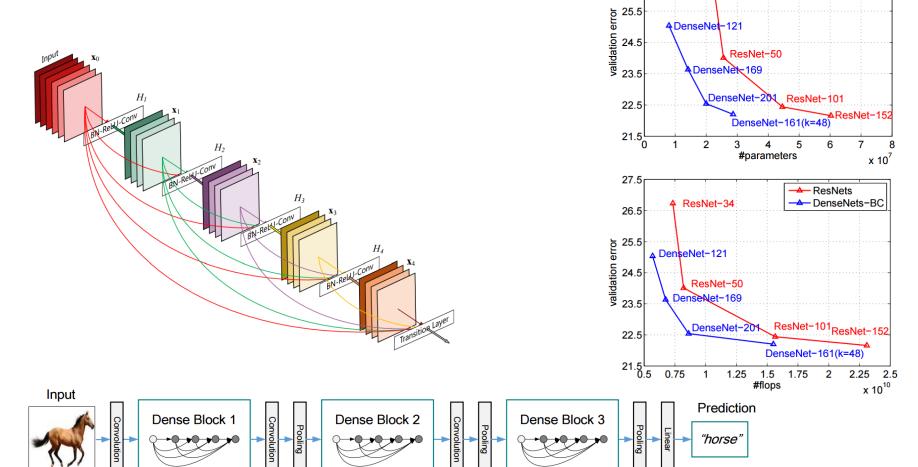


(slide from Kaiming He's recent presentation)

#### DenseNet

Shorter connections (like ResNet) help

Why not just connect them all?



ResNets

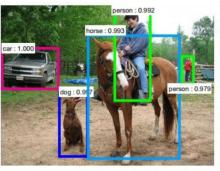
- DenseNets-BC

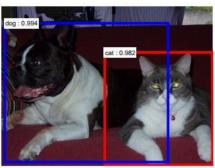
Classification Retrieval

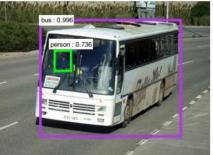


Figures copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

#### Detection





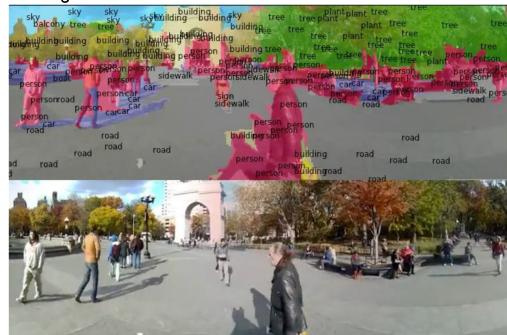




Figures copyright Shaoqing Ren, Kaiming He, Ross Girschick, Jian Sun, 2015. Reproduced with permission.

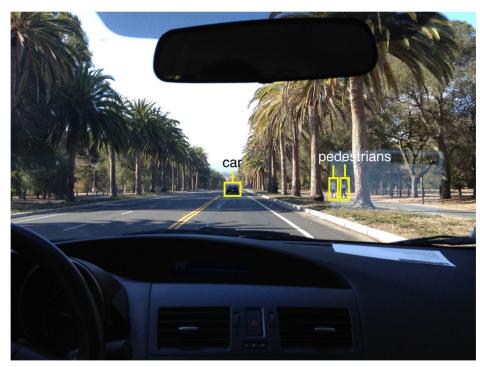
[Faster R-CNN: Ren, He, Girshick, Sun 2015]

#### Segmentation



Figures copyright Clement Farabet, 2012. Reproduced with permission.

[Farabet et al., 2012]



self-driving cars

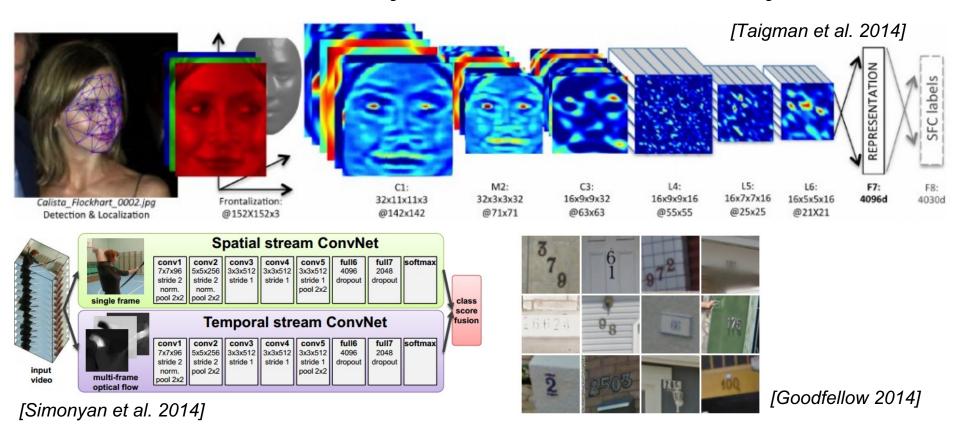
Photo by Lane McIntosh. Copyright CS231n 2017.



#### **NVIDIA** Tesla line

(these are the GPUs on rye01.stanford.edu)

Note that for embedded systems a typical setup would involve NVIDIA Tegras, with integrated GPU and ARM-based CPU cores.

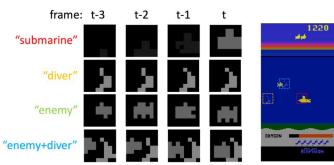


#### Fast-forward to today: ConvNets are everywhere

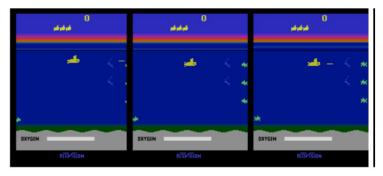


Images are examples of pose estimation, not actually from Toshev & Szegedy 2014. Copyright Lane McIntosh.

[Toshev, Szegedy 2014]



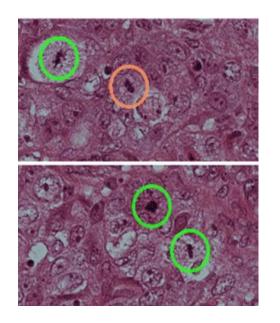




[Guo et al. 2014]

Figures copyright Xiaoxiao Guo, Satinder Singh, Honglak Lee, Richard Lewis, and Xiaoshi Wang, 2014. Reproduced with permission.

#### Fast-forward to today: ConvNets are everywhere

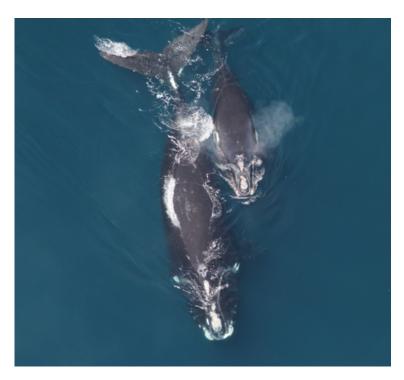






[Ciresan et al. 2013]

[Sermanet et al. 2011] [Ciresan et al.]



Whale recognition, Kaggle Challenge



Mnih and Hinton, 2010

#### Describes without errors



A person riding a motorcycle on a dirt road.



Describes with minor errors

Two dogs play in the grass.



Somewhat related to the image

A skateboarder does a trick on a ramp.



Unrelated to the image

A dog is jumping to catch a frisbee.





A group of young people playing a game of frisbee.



Two hockey players are fighting over the puck.



A little girl in a pink hat is blowing bubbles.



A refrigerator filled with lots of food and drinks.



A herd of elephants walking across a dry grass field.



A close up of a cat laying on a couch.

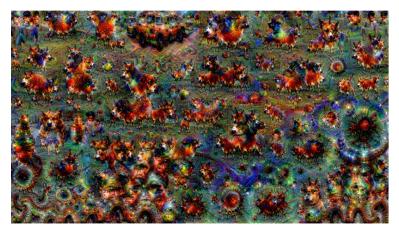


A red motorcycle parked on the side of the road.



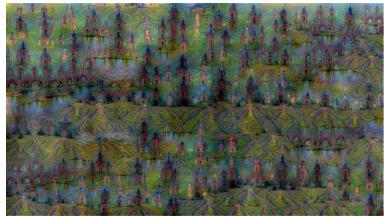
A yellow school bus parked in a parking lot.

[Vinyals et al., 2015]











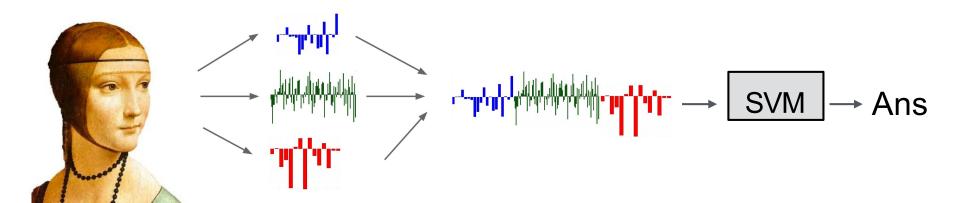
Figures copyright Justin Johnson, 2015. Reproduced with permission. Generated using the Inceptionism approach from a blog post by Google Research.

Original image is CCO public domain
Starry Night and Tree Roots by Van Gogh are in the public domain
Bokeh image is in the public domain
Stylized images copyright Justin Johnson, 2017;
reproduced with permission

Gatys et al, "Irmage Style Transfer using Convolutional Neural Networks", CVPR 2016 Gatys et al, "Controlling Perceptual Factors in Neural Style Transfer", CVPR 2017

## Summary

# Recap: Life Before Deep Learning



Input Pixels Extract
Hand-Crafted
Features

Concatenate into a vector **x** 

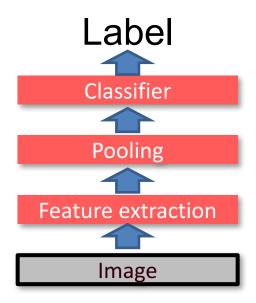
Linear Classifier

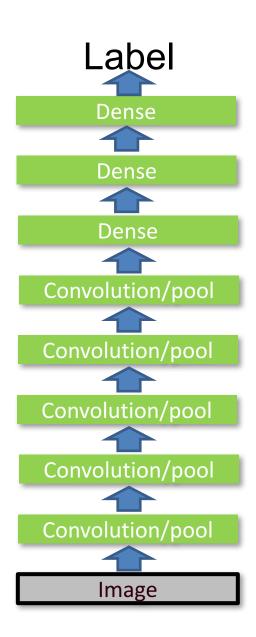
Figure: Karpathy 2016

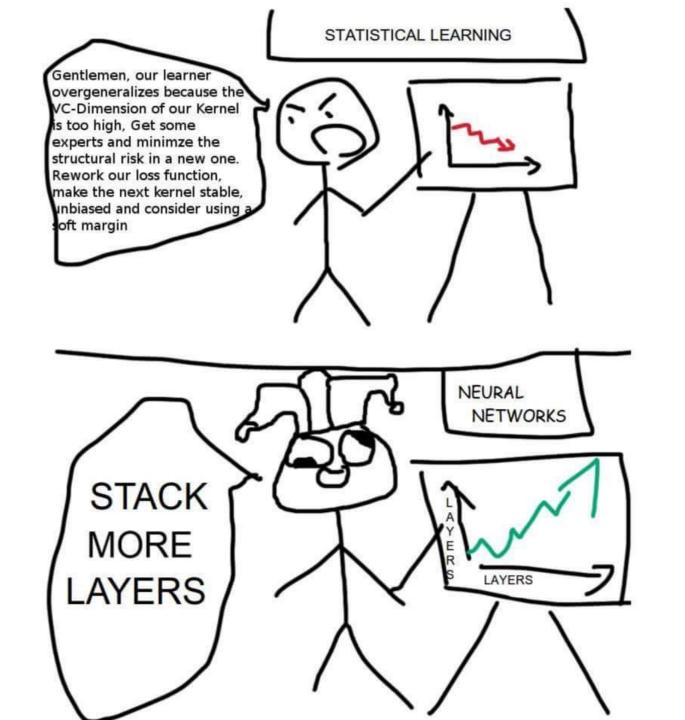
### Why deep learning is powerful?

Convolutional filters are trained in a supervised manner by back-propagating classification error

Filters are learned from data instead of hand-crafted!







## Deep learning library

- TensorFlow
  - Research + Production

- PyTorch
  - Research

- Caffe2
  - Production





#### Resources

- http://deeplearning.net/
  - Hub to many other deep learning resources
- https://github.com/ChristosChristofidis/awesome-deep-learning
  - A resource collection deep learning
- https://github.com/kjw0612/awesome-deep-vision
  - A resource collection deep learning for computer vision
- http://cs231n.stanford.edu/syllabus.html
  - Nice course on CNN for visual recognition

#### Things to remember

- Supervised learning
  - Linear classifier, softmax, cross-entropy loss
- Neural network
  - Linear functions chained together and separated with nonlinear activation functions
- Convolutional neural network (CNN)
  - Neural network with local connectivity and weight sharing
  - Convolution, nonlinearity, max pooling
- Training CNN
  - Back propagation, data split, dropout, data augmentation

## 2019 Turing Awards







Yann LeCun

**Geoffrey Hinton** 

Yoshua Bengio